

# Similarities and differences between atomic and nuclear systems

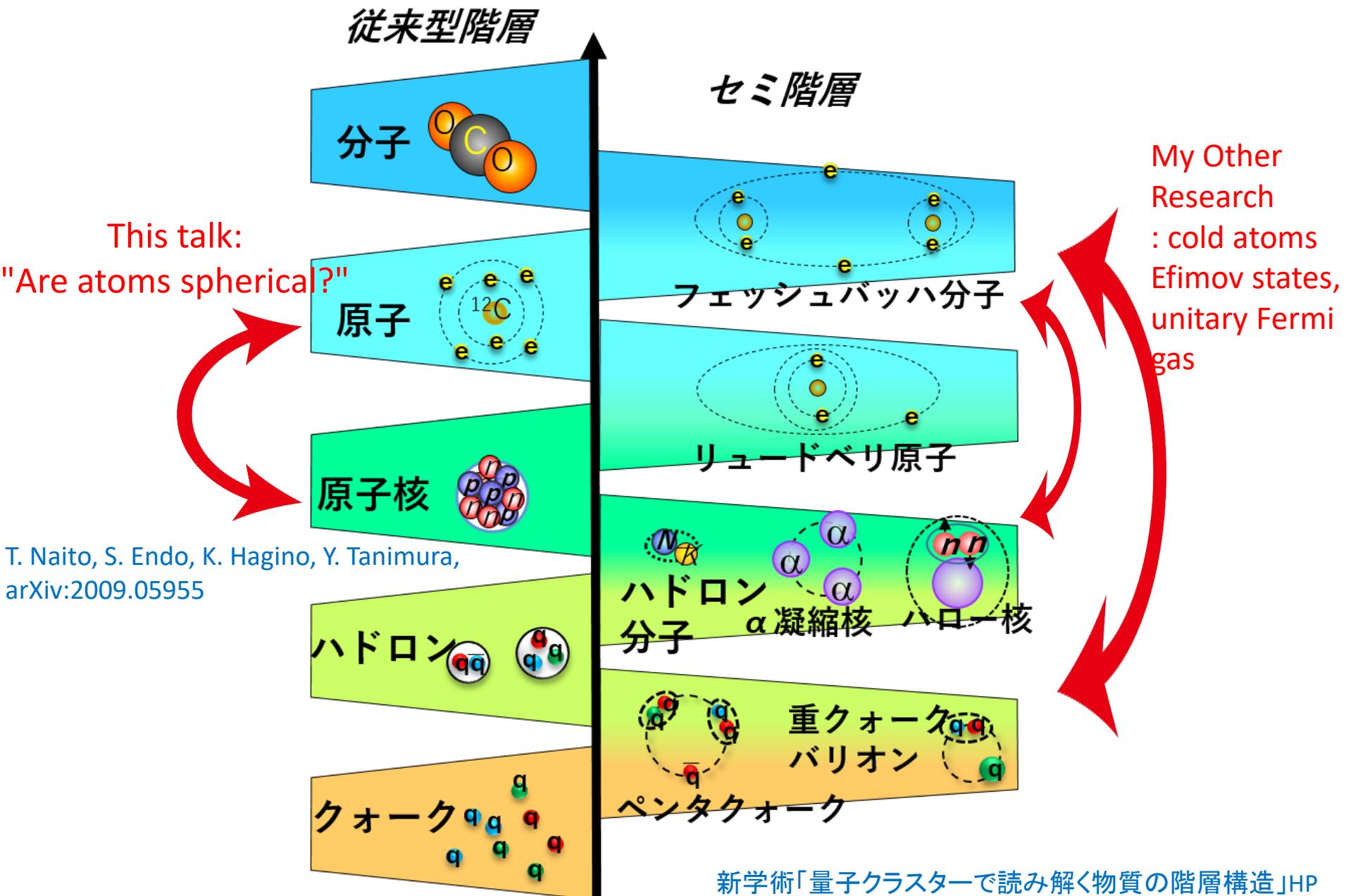
## "Are atoms spherical?"

東北大学  
学際科学フロンティア研究所 & 理学研究科物理学専攻

遠藤 晋平



# Outline



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(Dated: September 13, 2020)

Atomic nuclei can be spontaneously deformed into non-spherical shapes as many-nucleon systems. We discuss to what extent a similar deformation takes place in many-electron systems. To this end, we employ several many-body methods, such as the unrestricted Hartree-Fock method, post-Hartree-Fock methods, and the density functional theory, to compute the electron distribution in atoms. We show that the electron distribution of open-shell atoms is deformed due solely to the single-particle valence orbitals, while the core part remains spherical. This is in contrast to atomic nuclei, which can be deformed collectively. We qualitatively discuss the origin for this apparent difference between atoms and nuclei by estimating the energy change due to deformation.



Tomoya Naito  
(Univ. Tokyo & RIKEN)  
Density Functional Theory,  
numerical quantum many-body



Shimpei Endo(Tohoku Univ.)  
Cold atoms, Universality of  
few- and many-body system



Nuclear theory



Nuclear theory,  
Hartree-Fock theory

# Nuclei and atoms

- Interacting quantum many-body system of spin 1/2 fermions

## Atomic Nuclei

- Many-body system of nucleons (protons & neutrons)
- Self-bound via nuclear force
- Inter-particle interaction essentially attractive

## Atom

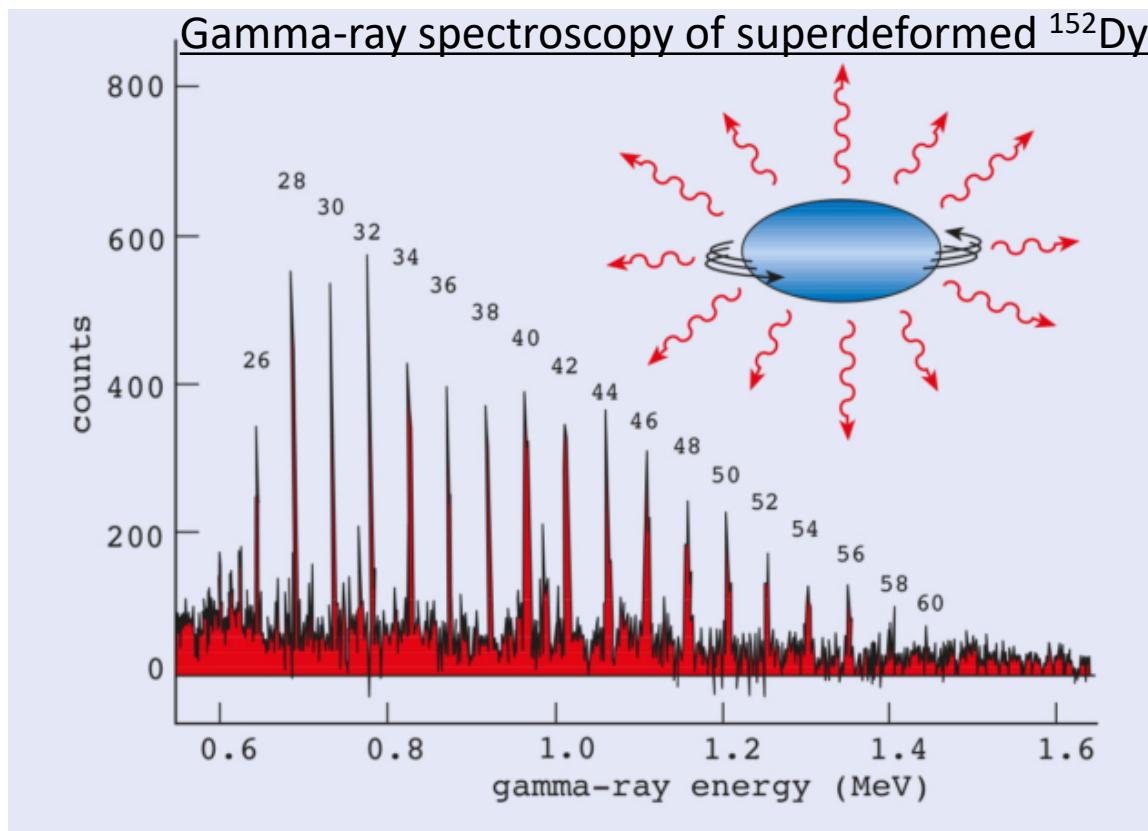
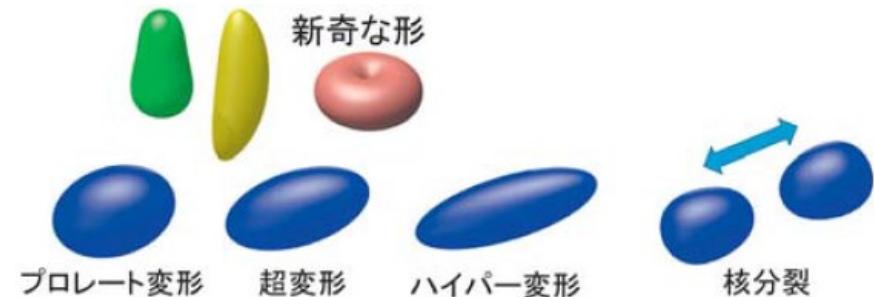
- Many-body system of electrons (+ central nucleus)
- Self-bound by central Coulomb force of the nucleus
- Inter-particle interaction repulsive Coulomb

