

**Chiral Effective Theory of Diquark
and
Heavy Baryon Spectroscopy**

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*The 3rd Symposium on Clustering as a window on the
hierarchical structure of quantum systems (on Zoom)*

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- # Conclusion

M. Harada (Nagoya), Y.R. Liu (Shandong), MO, K. Suzuki (JAEA), *Phys. Rev. D*101, 054038 (2020), “Chiral effective theory of diquarks and $U_A(1)$ anomaly”

Y. Kim (Kyushu), E. Hiyama (Kyushu), MO, K. Suzuki, arXiv.2003.03525
“Spectrum of singly heavy baryons from a chiral effective theory of diquarks”

Introduction

Diquark

as open (colored) clusters in hadrons

Diquark

- ‡ The simplest *colorful cluster* in hadrons is the **diquark**.

“bound” qq state

$$\text{color} \quad 3 \otimes 3 = \bar{3} \oplus 6 \quad \text{spin :} \quad \frac{1}{2} \otimes \frac{1}{2} = 0 \oplus 1$$

- ‡ Two color anti-triplet S-wave diquarks:

Scalar diquark **S(0⁺)** in color anti-triplet

Axialvector diquark **A(1⁺)** in color anti-triplet

- ‡ *Color magnetic interaction (CMI)* via magnetic gluon exchange predicts a strong attraction in **S(0⁺)**. A significant attraction also comes from the *instanton induced interaction (III)*.

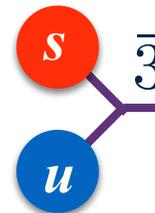
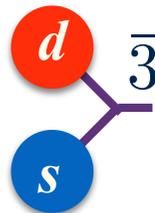
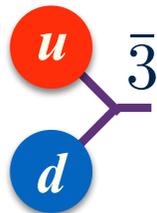
Diquark

Scalar diquark $S(0^+)$

$L=0$ (**S**), $S=0$ (**A**), color 3^{bar} (**A**) strongly attractive

Flavor $SU(3)_f$ 3^{bar} (**A**):

$$[ud]=(ud-du), [ds]=(ds-sd), [sd]=(sd-ds)$$



Axial vector diquark $A(1^+)$

$L=0$ (**S**), $S=1$ (**S**), color 3^{bar} (**A**)

$SU(3)_f$ 6 (**S**): $uu, \{ud\}, dd, \{us\}, \{ds\}, ss$

Diquark as a building block

Light diquark $D_q (=qq)$ in hadrons

$D_q Q = qq Q = HQ$ Baryon

Exotic hadrons

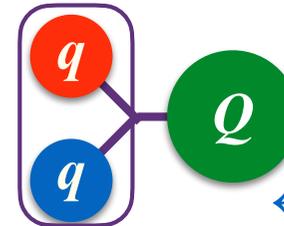
$D_q D_q^{\text{bar}} = qq q^{\text{bar}}q^{\text{bar}} = \text{Tetraquark}$

$D_q D_q Q^{\text{bar}} = qq qq Q^{\text{bar}} = \text{Pentaquark}$

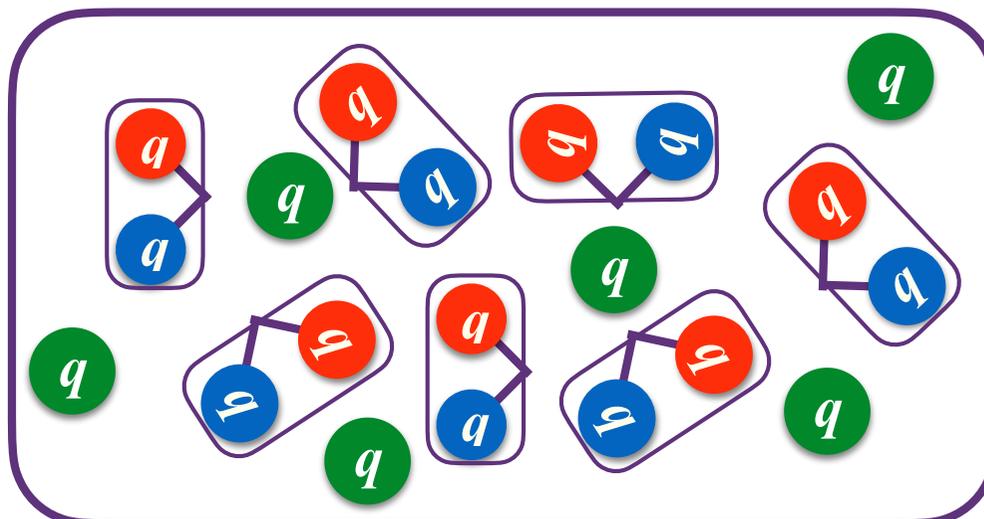
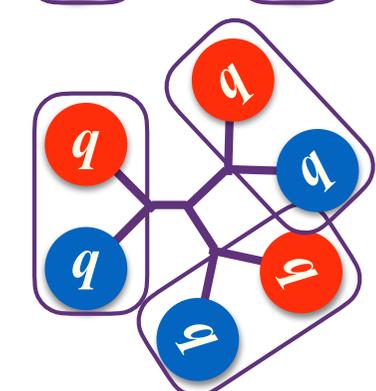
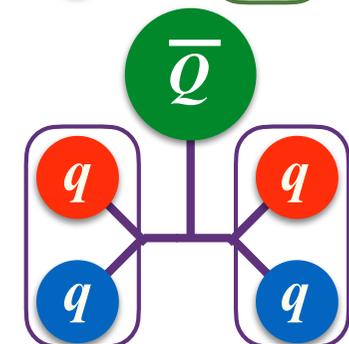
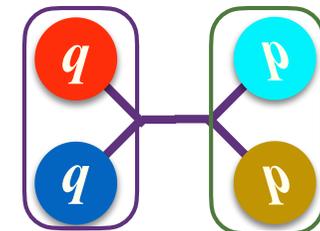
$D_q D_q D_q = \text{Hexaquark (Dibaryon)}$

