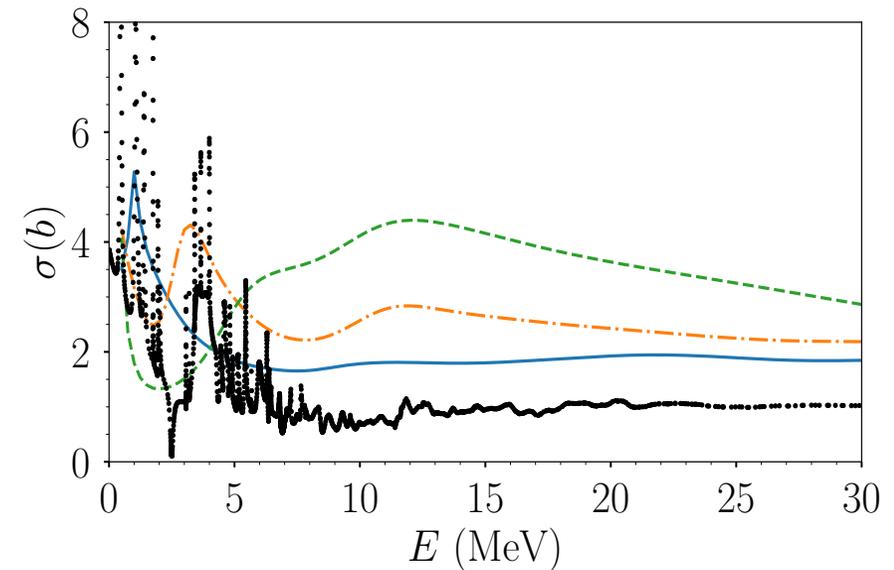
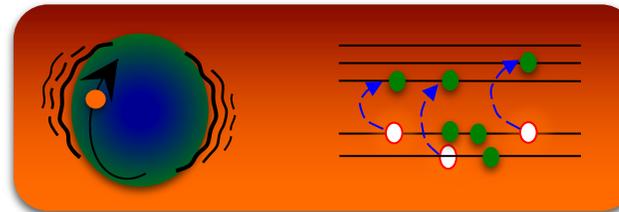
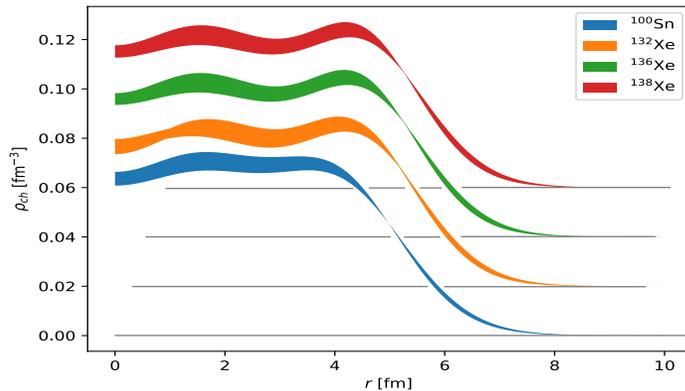




# Self-consistent Green's function and other propagator-based approaches to the fermionic many-body problem

Carlo Barbieri — Università degli studi di Milano

1 February 2021





# Many-Body Green's Functions

Tentative schedule:

Lecture 1: Definitions of Many-body Green's functions (MBGFs), relation to experiment

Lecture 2: - Diagrammatic expansion, Dyson eq. etc.. :  
- ADC(n) formalism – how to solve it  
- PV coupling (Faddeev RPA)

Lecture 3: Gorkov formalism (pairing and particle number breaking)  
Many-body forces  
Handling short-range repulsion

Seminar: Applications to Nuclear Physics



Some emphasis  
on the most recent  
computational methods

# *Many-Body Green's Functions*

Many-body Green's functions (MBGF) are a set of techniques that originated in quantum field theory but have then found wide applications to the many-body problem.

In this case, the focus are complex systems such as crystals, molecules, or atomic nuclei.

Development of formalism: late 1950s/ 1960s → imported from quantum field theory

1970s – today → applications and technical developments...

# *Many-Body Green's Functions*

Many-body Green's functions are a VAST formalism. They have a wide range of applications and contain a lot of information that is accessible from experiments.