# Shape of Nuclei

- Spontaneous breaking of rotational symmetry

## Rotational spectrum

$$E = \frac{L(L + 1)}{2I} \quad L = 0, 1, 2, \dots$$



日本物理学会雑誌「物理学70の不思議」

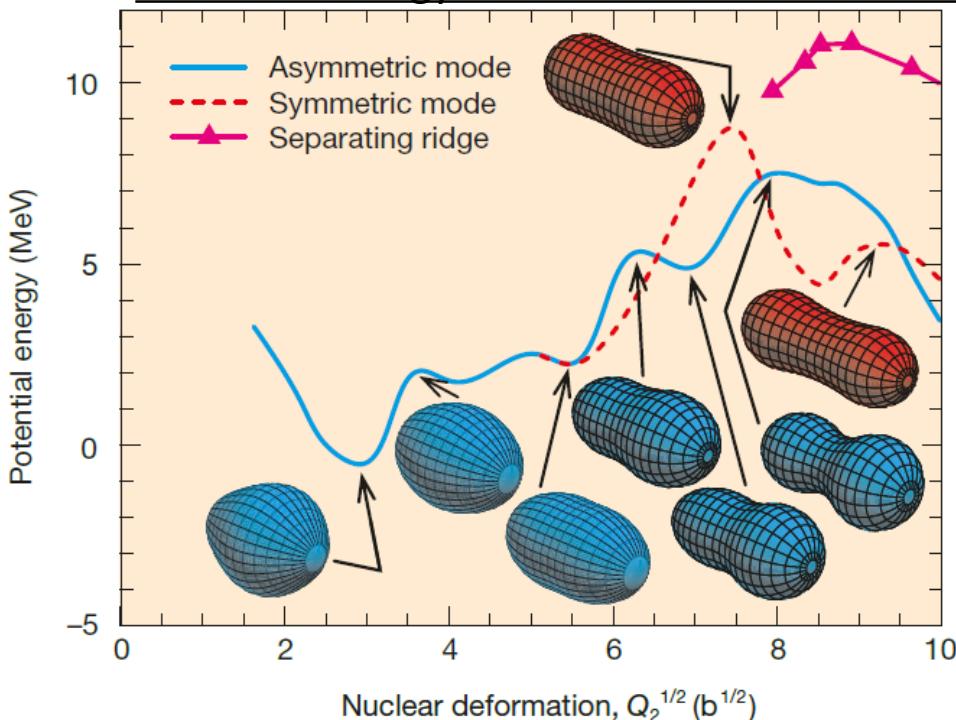
Twin, et al., Phys. Rev. Lett. (1998)  
Physics World, "A new spin on nuclei" (1998)

# Collective deformations in nuclear systems

- Deformation parameter  $\beta$ , Multipole moment  $Q_i$ 
  - Change of magic number in deformed nuclei
  - Nuclear fission
  - .....

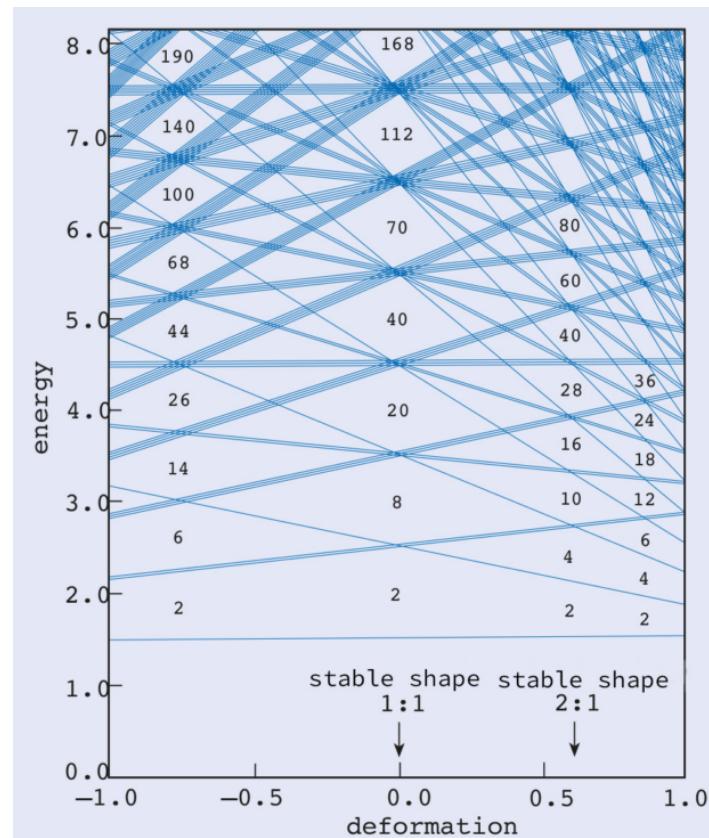


Potential energy of nuclear fission of  $^{228}\text{Ra}$



Moller, Madland, Sierk, Iwamoto, Nature (2001)

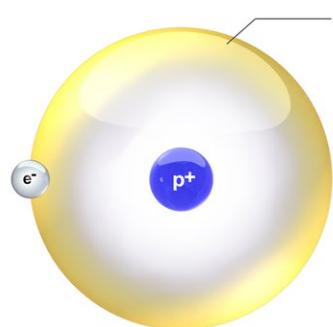
Nilson diagram



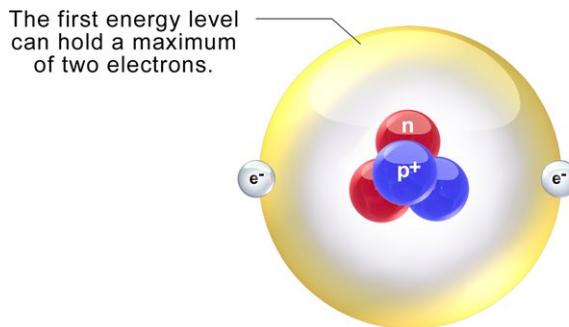
Twin, et al., Phys. Rev. Lett. (1986)  
Physics World, "A new spin on nuclei" (1998)

# What is the shape of atoms?

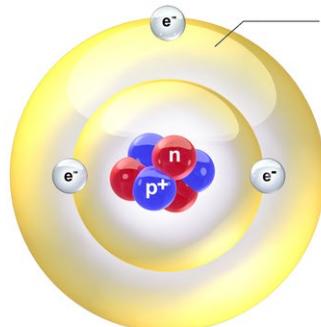
- Usually illustrated spherically



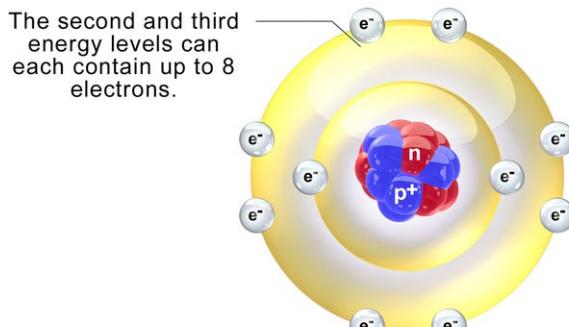
**Hydrogen, H**  
Atomic number: 1  
Mass number: 1  
1 electron