BE condensate in dense hadronic matter

=> color-superconducting phase



$\leftrightarrow \text{Di-neutron} + \alpha$



Chiral diquark effective theory

Diquark Effective Theory

Strategy

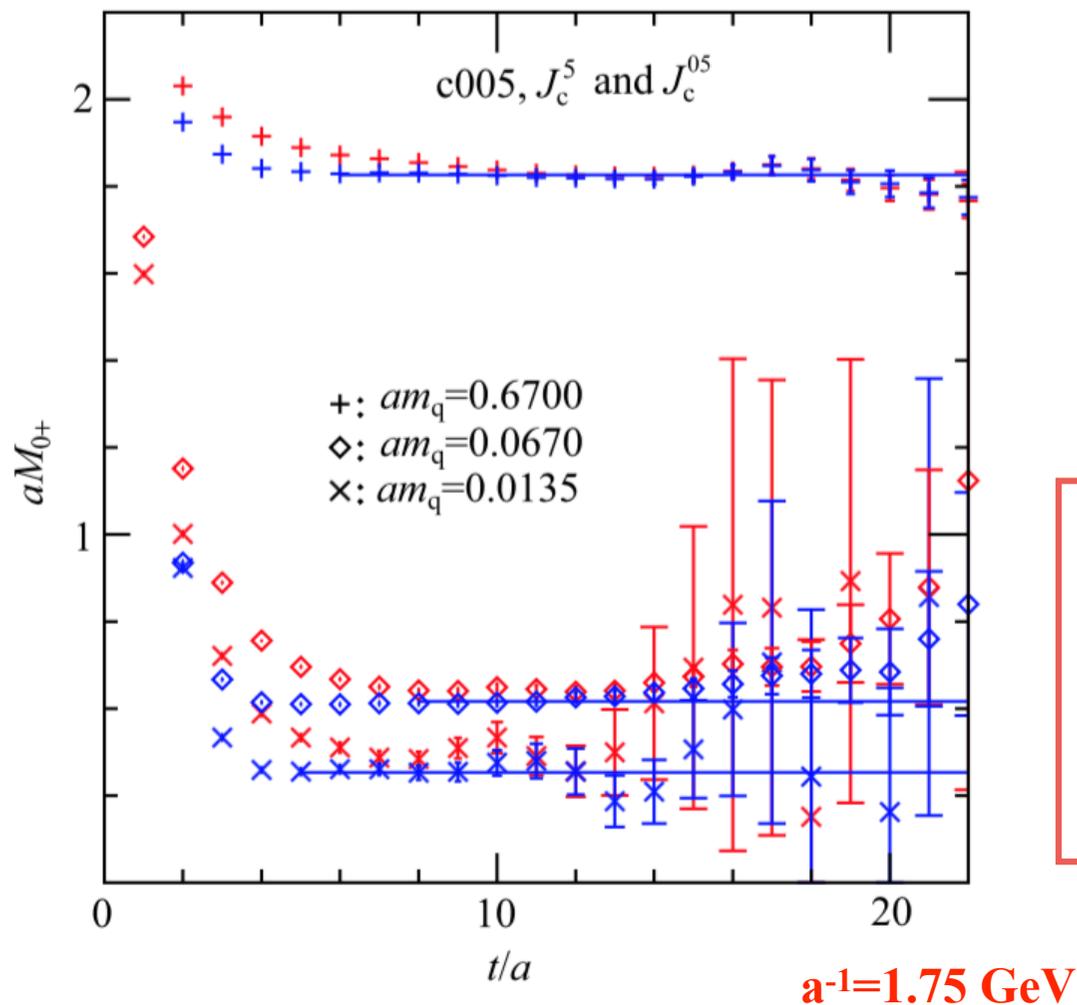
- # Consider *diquarks* as “colorful” clusters in hadrons and hadronic matter. In order to describe their dynamics, write down the *diquark* effective Lagrangian.
- # Chiral symmetry $SU(3)_R \times SU(3)_L$
Write down general forms of the chiral invariant Lagrangian for the chiral diquarks with a background chiral meson field.
- # Lattice QCD helps us to fix the parameters of the effective Lagrangian.