Here we want to give an introduction:

- Teach the basic definitions and results
- Make connection with experimental quantities → gives insight into physics
- Discuss some specific application to many-bodies

# Many-Body Green's Functions

- Green's functions
  - Propagators
  - Correlation functions
- 
- names for the same objects
- Many-body Green's functions ← Green's functions applied to the MB problem
  - Self-consistent Green's functions (SCGF) ← a particular approach to calculate GFs

# Many-Body Green's Functions

## Books on many-body Green's Functions:

- A. L. Fetter and J. D. Walecka, *Quantum Theory of Many-Particle Physics*, (McGraw-Hill, New York, 1971)
- A. A. Abrikosov, L. P. Gorkov and I. E. Dzyaloshinski, *Methods of Quantum Field Theory in Statistical Physics* (Dover, New York, 1975)
  
- W. H. Dickhoff and D. Van Neck, *Many-Body Theory Exposed!*, 2nd ed. (World Scientific, Singapore, 2007)
  
- R. D. Mattuck, *A Guide to Feynman Diagrams in the Many-Body Problem*, (McGraw-Hill, 1976) [reprinted by Dover, 1992]
- J. P. Blaizot and G. Ripka, *Quantum Theory of Finite Systems*, (MIT Press, Cambridge MA, 1986)
- J. W. Negele and H. Orland, *Quantum Many-Particle Systems*, (Benjamin, Redwood City CA, 1988)
- ...

# Many-Body Green's Functions

## Recent reviews:

- F. Aryasetiawan and O. Gunnarsson, Rep. Prog. Phys. **61**, 237 (1998), arXiv:cond-mat/9712013. → GW method
- G. Onida, L. Reining and A. Rubio, Rev. Mod. Phys. **74**, 601 (2002). → comparison of TDDTF and GF
- H. Mütter and A. Polls, Prog. Part. Nucl. Phys. **45**, 243 (2000). → Applications to
- C.B. and W. H. Dickhoff, Prog. Part. Nucl. Phys. **52**, 377 (2004). nuclear physics

## (Some) classic papers on formalism:

- G. Baym and L. P. Kadanoff, Phys. Rev. **124**, 287 (1961).
- G. Baym, Phys. Rev. **127**, 1391 (1962).
- L. Hedin, Phys. Rev. **139**, A796 (1965).
- J. Schirmer et al., Phys. Rev. A26, 2395 (1982); Phys. Rev. A28, 1237 (1983)