**Helium, He**  
Atomic number: 2  
Mass number: 4  
(2 protons + 2 neutrons)  
2 electrons

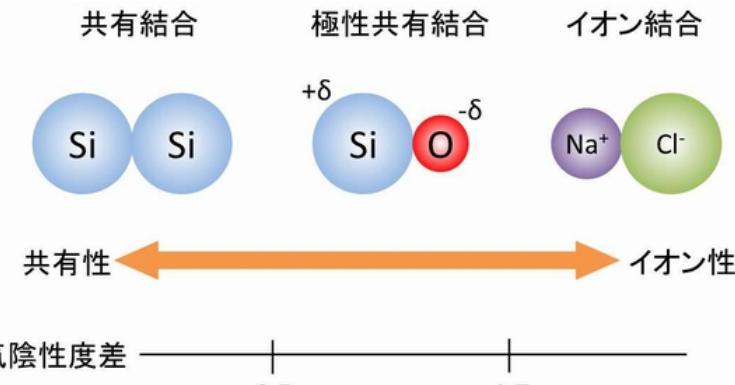


**Lithium, Li**  
Atomic number: 3  
Mass number: 6  
(3 protons + 3 neutrons)  
3 electrons

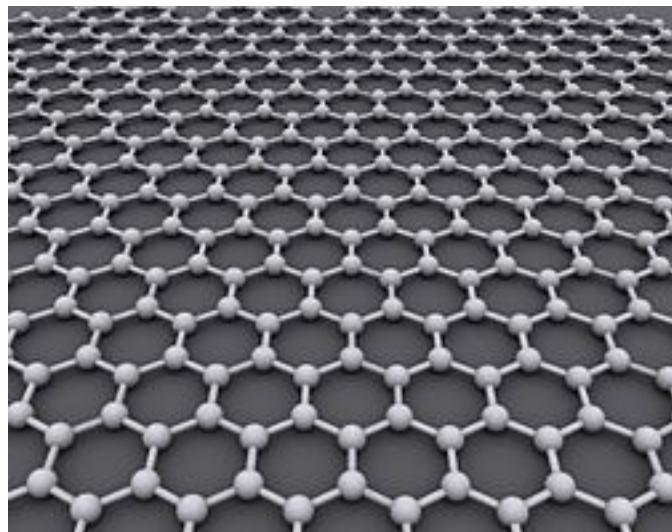


**Neon, Ne**  
Atomic number: 10  
Mass number: 20  
(10 protons + 10 neutrons)  
10 electrons

Bohr model of atoms: Wikipedia



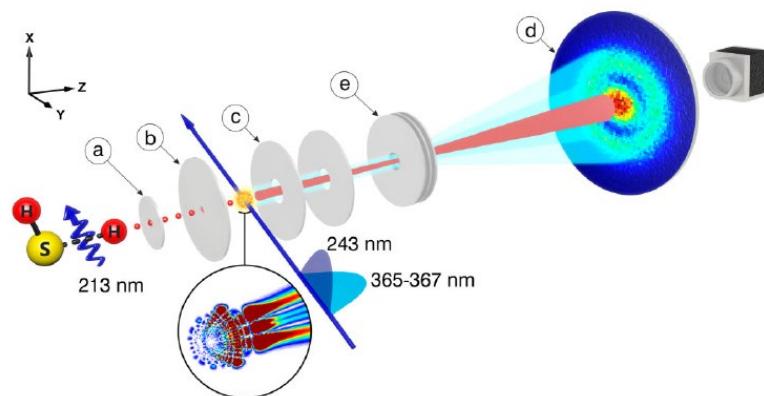
Univ. Tokyo, Press release



Graphene, Wikipedia

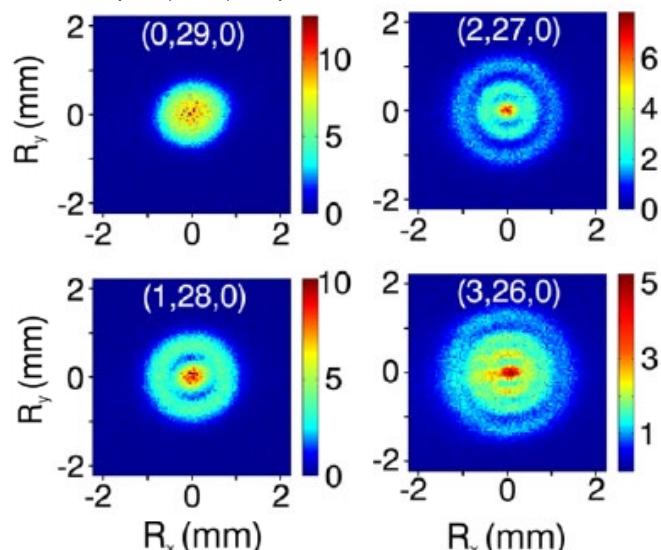
# Observation of electron wave function in atoms & molecules

## Electronic wave function of H atom (measured by photoionization microscopy)



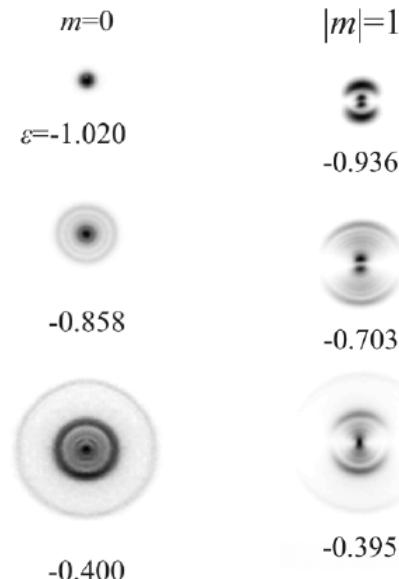
E-field along z(outplane)-axis

$(n_1, n_2, m)$

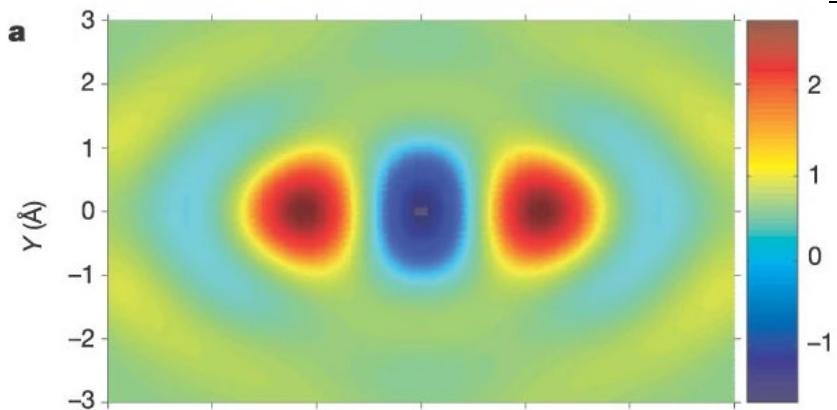


Stodolna, et al., Phys. Rev. Lett. (2013)

## Electronic wave function of Li atom (photoionization microscopy)

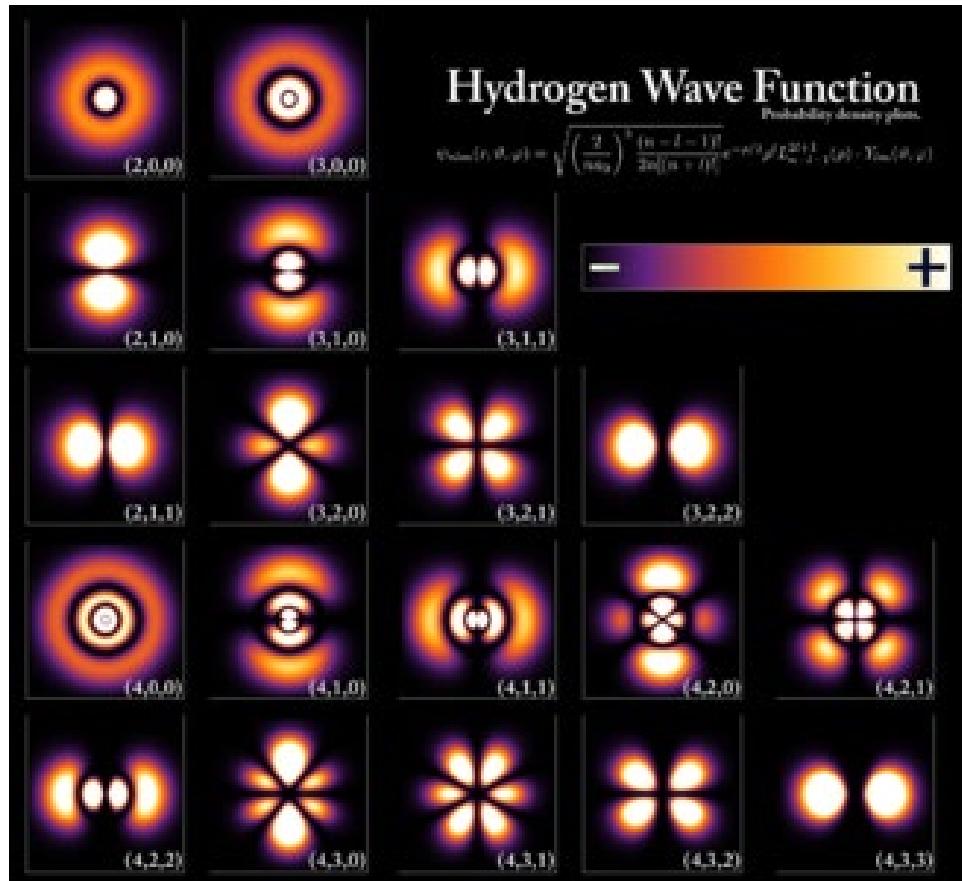


## Tomographic imaging of HOMO orbital in N<sub>2</sub>



Itatani, et al., Nature (2004)

# Deformed single-particle orbital in atoms



Single-particle orbital in H  
(theory): Wikipedia

$\beta = 0, Q_{ij} = 0$  for s-orbital

$\beta \neq 0, Q_{ij} \neq 0$  for p-orbital, d-orbital,....

Single-particle deformation  $\neq$  Collective deformation

# Brief summary our work

## Motivation

- Can atoms be deformed?
- What is the difference between atoms and nuclei?

## Method

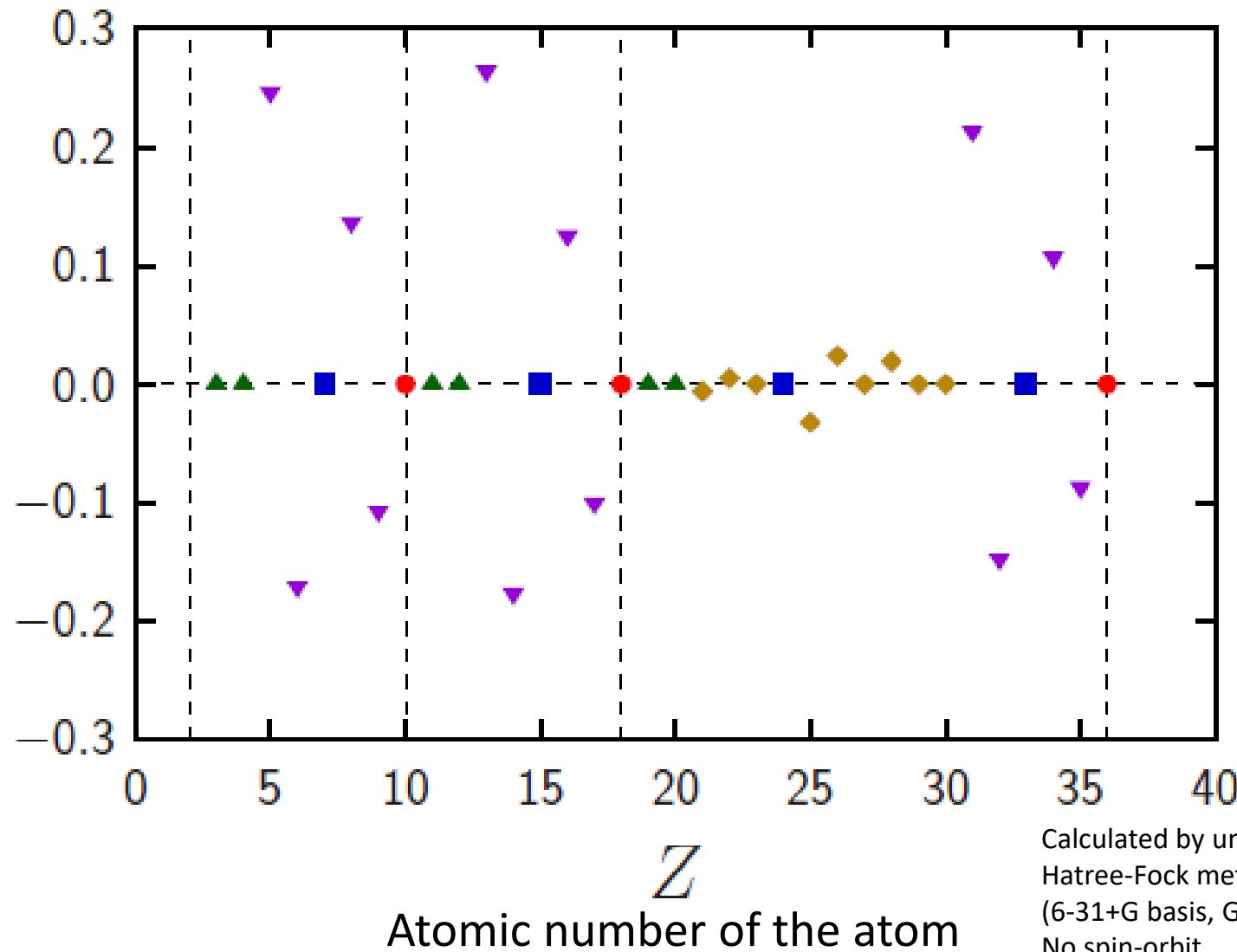
- 3D electron many-body calculations for various atoms
- Analytical model