Diquark Effective Theory

- # **D.K.Hong, Y.J. Sohn, I. Zahed, PL B596 (2004) 191.**
D.K. Hong, C. Song, IJMP A27 (2012) 1250051.
**Non-linear chiral Diquark effective theory (for pentaquark/
tetraquarks)**
- # **T. Hatsuda, M. Tachibana, N. Yamamoto, G. Baym,**
PRL 97, 122001 (2006), PR D76, 074001 (2007).
**Chiral/Diquark effective theory and the axial anomaly in dense
QCD**
- # **Y. Kawakami, M. Harada, PR D97 (2018) 114024, PR D99 (2019)**
094016.
Chiral effective theory of Single Heavy Baryons (HQ symmetry)

Diquark mass differences from unquenched lattice QCD

Yujiang Bi(毕玉江)^{1;1)} Hao Cai(蔡浩)^{1;2)} Ying Chen(陈莹)²⁾ Ming Gong(宫明)²⁾
 Zhaofeng Liu(刘朝峰)^{2;3)} Hao-Xue Qiao(乔豪学)¹⁾ Yi-Bo Yang(杨一玻)³⁾



$M(1^+)-M(0^+) \sim 290 \text{ MeV}$

$M(0^-)-M(0^+) \sim 540 \text{ MeV}$

$M(1^-)-M(1^+) \sim 510 \text{ MeV}$

$M(0^+) \sim 720 \text{ MeV}$

$M(0^-) \sim 1260 \text{ MeV}$

$M(1^+) \sim 1010 \text{ MeV}$

$M(1^-) \sim 1520 \text{ MeV}$

Chiral Diquarks

‡ Chiral symmetry $SU(3)_R \times SU(3)_L$

$q_{\alpha i}^a$ a (color), α (Dirac), i (flavor)

$$q_{iR}^a = P_R q_i^a, \quad q_{iL}^a = P_L q_i^a \quad P_{R,L} \equiv \frac{1 \pm \gamma_5}{2}$$

$$q_R \rightarrow U_R q_R = (U_R)_{ij} q_{jR}, \quad U_R \in SU(3)_R$$

$$q_L \rightarrow U_L q_L = (U_L)_{ij} q_{jL}, \quad U_L \in SU(3)_L$$

‡ Scalar chiral diquarks (color $\bar{3}$)

$d_{iR}^a \equiv \epsilon_{ijk} (q_{jR}^T C q_{kR})^{\bar{3}}$ Right scalar diquark, chiral $(\bar{3}, 1)$, color $\bar{3}$

$d_{iL}^a \equiv \epsilon_{ijk} (q_{jL}^T C q_{kL})^{\bar{3}}$ Left scalar diquark, chiral $(1, \bar{3})$, color $\bar{3}$

‡ Parity eigenstates: 0^+ , 0^- diquarks

$$S_i^a = d_{iR}^a - d_{iL}^a = \epsilon_{ijk} (q_j^T C \gamma_5 q_k)^{\bar{3}} \quad (\bar{3}, 1) + (1, \bar{3})$$
$$P_i^a = d_{iR}^a + d_{iL}^a = \epsilon_{ijk} (q_j^T C q_k)^{\bar{3}}$$

Chiral Diquark Effective Theory

Chiral meson field: Σ (scalar nonet + pseudo-scalar nonet)

$$\Sigma_{ij} \equiv \sigma_{ij} + i\pi_{ij} \rightarrow U_{L,ik} \Sigma_{km} U_{R,mj}^\dagger \quad (\bar{3}, 3)$$

$$\Sigma_{ij} \equiv (\lambda_p)_{ij} (\sigma_p + i\pi_p)$$

Spontaneous symmetry breaking $\langle \Sigma_{ij} \rangle = \langle \sigma_{ij} \rangle = f \delta_{ij}$,

The effective Lagrangian à la linear sigma model

$$\mathcal{L} = \mathcal{D}_\mu d_{R,i} (\mathcal{D}^\mu d_{R,i})^\dagger + \mathcal{D}_\mu d_{L,i} (\mathcal{D}^\mu d_{L,i})^\dagger \quad \mathcal{D}_\mu \equiv \partial_\mu + ig T^\alpha G_\mu^{\alpha \text{ ext}}$$

$$-m_0^2 (d_{R,i} d_{R,i}^\dagger + d_{L,i} d_{L,i}^\dagger)$$

mass terms

$$-\frac{m_1^2}{f} (d_{R,i} \Sigma_{ij}^\dagger d_{L,j}^\dagger + d_{L,i} \Sigma_{ij} d_{R,j}^\dagger)$$

← *$U(1)_A$ anomaly*

$$-\frac{m_2^2}{2f^2} \epsilon_{ijk} \epsilon_{lmn} (d_{R,k} \Sigma_{li} \Sigma_{mj} d_{L,n}^\dagger + d_{L,k} \Sigma_{li}^\dagger \Sigma_{mj}^\dagger d_{R,n}^\dagger)$$

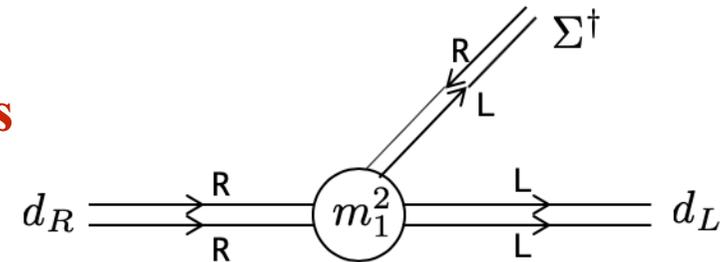
$$+ \frac{1}{4} \text{Tr} [\partial^\mu \Sigma^\dagger \partial_\mu \Sigma] + V(\Sigma).$$

$U_A(1)$ anomaly

$U_A(1)$ anomaly in the diquark effective theory

$$-\frac{m_1^2}{f} (\underline{d_{R,i} \Sigma_{ij}^\dagger d_{L,j}^\dagger} + d_{L,i} \Sigma_{ij} d_{R,j}^\dagger)$$

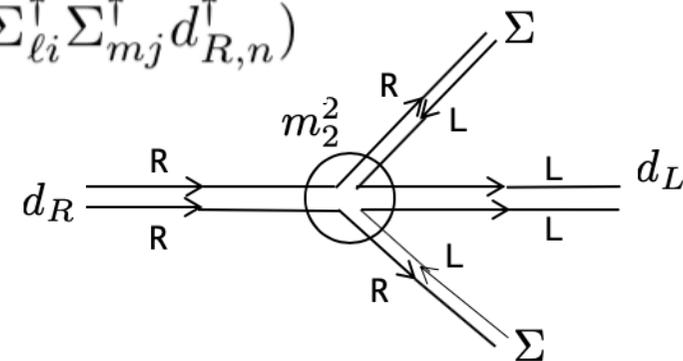
**3 left quarks and 3 right antiquarks
flavor antisymmetric
induces anomalous singlet current**



$$\partial_\mu J_A^{\mu 0} = \frac{3m_1^2}{2} (S\lambda_0 P^\dagger - P\lambda_0 S^\dagger)$$

non-anomalous term

$$-\frac{m_2^2}{2f^2} \epsilon_{ijk} \epsilon_{lmn} (d_{R,k} \Sigma_{li} \Sigma_{mj} d_{L,n}^\dagger + d_{L,k} \Sigma_{li}^\dagger \Sigma_{mj}^\dagger d_{R,n}^\dagger)$$



Chiral Diquark Effective Theory

The mass eigenstates are given by

Scalar diquark

$$S_i^a = \frac{1}{\sqrt{2}}(d_{R,i}^a - d_{L,i}^a)$$

$$\longrightarrow M(0^+) = \sqrt{m_0^2 - m_1^2 - m_2^2},$$

Pseudo-scalar diquark

$$P_i^a = \frac{1}{\sqrt{2}}(d_{R,i}^a + d_{L,i}^a)$$

$$\longrightarrow M(0^-) = \sqrt{m_0^2 + m_1^2 + m_2^2},$$

$$M^2 = \begin{pmatrix} d_{iR}^a & d_{iL}^a \\ m_0^2 & m_1^2 + m_2^2 \\ m_1^2 + m_2^2 & m_0^2 \end{pmatrix}$$

SU(3) symmetry breaking

Explicit chiral symmetry breaking and SU(3) breaking

$$\mathcal{M}_{\text{eff}} = \mathcal{M} + g_s \langle \Sigma \rangle \simeq (g_s f_\pi) \text{diag}\{1, 1, A\}, \quad m_u \sim m_d \sim 0$$

$$\Sigma \longrightarrow \tilde{\Sigma} \equiv \Sigma + \mathcal{M}/g_s, \quad A \equiv \frac{f_s}{f_\pi} \left(1 + \frac{m_s}{g_s f_s} \right) \sim \frac{5}{3}$$

Mass of the diquarks

$i=3$ (ud)

$$M_3(0^+) = \sqrt{m_0^2 - Am_1^2 - m_2^2},$$

$$M_3(0^-) = \sqrt{m_0^2 + Am_1^2 + m_2^2}.$$

$i=1,2$ (ds), (us)

$$M_1(0^+) = M_2(0^+) = \sqrt{m_0^2 - m_1^2 - Am_2^2},$$

$$M_1(0^-) = M_2(0^-) = \sqrt{m_0^2 + m_1^2 + Am_2^2},$$

$$[M_{1,2}(0^+)]^2 - [M_3(0^+)]^2 = [M_3(0^-)]^2 - [M_{1,2}(0^-)]^2 = (A - 1)(m_1^2 - m_2^2). > 0$$

$$M_1(0^-) < M_3(0^-)$$

(ds), (us) (ud)