## Main results

- Electron w.f. in atoms **do not deform collectively**.
- Atoms may be non-spherical **only in the surface region via single-particle effect** of the valence electron
- Many-body effects **suppress deformations (screening)**
- Repulsive Coulomb interaction  $\Leftrightarrow$  Attractive nuclear force

# Mains result: Deformation parameters of atoms

- Noble gas
- ▲ s-block
- ◆ d-block
- Half-closed shell
- ▼ p-block



# Definition of the deformation parameter $\beta_i$

## Quadrupole moment

$$Q_{ij} = \int (3r_i r_j - \delta_{ij} r^2) \rho(r) dr \quad \text{diagonalize} \quad \rightarrow Q_k \quad (k = x, y, z)$$

⊗ Intrinsic frame ≠ Laboratory frame  
 Q-moment in the intrinsic frame

## Deformation parameter (quadrupole)

$$\beta_k = \sqrt{\frac{\pi}{5}} \frac{Q_k}{N_{\text{tot}} \langle r^2 \rangle} \quad (k = x, y, z)$$

## Relation to angle-dependent radius $R(\theta, \phi)$

$$R(\theta, \phi) = R_0 \left[ 1 + \sum_{l=2}^{\infty} \sum_{m=-l}^l a_{lm} Y_{lm}^*(\theta, \phi) \right]$$

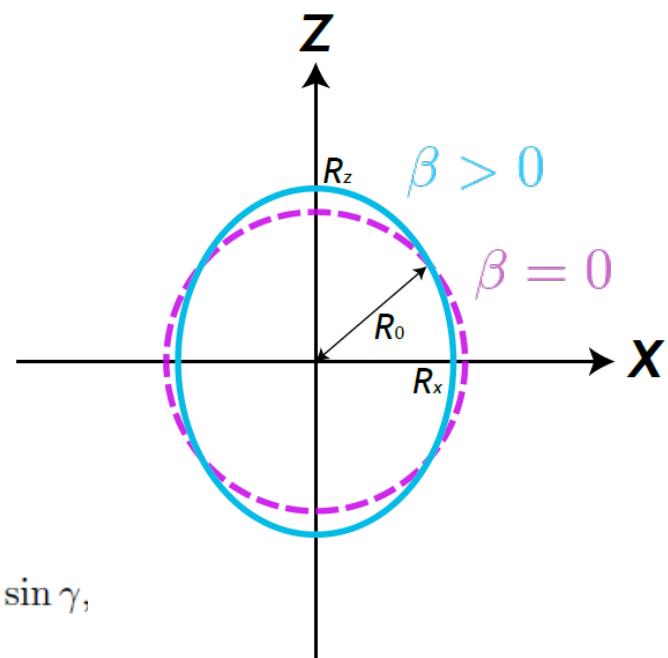
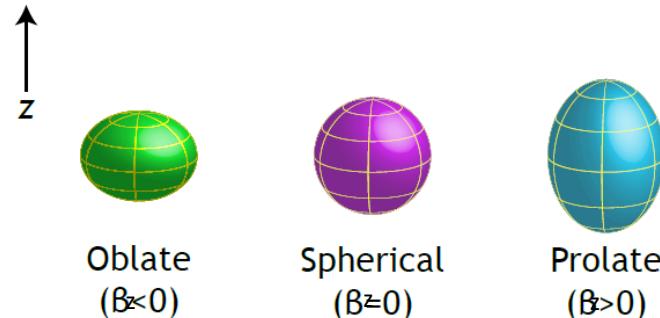
For axially symmetric quadrupole deformation

$$R_x = R_y = R(\pi/2, \phi) = R_0 \left( 1 - \sqrt{\frac{5}{16\pi}} \beta \right)$$

$$R_z = R(0, \phi) = R_0 \left( 1 + 2\sqrt{\frac{5}{16\pi}} \beta \right),$$

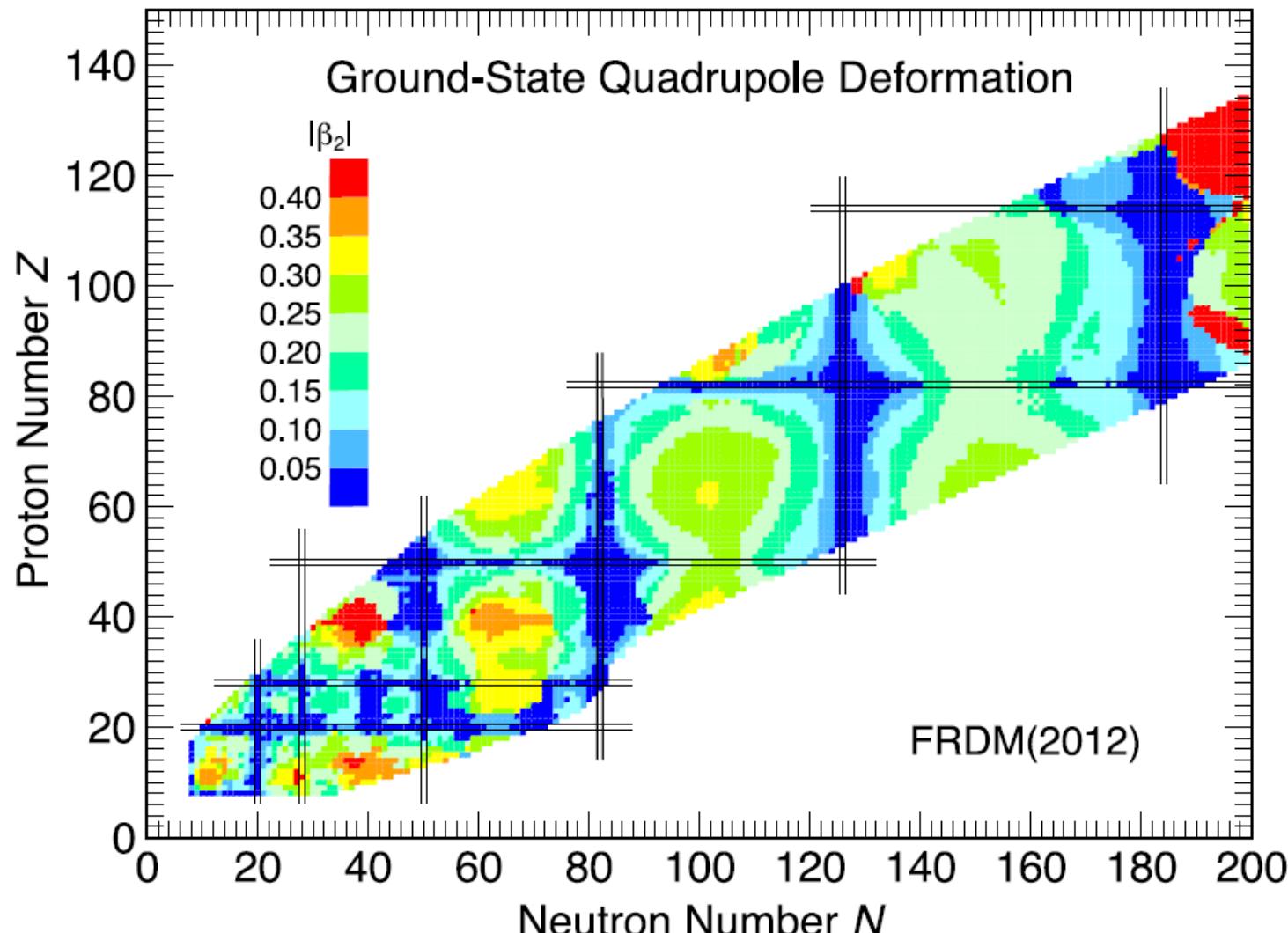
$$\beta = \beta_z \quad \beta_x = \beta_y = -\beta_z/2 \quad \gamma = 0$$

$$\begin{aligned} a'_{20} &= \beta \cos \gamma, \\ a'_{22} &= a'_{2-2} = \frac{1}{\sqrt{2}} \beta \sin \gamma, \\ a'_{21} &= a'_{2-1} = 0, \end{aligned}$$