Inverse mass hierarchy

Effective theory for vector diquarks

‡ Vector (3,3) Diquarks

$$d_{ij}^{\mu a} \equiv \epsilon_{abc}(q_{iL}^{bT} C \gamma^\mu q_{jR}^c) = \epsilon_{abc}(q_{jR}^{bT} C \gamma^\mu q_{iL}^c) \quad \text{chiral (3,3) vector diquark}$$

$$d_{V[ij]}^{\mu a} = d_{ij}^{\mu a} - d_{ji}^{\mu a} = \epsilon_{abc}(q_i^{bT} C \gamma^\mu \gamma^5 q_j^c) \quad \text{Vector } 1^- \text{ diquark, flavor } \bar{3}$$

$$d_{A\{ij\}}^{\mu a} = d_{ij}^{\mu a} + d_{ji}^{\mu a} = \epsilon_{abc}(q_i^{bT} C \gamma^\mu q_j^c) \quad \text{Axial-vector } 1^+ \text{ diquark, flavor } 6$$

$$\mathcal{L} = -\frac{1}{2} \text{Tr}[F^{\mu\nu} F_{\mu\nu}] + m_{V0}^2 \text{Tr}[d^\mu d_\mu^\dagger] \\ + \frac{m_{V1}^2}{f_\pi^2} \text{Tr}[\Sigma^\dagger d^\mu \Sigma^T d_\mu^{\dagger T}] + \frac{2m_{V2}^2}{f_\pi^2} \text{Tr}[\Sigma^\dagger \Sigma d^{\mu T} d_\mu^{\dagger T}]$$

$$F^{\mu\nu} = D^\mu d^\nu - D^\nu d^\mu$$

Effective theory for vector diquarks

■ Masses of the Axial-vector and Vector diquarks

$$\begin{aligned}\mathcal{L}_{\text{mass}} &= m_{V0}^2 \text{Tr}[d^\mu d_\mu^\dagger] + \frac{m_{V1}^2}{f_\pi^2} \text{Tr}[\Sigma^\dagger d^\mu \Sigma^T d_\mu^{\dagger T}] + \frac{2m_{V2}^2}{f_\pi^2} \text{Tr}[\Sigma^\dagger \Sigma d^{\mu T} d_\mu^{\dagger T}] \\ &\sim m_{V0}^2 \text{Tr}[d^\mu d_\mu^\dagger] + m_{V1}^2 \text{Tr}[X d^\mu X d_\mu^{\dagger T}] + 2m_{V2}^2 \text{Tr}[X^2 d^{\mu T} d_\mu^\dagger]\end{aligned}$$

$$X = \text{diag}(1, 1, A) \quad A \equiv \frac{f_s}{f_\pi} \left(1 + \frac{m_s}{g_s f_s}\right) \sim \frac{5}{3}$$

$$M_{\{qq\}}(1^+) = \sqrt{m_{V0}^2 + m_{V1}^2 + 2m_{V2}^2} \quad \mathbf{A}(1^+)$$

$$M_{\{qs\}}(1^+) = \sqrt{m_{V0}^2 + Am_{V1}^2 + (1 + A^2)m_{V2}^2}$$

$$M_{\{ss\}}(1^+) = \sqrt{m_{V0}^2 + A^2m_{V1}^2 + 2A^2m_{V2}^2}$$

$$M_{[qq]}(1^-) = \sqrt{m_{V0}^2 - m_{V1}^2 + 2m_{V2}^2} \quad \mathbf{V}(1^-)$$

$$M_{[qs]}(1^-) = \sqrt{m_{V0}^2 - Am_{V1}^2 + (1 + A^2)m_{V2}^2}$$

Diquark-meson couplings

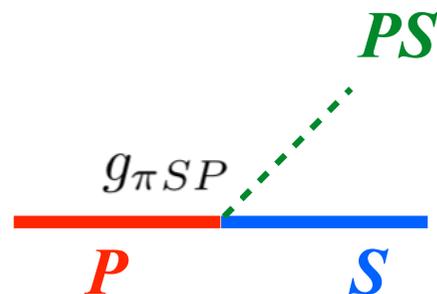
diquark - pseudo-scalar nonet π_p ($p=0\dots8$) couplings

$$\mathcal{L}_{\pi SP} := \frac{i(m_1^2 + m_2^2)}{f} \pi_p (S \lambda_p P^\dagger - P \lambda_p S^\dagger) \quad \textit{octet + singlet}$$

$$- \frac{3im_2^2}{f} \pi_0 (S \lambda_0 P^\dagger - P \lambda_0 S^\dagger) \quad \textit{singlet}$$

The “Goldberger-Treiman” relation

$$g_{\pi SP} \equiv \frac{m_1^2 + m_2^2}{f} = \frac{M^2(0^-) - M^2(0^+)}{2f} \quad \textit{for octet mesons}$$



$$\Lambda_c^* \rightarrow \Lambda_c + \eta_8$$

$$\Xi_c^* \rightarrow \Lambda_c + \bar{K}$$

Diquark-meson couplings

‡ Scalar - Vector couplings

P-wave coupling

$$\mathbf{A}(1^+, 6) + \pi_8(0^-) \rightarrow \mathbf{S}(0^+, 3^{\text{bar}})$$

$$\mathbf{V}(1^-, 3^{\text{bar}}) + \pi_8(0^-) \rightarrow \mathbf{P}(0^-, 3^{\text{bar}})$$

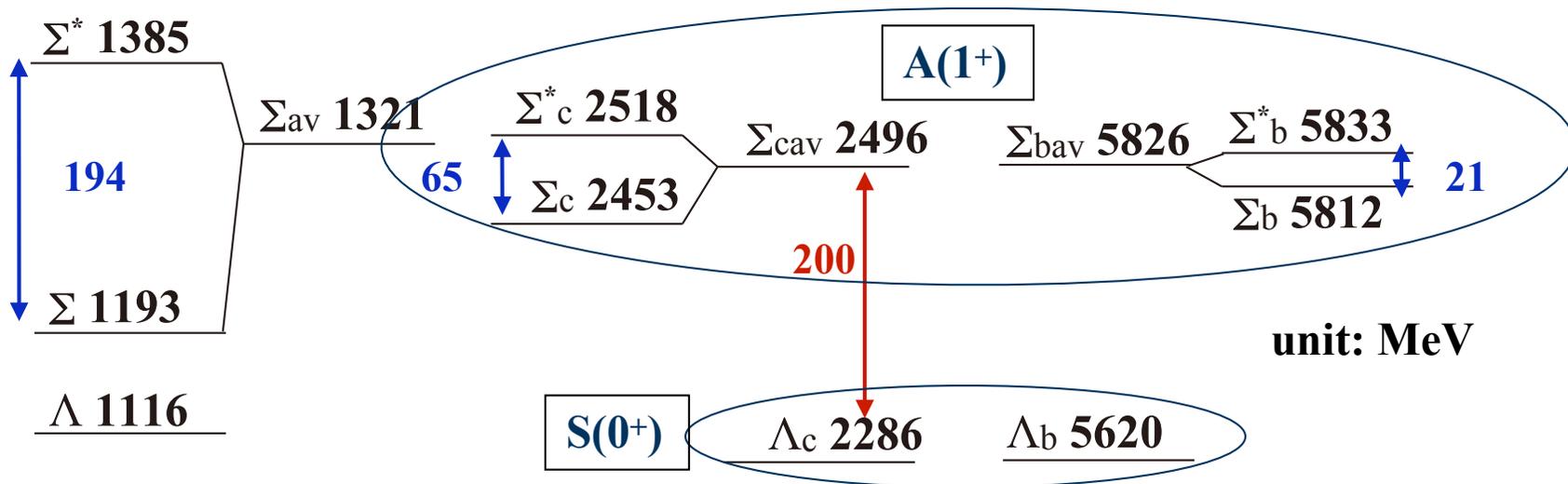
$$\mathbf{V}(1^-, 3^{\text{bar}}) + \eta_1(0^-) \rightarrow \mathbf{P}(0^-, 3^{\text{bar}})$$

$$\begin{aligned} \mathcal{L}_{\text{int}} = & -\boxed{g_N} \epsilon_{ijk} \left[d_{(n,i)}^\mu (d_R^\dagger)_j \partial_\mu \Sigma_{kn}^\dagger + d_{(i,n)}^\mu \partial_\mu \Sigma_{jn} (d_L^\dagger)_k \right] \quad \text{Normal} \\ & -\boxed{g_A} \epsilon_{ijk} \left[d_{(i,j)}^\mu \partial_\mu \Sigma_{kn} (d_R^\dagger)_n + d_{(j,i)}^\mu \partial_\mu \Sigma_{kn}^\dagger (d_L^\dagger)_n - d_{(i,n)}^\mu \partial_\mu \Sigma_{jn} (d_R^\dagger)_k - d_{(n,i)}^\mu \partial_\mu \Sigma_{jn}^\dagger (d_L^\dagger)_k \right] \\ & \quad \text{Anomalous} \\ = & (g_N + g_A) \epsilon_{ijk} A_{\{in\}}^\mu i \partial_\mu \pi_{jn}^{(8)} S_k^\dagger \\ & - (g_N + g_A) \epsilon_{ijk} \sqrt{\frac{2}{3}} V_{[ij]}^\mu i \partial_\mu \pi^{(1)} P_k^\dagger + \frac{g_N - 3g_A}{2} \epsilon_{ijk} V_{[ij]}^\mu i \partial_\mu \pi_{kn}^{(8)} P_n^\dagger \end{aligned}$$

Diquarks in heavy baryons

Diquarks in Heavy Baryons

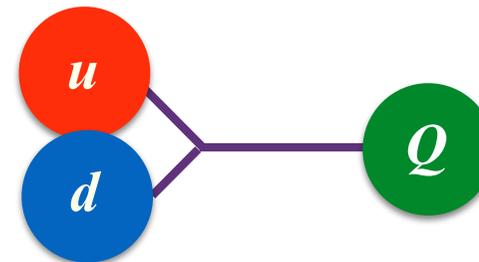
- From Heavy baryon spectroscopy
 Λ_Q/Σ_Q with $S(0^+)/A(1^+)$ diquarks