# Collective deformations in nuclear systems

- Deformed nuclei:  $|\beta| \gtrsim 0.2 - 0.3$

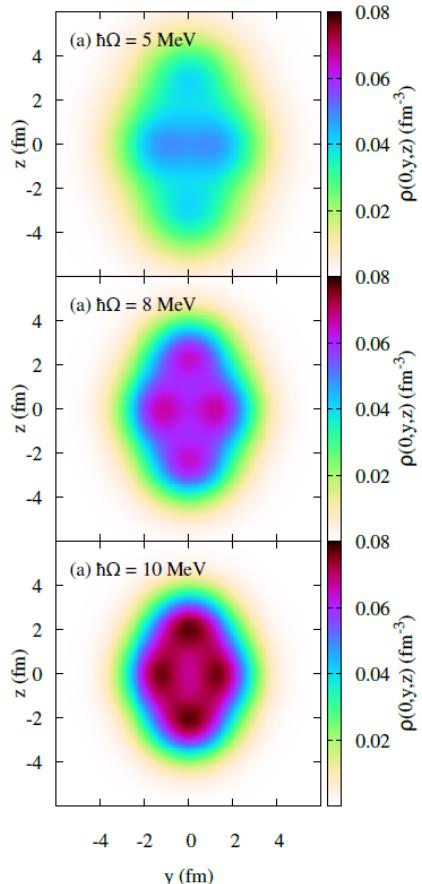


# Examples of deformation parameter $\beta$ in nuclei

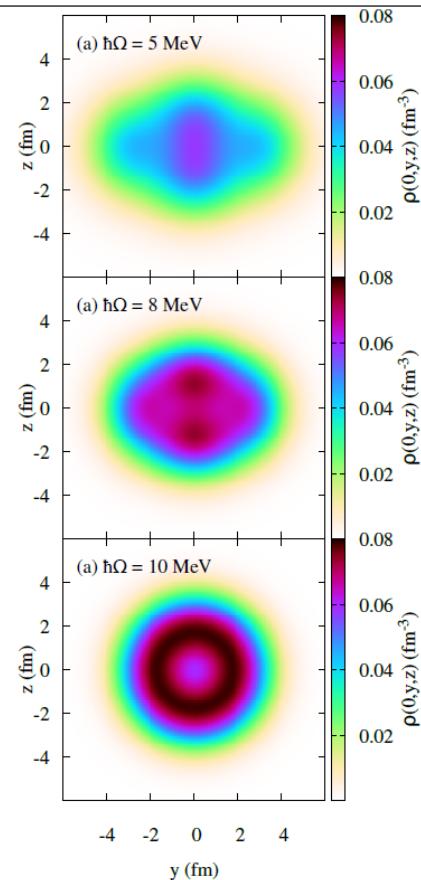
## Neutron drop model (neutrons in a harmonic potential $m_n \Omega^2 r^2 / 2$ )

- Deformations  $|\beta| \gtrsim 0.2 - 0.3$

System	$\hbar\Omega$ (MeV)	$\langle r^2 \rangle^{1/2}$ (fm)	$\beta_z$
$^{10}n$	5	3.67	0.34
	8	3.14	0.28
	10	2.91	0.23



System	$\hbar\Omega$ (MeV)	$\langle r^2 \rangle^{1/2}$ (fm)	$\beta_z$
$^{14}n$	5	3.91	-0.31
	8	3.32	-0.20
	10	3.08	0.00



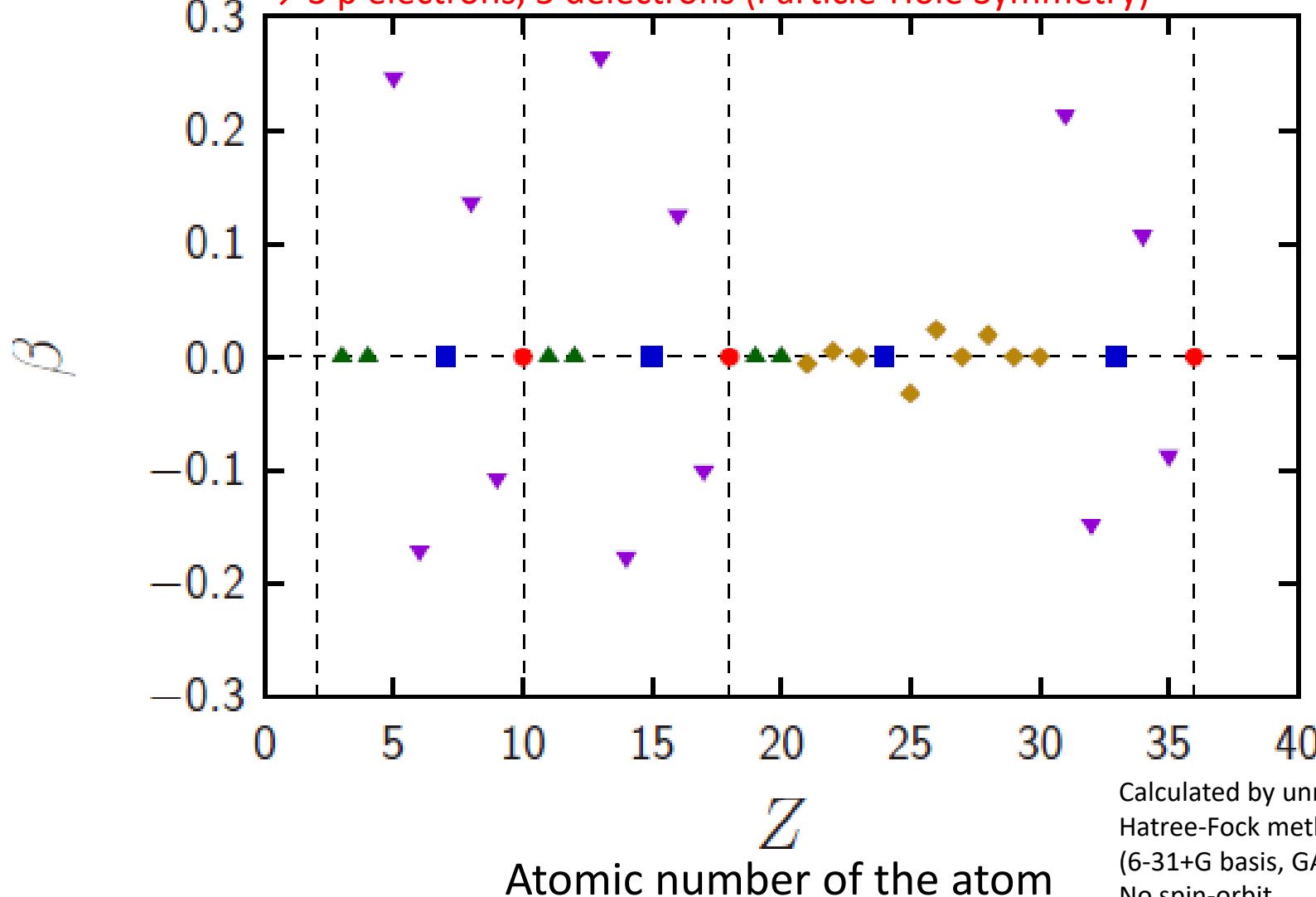
Calculated with 3D  
relativistic Hartree-Fock method  
(PK1 parameter for nucleon-meson)

# Mains result: Deformation parameters of atoms

- Noble gas
- ▲ s-block
- ◆ d-block
- Half-closed shell
- ▼ p-block

→ 3 p electrons, 5 delectrons (Particle-Hole Symmetry)