Diquarks

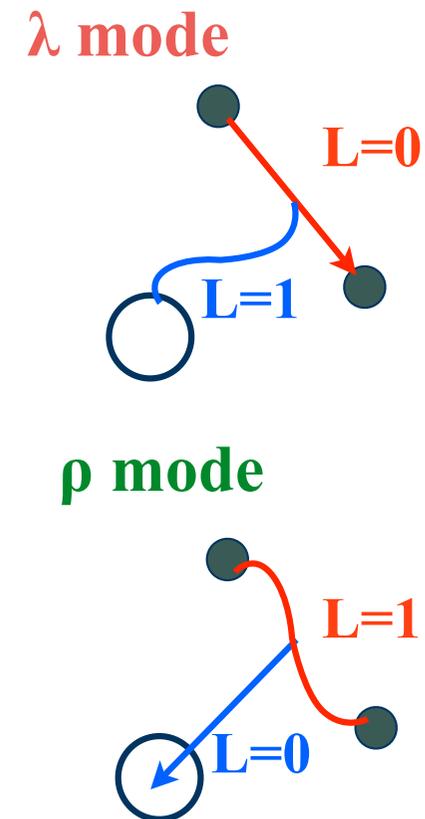
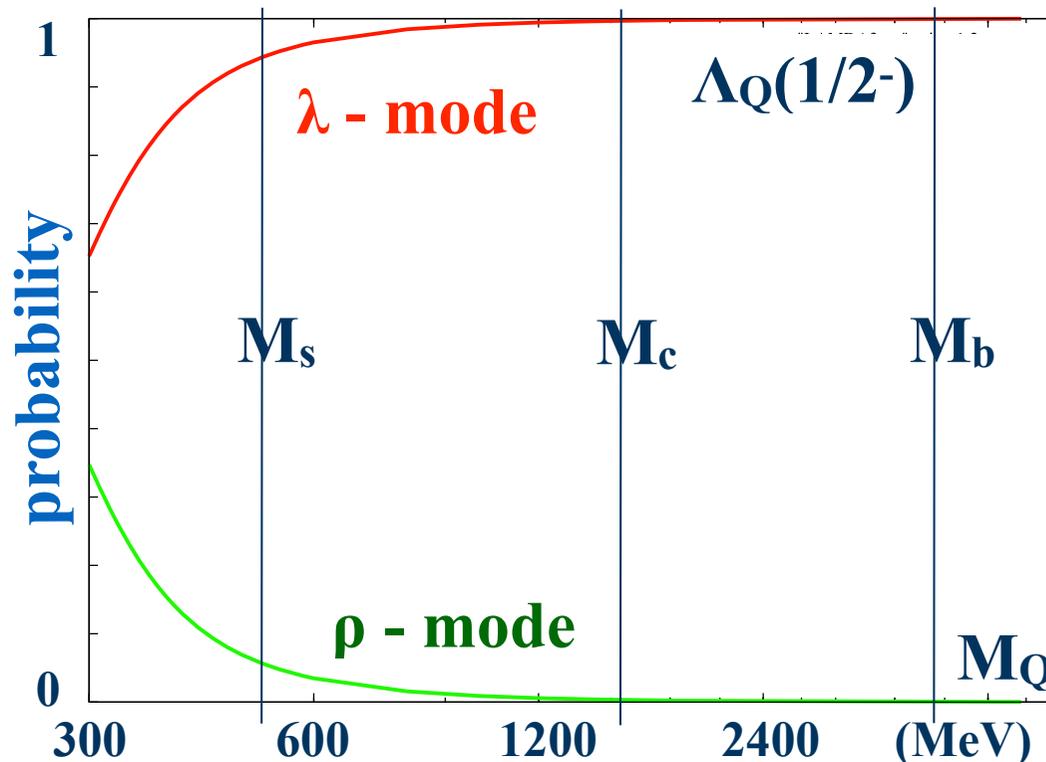
$S(0^+) ud$ ($S=0, I=0$)

$A(1^+) (uu, ud, dd)$ ($S=1, I=1$)



Diquarks in Heavy Baryons (P-wave)

- Two P-wave separation modes are well separated.



T. Yoshida, E. Hiyama, A. Hosaka, M. Oka and K. Sadato,
Phys. Rev. D 92, 114029 (2015)

Fitting to the heavy baryon masses

- # Heavy baryon system as a bound state of $Q + d$
- # **Inputs** for an estimate of the heavy baryon masses

Observed masses of the ground state baryons

$$* M(\Lambda_c, 1/2^+) = 2286.46 \text{ MeV},$$

$$* M(\Xi_c, 1/2^+) = \frac{1}{2}(M(\Xi_c^+) + M(\Xi_c^0)) = 2469.42 \text{ MeV}.$$

0^+ diquark (ud) mass from lattice QCD

$$* M_3(0^+) = 725 \text{ MeV},$$

0^- diquark (ud) mass from lattice

$$* M_3(0^-) = 1265 \text{ MeV},$$

or a model prediction of the ρ mode baryon mass

$$* M(\Lambda_c, 1/2^-) = 2890 \text{ MeV}.$$

T. Yoshida, et al., Phys. Rev. D 92, 114029 (2015)

Fitting to the heavy baryon masses

A potential model estimate

Y. Kim, E. Hiyama, M. Oka, K. Suzuki, arXiv.2003.03525

A linear + Coulomb potential between Q and d

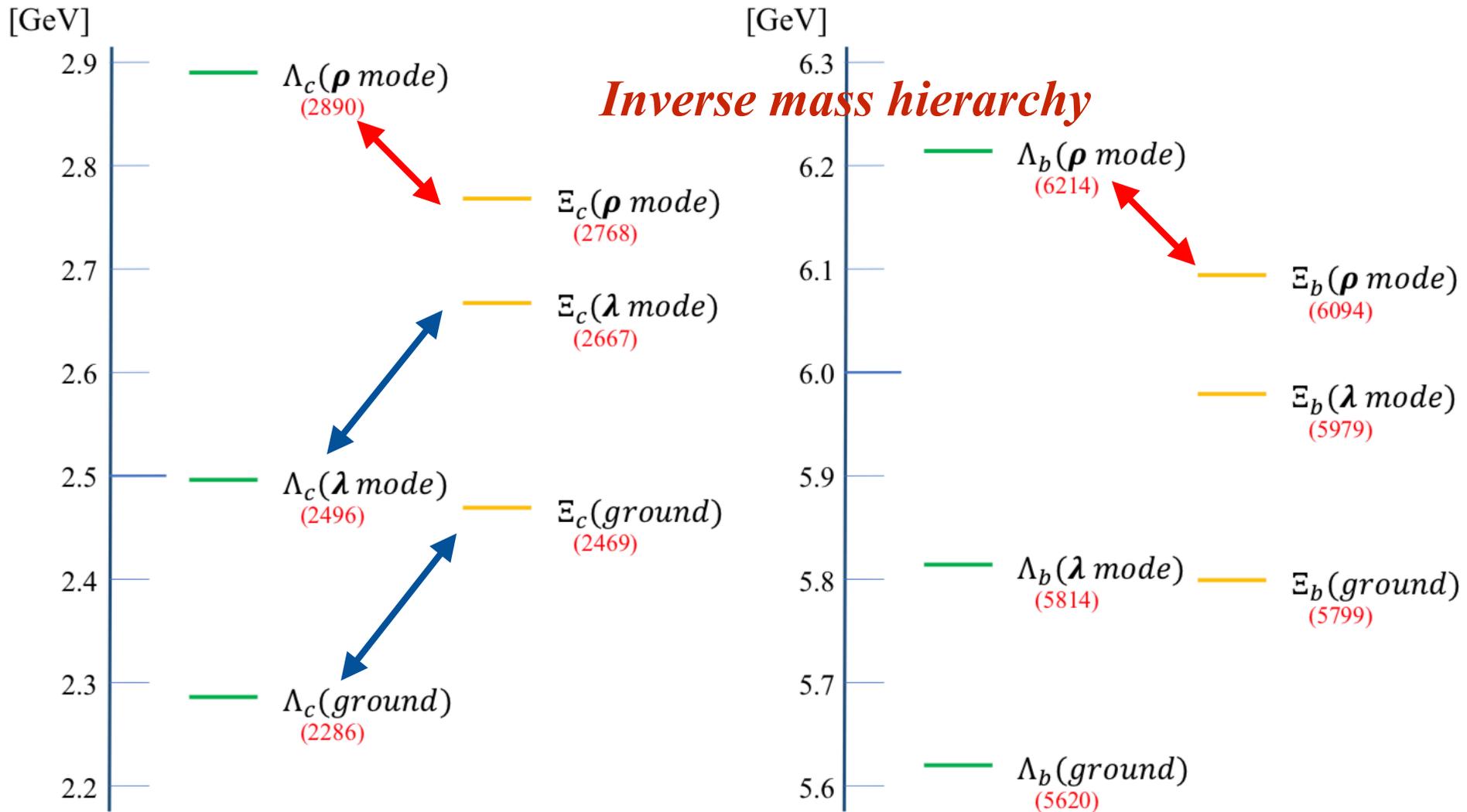
$$V(r) = -\frac{\alpha}{r} + \lambda r + C,$$

	α	$\lambda(\text{GeV}^2)$	$C_c(\text{GeV})$	$C_b(\text{GeV})$	$M_c(\text{GeV})$	$M_b(\text{GeV})$
Yoshida	$(2/3) \times 90/\mu$	0.165	-0.58418362	-0.58829590	1.750	5.112
Silvestre	0.5069	0.1653	-0.70694965	-0.69555101	1.836	5.227
Barnes	$(4/3) \times 0.5461$	0.1425	-0.19063965	-	1.4794	-

**T. Yoshida, E. Hiyama, A. Hosaka, M. Oka and K. Sadato,
Phys. Rev. D 92, 114029 (2015)**

Fitting to the heavy baryon masses

Y. Kim, E. Hiyama, M. Oka, K. Suzuki, arXiv.2003.03525



Conclusion

- # We construct a chiral effective theory of Diquarks.
Scalar and Pseudo-Scalar Diquarks are paired in $(\bar{3},1)+(1,\bar{3})$.
Vector and Axial-Vector Diquarks are in $(3,3)$ representation.
- # Masses of the positive- and negative-parity diquarks are parametrized. There contributes the $U_A(1)$ anomaly couplings.
With the help of heavy baryon masses and lattice QCD, we may determine the parameters of the chiral effective Lagrangian.
- # We have found an inverse mass hierarchy of the 0^- diquark. As a result, we predict a low mass ρ -mode $\Xi_c(1/2^-)$ state.
- # The goals are to apply the effective theory to tetra-quarks, heavy penta-quarks, diquarks in finite T/ρ matter and so on.