Deformation parameter

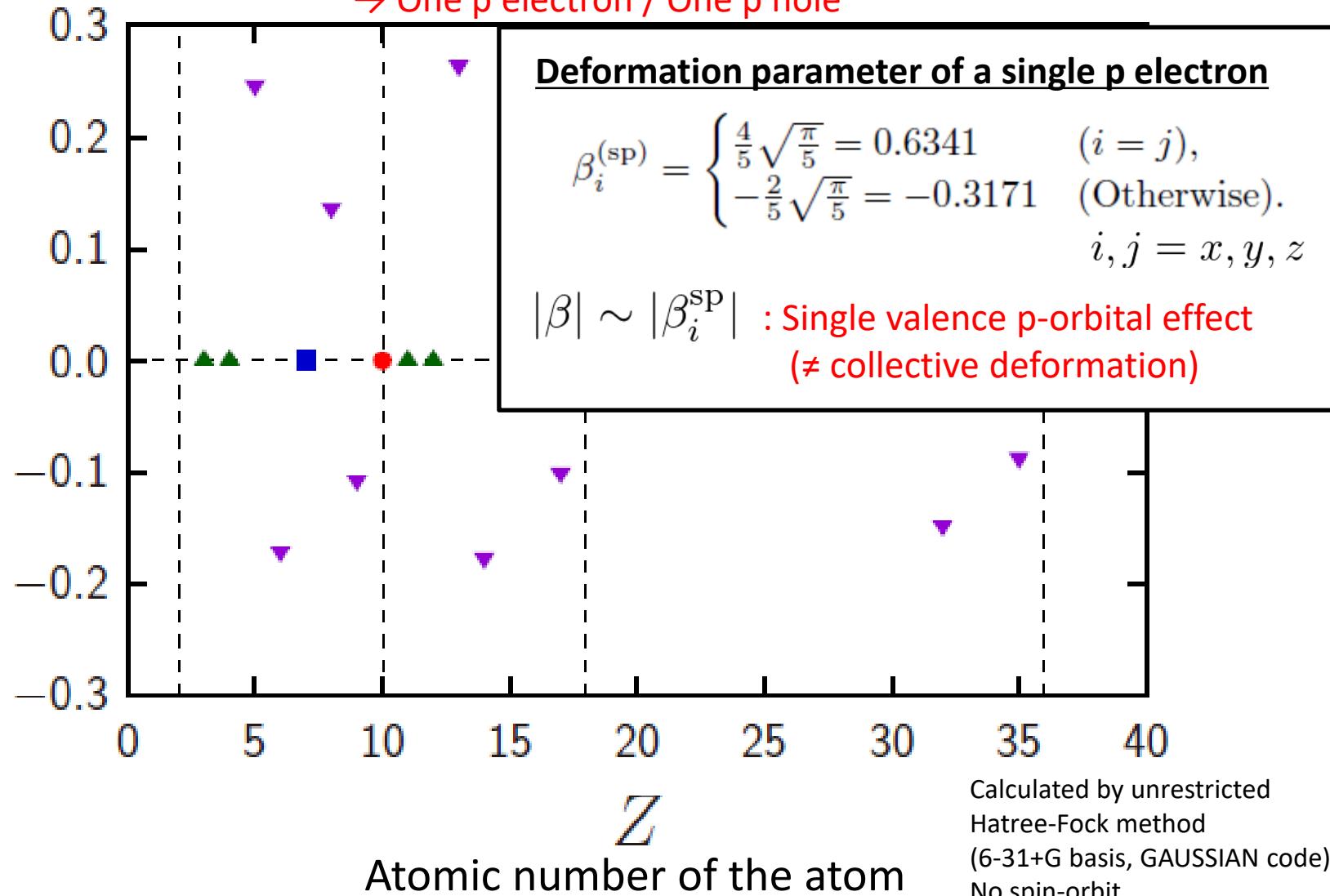


Calculated by unrestricted  
Hartree-Fock method  
(6-31+G basis, GAUSSIAN code)  
No spin-orbit.

# Mains result: Deformation parameters of atoms

- Noble gas
- ▲ s-block
- Half-closed shell
- ▼ p-block
- ◆ d-block

→ One p electron / One p hole



# Mains result: Deformation parameters of atoms

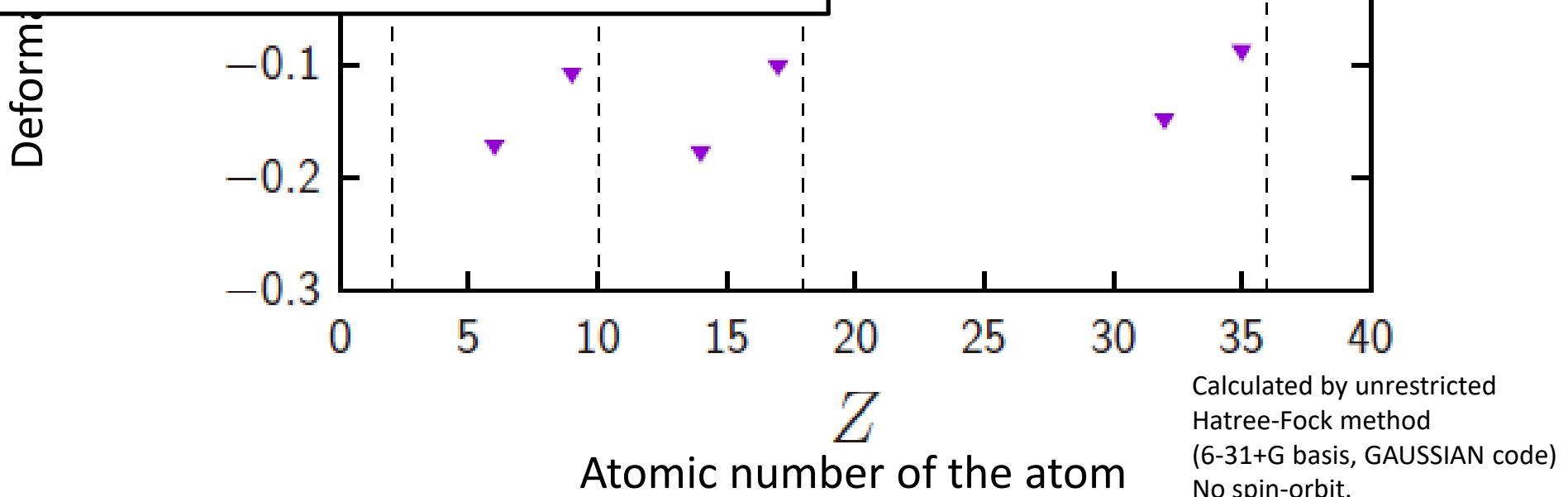
- Noble gas
  - ▲ s-block
  - Half-closed shell
  - ▼ p-block
  - ◆ d-block
- One d electron / One d hole  
Two d electrons / Two d holes

0.3

## Deformation parameter of a single d electron

$$\beta_z^{(sp)} = \begin{cases} -\frac{4}{7}\sqrt{\frac{\pi}{5}} = -0.4530 & d_{xy}, d_{z^2} \\ \frac{2}{7}\sqrt{\frac{\pi}{5}} = 0.2265 & d_{yz}, d_{zx}, d_{x^2-y^2} \end{cases}$$

$|\beta| \ll |\beta_i^{sp}|$  : Not single-particle effect  
 $\Rightarrow$  Many-body effect. Suppression



# Sc atom (Z=21): Closed shell + $(3d_{xy})^1 + (4s)^2$

Spin	NO	$\varepsilon_j$	$\langle r^2 \rangle_{nl}$	$q_z^{(sp)}$	$\beta_z^{(sp)}$
$\alpha$	1s	-165.8952	0.0073	0.0000	0.0000
$\alpha$	2s	-19.0956	0.1393	0.0000	0.0000
$\alpha$	2p <sub>z</sub>	-15.6861	0.1162	0.0930	0.6341
$\alpha$	2p <sub>x</sub>	-15.6830	0.1164	-0.0466	-0.3171
$\alpha$	2p <sub>y</sub>	-15.6830	0.1164	-0.0466	-0.3171
$\alpha$	3s	-2.5988	1.3396	-0.0031	-0.0018
$\alpha$	3p <sub>x</sub>	-1.6232	1.6265	-0.6506	-0.3171
$\alpha$	3p <sub>y</sub>	-1.6232	1.6265	-0.6506	-0.3171
$\alpha$	3p <sub>z</sub>	-1.5893	1.6107	1.2886	0.6341
$\alpha$	3d <sub>xy</sub>	-0.3375	3.7873	-2.1642	-0.4530
$\alpha$	4s	-0.2171	17.4198	-0.2152	-0.0098
$\beta$	1s	-165.8951	0.0073		
$\beta$	2s	-19.0832	0.1391		
$\beta$	2p <sub>z</sub>	-15.6837	0.1162		
$\beta$	2p <sub>x</sub>	-15.6657	0.1161		
$\beta$	2p <sub>y</sub>	-15.6657	0.1161		
$\beta$	3s	-2.5451	1.3370	0.0387	0.0230
$\beta$	3p <sub>z</sub>	-1.5798	1.6156	1.2925	0.6341
$\beta$	3p <sub>x</sub>	-1.5273	1.6196	-0.6478	-0.3171
$\beta$	3p <sub>y</sub>	-1.5273	1.6196	-0.6478	-0.3171
$\beta$	4s	-0.2051	18.5388	1.9406	0.0830
Total			53.1312	-0.4189	-0.0062

**Deformation parameter of a single d electron**

$$\beta_i^{(sp)} = \begin{cases} -\frac{4}{7}\sqrt{\frac{\pi}{5}} = -0.4530 & d_{xy}, d_{z^2} \\ \frac{2}{7}\sqrt{\frac{\pi}{5}} = 0.2265 & d_{yz}, d_{zx}, d_{x^2-y^2} \end{cases}$$

Large deformation of 4s orbital  
 ← cancelling 3d<sub>xy</sub> deformation!  
 ← Very small total deformation

# Sc atom (Z=21): Closed shell + $(3d_{xy})^1 + (4s)^2$

Spin	NO	$\varepsilon_j$	$\langle r^2 \rangle_{nl}$	$q_z^{(sp)}$	$\beta_z^{(sp)}$
$\alpha$	1s	-165.8952	0.0073	0.0000	0.0000
$\alpha$	2s	-19.0956	0.1393	0.0000	0.0000
$\alpha$	2p <sub>z</sub>	-15.6861	0.1162	0.0930	0.6341
$\alpha$	2p <sub>x</sub>	-15.6830	0.1164	-0.0466	-0.3171
$\alpha$	2p <sub>y</sub>	-15.6830	0.1164	-0.0466	-0.3171
$\alpha$	3s	-2.5988	1.3396	-0.0031	-0.0018
$\alpha$	3p <sub>x</sub>	-1.6232	1.6265	-0.6506	-0.3171
$\alpha$	3p <sub>y</sub>	-1.6232	1.6265	-0.6506	-0.3171
$\alpha$	3p <sub>z</sub>	-1.5893	1.6107	1.2886	0.6341
$\alpha$	3d <sub>xy</sub>	-0.3375	3.7873	-2.1642	-0.4530
$\alpha$	4s	-0.2171	17.4198	-0.2152	-0.0098
$\beta$	1s	-165.8951	0.0073	0.0000	0.0000
$\beta$	2s	-19.0832	0.1391	0.0001	0.0007
$\beta$	2p <sub>z</sub>	-15.6837	0.1162	0.0929	0.6341
$\beta$	2p <sub>x</sub>	-15.6657	0.1161	-0.0464	-0.3171
$\beta$	2p <sub>y</sub>	-15.6657	0.1161	-0.0464	-0.3171
$\beta$	3s	-2.5451	1.3370	0.0387	0.0230
$\beta$	3p <sub>z</sub>	-1.5798	1.6156	1.2925	0.6341
$\beta$	3p <sub>x</sub>	-1.5273	1.6196	-0.6478	-0.3171
$\beta$	3p <sub>y</sub>	-1.5273	1.6196	-0.6478	-0.3171
$\beta$	4s	-0.2051	18.5388	1.9406	0.0830
Total		53.1312	-0.4189	-0.0062	

← Small deformation  
(Pauli principle)

Screening effect of e-e  
Coulomb interaction  
(repulsive Coulomb force)  
 $\langle r^2 \rangle_{4s} > \langle r^2 \rangle_{3d_{xy}}$

Large deformation of 4s orbital  
 ← cancelling  $3d_{xy}$  deformation!

# Ga atom ( $Z=31$ ) : Closed shell + $(4p_z)^1 + (4s)^2$

Spin NO	$\varepsilon_j$	$\langle r^2 \rangle_{nl}$	$q_x^{(sp)}$	$q_y^{(sp)}$	$q_z^{(sp)}$
$\alpha$ 1s	-378.6802	0.0033	0.0000	0.0000	0.0000
$\alpha$ 2s	-47.5198	0.0623	0.0000	0.0000	0.0000
$\alpha$ 2p <sub>z</sub>	-42.4832	0.0452	-0.0181	-0.0181	+0.0362
$\alpha$ 2p <sub>x</sub>	-42.4826	0.0452	+0.0362	-0.0181	-0.0181
$\alpha$ 2p <sub>y</sub>	-42.4826	0.0452	-0.0181	+0.0362	-0.0181
$\alpha$ 3s	-6.2558	0.5350	+0.0004	+0.0004	-0.0008
$\alpha$ 3p <sub>x</sub>	-4.4690	0.5485	+0.4388	-0.2194	-0.2194
$\alpha$ 3p <sub>y</sub>	-4.4690	0.5485	-0.2194		
$\alpha$ 3p <sub>z</sub>	-4.4678	0.5474	-0.2189		
$\alpha$ 3d <sub>x<sup>2</sup>-y<sup>2</sup></sub>	-1.1813	0.7753	+0.2215		
$\alpha$ 3d <sub>xy</sub>	-1.1813	0.7753	+0.2215		
$\alpha$ 3d <sub>yz</sub>	-1.1761	0.7753	-0.4430		
$\alpha$ 3d <sub>zx</sub>	-1.1761	0.7753	+0.2215		
$\alpha$ 3d <sub>z<sup>2</sup></sub>	-1.1743	0.7757	-0.2239		
$\alpha$ 4s	-0.4471	7.5754	+0.0036	+0.0036	-0.0072
$\alpha$ 4p <sub>z</sub>	-0.2086	13.9574	-5.5830	-5.5830	+11.1659

## Deformation parameter of a single p electron

$$\beta_i^{(sp)} = \begin{cases} \frac{4}{5} \sqrt{\frac{\pi}{5}} = 0.6341 & (i = j), \\ -\frac{2}{5} \sqrt{\frac{\pi}{5}} = -0.3171 & (\text{Otherwise}). \end{cases} \quad i, j = x, y, z$$

← Large deformation of 4p<sub>z</sub> electron  
( $\beta z = 0.6341\dots$ )

Screening effect impossible  
 $\langle r^2 \rangle_{4s} \ll \langle r^2 \rangle_{4pz}$   
 Little wavefunction overlap

$\beta$ 1s	-378.6792	0.0033	0.0000	0.0000	0.0000
$\beta$ 2s	-47.5192	0.0623	0.0000	0.0000	0.0000
$\beta$ 2p <sub>x</sub>	-42.4823	0.0452	+0.0362	-0.0181	-0.0181
$\beta$ 2p <sub>y</sub>	-42.4823	0.0452	-0.0181	+0.0362	-0.0181
$\beta$ 2p <sub>z</sub>	-42.4796	0.0452	-0.0181	-0.0181	+0.0362
$\beta$ 3s	-6.2535	0.5349	+0.0007	+0.0007	-0.0013
$\beta$ 3p <sub>x</sub>	-4.4682	0.5483	+0.4386	-0.2193	-0.2193
$\beta$ 3p <sub>y</sub>	-4.4682	0.5483	-0.2193	+0.4386	-0.2193
$\beta$ 3p <sub>z</sub>	-4.4585	0.5477	-0.2191	-0.2191	+0.4382
$\beta$ 3d <sub>x<sup>2</sup>-y<sup>2</sup></sub>	-1.1808	0.7753	+0.2215	+0.2215	-0.4430
$\beta$ 3d <sub>xy</sub>	-1.1808	0.7753	+0.2215	+0.2215	-0.4430
$\beta$ 3d <sub>yz</sub>	-1.1720	0.7753	-0.4430	+0.2215	+0.2215
$\beta$ 3d <sub>zx</sub>	-1.1720	0.7753	+0.2215	-0.4430	+0.2215
$\beta$ 3d <sub>z<sup>2</sup></sub>	-1.1691	0.7756	-0.2133	-0.2133	+0.4267
$\beta$ 4s	-0.3877	7.3770	-0.0070	-0.0070	+0.0139
Total		41.4245	-5.5788	-5.5788	+11.1576

← Small deformation of 4s orbital!  
 ← Very large total deformation

# Mains result: Deformation parameters of atoms

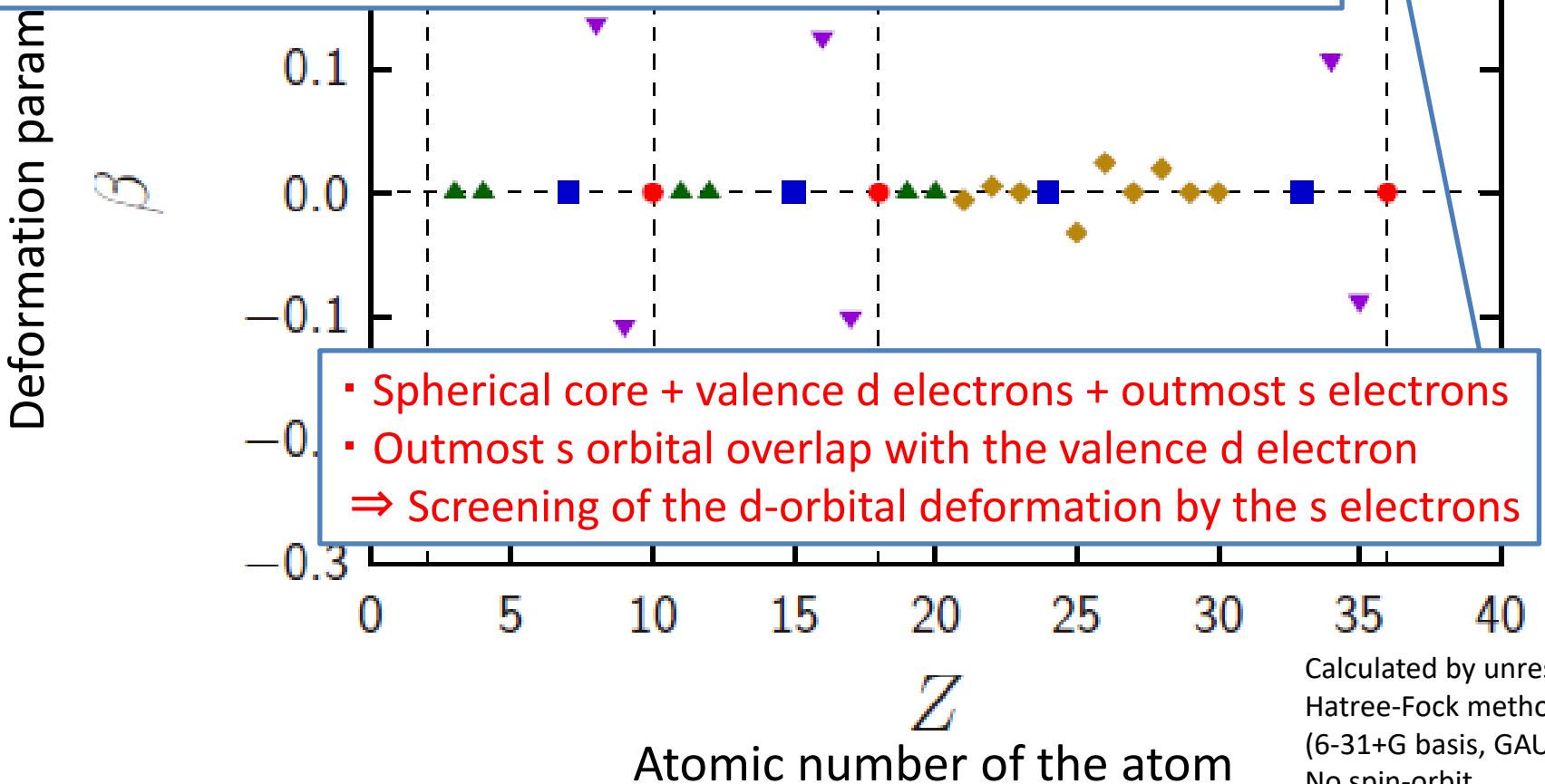
- Noble gas
- Half-closed shell

▲ *s-block*

▼ *p-block*

◆ *d-block*

- Spherical core + valence p electrons + outmost s electrons
- Outmost s orbital have little overlap with the valence p electrons  
⇒ Little screening effect



# Why atoms and nuclei differ?: Qualitative discussion

## Deformed many-body wavefunction

*Bohigas, Lane, Martorell, Phys. Rep. (1979).  
Bertsch, Feldmeier, Phys. Rev. C (1997)*

$$|\Psi_\beta\rangle = e^{\beta[H,Q]} |\Psi_0\rangle \quad Q = m \sum_{i=1}^N \left[ z_i^2 - \frac{1}{2} (x_i^2 + y_i^2) \right]$$

$$\Psi_\beta(x_1, y_1, z_1, x_2, y_2, z_2, \dots) = \Psi_0(e^\beta x_1, e^\beta y_1, e^{-2\beta} z_1, e^\beta x_2, e^\beta y_2, e^{-2\beta} z_2, \dots)$$

## Variation of energy with the infinitesimal deformation $|\beta| \ll 1$

### Kinetic part

$$\langle \Psi_\beta | T | \Psi_\beta \rangle = \langle \Psi_0 | T | \Psi_0 \rangle + 4\beta^2 \langle \Psi_0 | T | \Psi_0 \rangle + O(\beta^4)$$

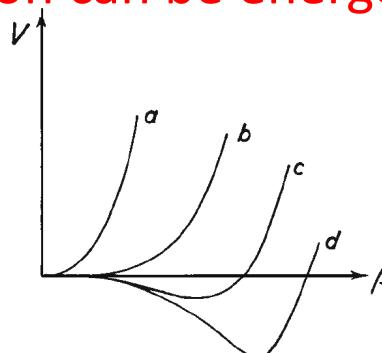
$\geq 0$  : Kinetic part disfavor deformation

### Interaction part (direct interaction energy)

$$\langle \Psi_\beta | V_{\text{int}} | \Psi_\beta \rangle = \langle \Psi_0 | V_{\text{int}} | \Psi_0 \rangle + \frac{16\pi\beta^2}{5} \int r^3 dr \int r'^3 dr' V_2(r, r') \frac{d\rho_0(r)}{dr} \frac{d\rho_0(r')}{dr'} + O(\beta^4)$$

If  $< 0$ , deformation can be energetically favored

$$V_{\text{int}}(\mathbf{r} - \mathbf{r}') = \sum_{\ell,m} V_\ell(r, r') Y_{\ell,m}(\hat{\mathbf{r}}) Y_{\ell,m}^*(\hat{\mathbf{r}}')$$



*Mottelson,  
Nobel Lecture (1975)*

# Why atoms and nuclei differ?: Qualitative discussion

$$\frac{16\pi\beta^2}{5} \int r^3 dr \int r'^3 dr' V_2(r, r') \frac{d\rho_0(r)}{dr} \frac{d\rho_0(r')}{dr'}$$

## Atoms and nuclei

$\frac{d\rho(r)}{dr} \leq 0$  for most region (except for complicated surface)

 Sign of  $V_2$  determines deformability

## Nuclei

$$V_{\text{int}}(\mathbf{r}) = -g\delta^{(3)}(\mathbf{r}) \leq 0 \quad \rightarrow \quad V_2 = -g \frac{\delta(r - r')}{rr'} < 0$$

Deformation may be energetically favored (c,d)

## Atoms

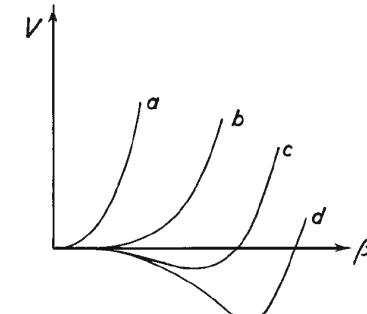
$$V_{\text{int}}(\mathbf{r}) = \frac{e^2}{r} \quad \rightarrow \quad V_2(r, r') = \frac{4\pi}{5} \frac{r_{<}^2}{r_{>}^3} > 0$$

Deformation energetically disfavored (a,b)

※ Surface (valence) region may show deformation because

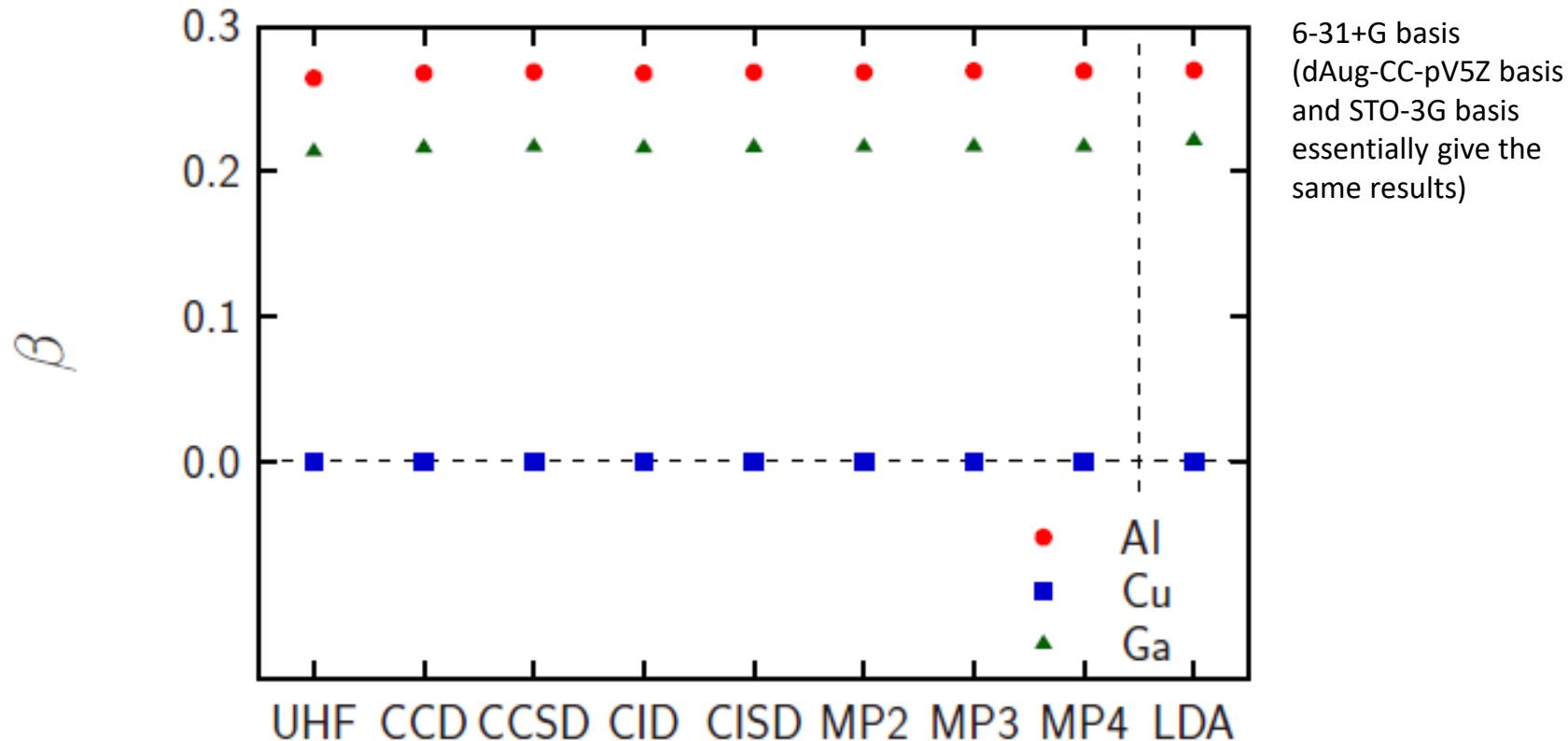
1. Exchange-correlation part of int. energy is relevant.

2.  $\frac{d\rho(r)}{dr} \leq 0$  does not hold.



Mottelson,  
Nobel Lecture (1975)

# Comparison between various different methods



## Hartree-Fock

UHF: unrestricted Hartree-Fock

## Coupled-cluster method

CCD: with double excitations

CCSD: with single-double excitations

## Configuration Interaction

CID: with double excitations

CISD: with single-double excitations

## Møller-Plesset perturbation theory

MP2: second order

MP3: third order

MP4: fourth order (restricted to single, double, quadruple)

## Density functional theory

LDA: local density approximation PZ81

GAUSSIAN code for all the calculations

# Conclusion

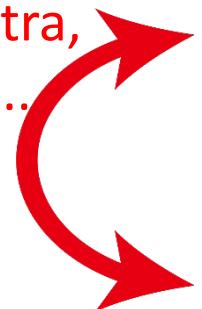
## Motivation

- Can atoms be deformed?
- What is the difference between atoms and nuclei?

## Main results (back-to-home message)

- Atoms can show large deformation  $|\beta| \gtrsim 0.2 - 0.3$
- But they **do not deform collectively**.
- Atoms can be non-spherical **only in the surface region via single-particle effect** of the valence electron
- Many-body effects **suppress deformations (screening)**
- Repulsive Coulomb interaction  $\Leftrightarrow$  Attractive nuclear force

Repulsive long-range  
Coulomb force between  
electrons  
⇒ Deformation disfavored  
(screening effect)  
⇒ No rotational spectra,  
No scissors mode,...



Attractive short-range  
nuclear force between  
nucleons  
⇒ Deformation favored  
⇒ Rotational spectra,  
scissors mode,...