# Reach of *ab initio* methods across the nuclear chart

## Approximate approaches for closed-shell nuclei

- Since 2000's
- SCGF, CC, IMSRG
- Polynomial scaling

## Approximate approaches for open-shells

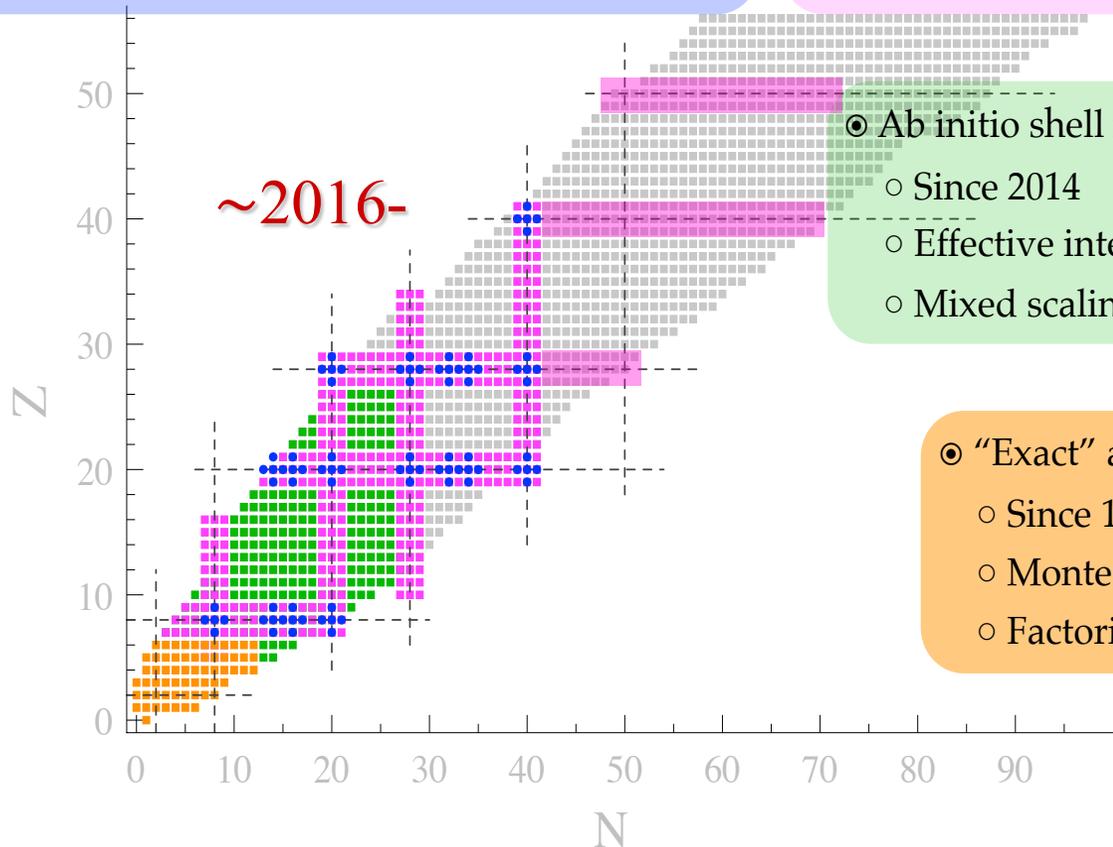
- Since 2010's
- GGF, BCC, MR-IMSRG
- Polynomial scaling

## Ab initio shell model

- Since 2014
- Effective interaction via CC/IMSRG
- Mixed scaling

## "Exact" approaches

- Since 1980's
- Monte Carlo, CI, ...
- Factorial scaling



## Key developments in SCGF:

Dyson ADC(2), ADC(3)  
Schirmer 1982

Dyson ADC(4), ADC(5)  
Schirmer 1983 (formalism)

Particle-vibration coupling, FRPA(3)  
CB 2000, 2007

Gorkov ADC(2): open shells!  
Somà 2011, 2013

3-nucleon forces basic formalism  
Carbone, Cipollone 2013

3NFs in Dyson ADC(3)  
Raimondi 2018

Gorkov ADC(3) and higher orders (automatic)  
Raimondi, Arthuis 2019

Deformation  
???

Symmetry restoration  
???

# Reach of *ab initio* methods across the nuclear chart

## Approximate approaches for closed-shell nuclei

- Since 2000's
- SCGF, CC, IMSRG
- Polynomial scaling

## Approximate approaches for open-shells

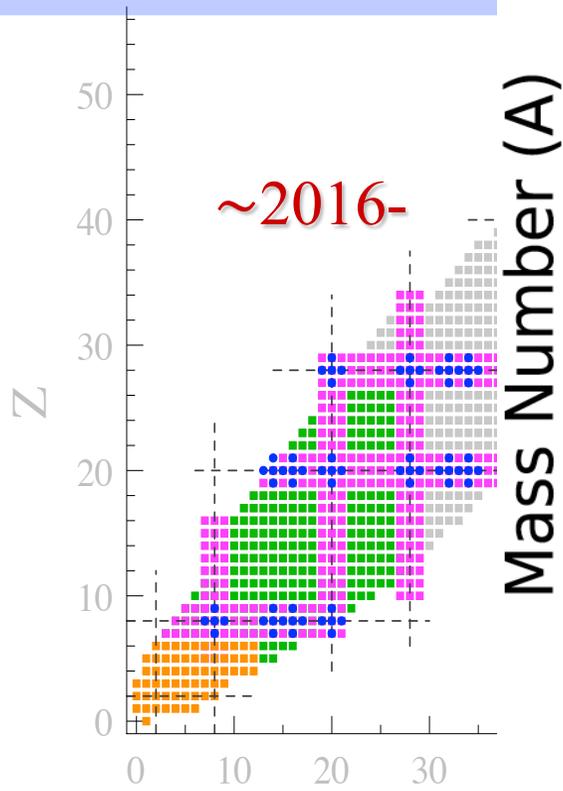
- Since 2010's

## Key developments in SCGF:

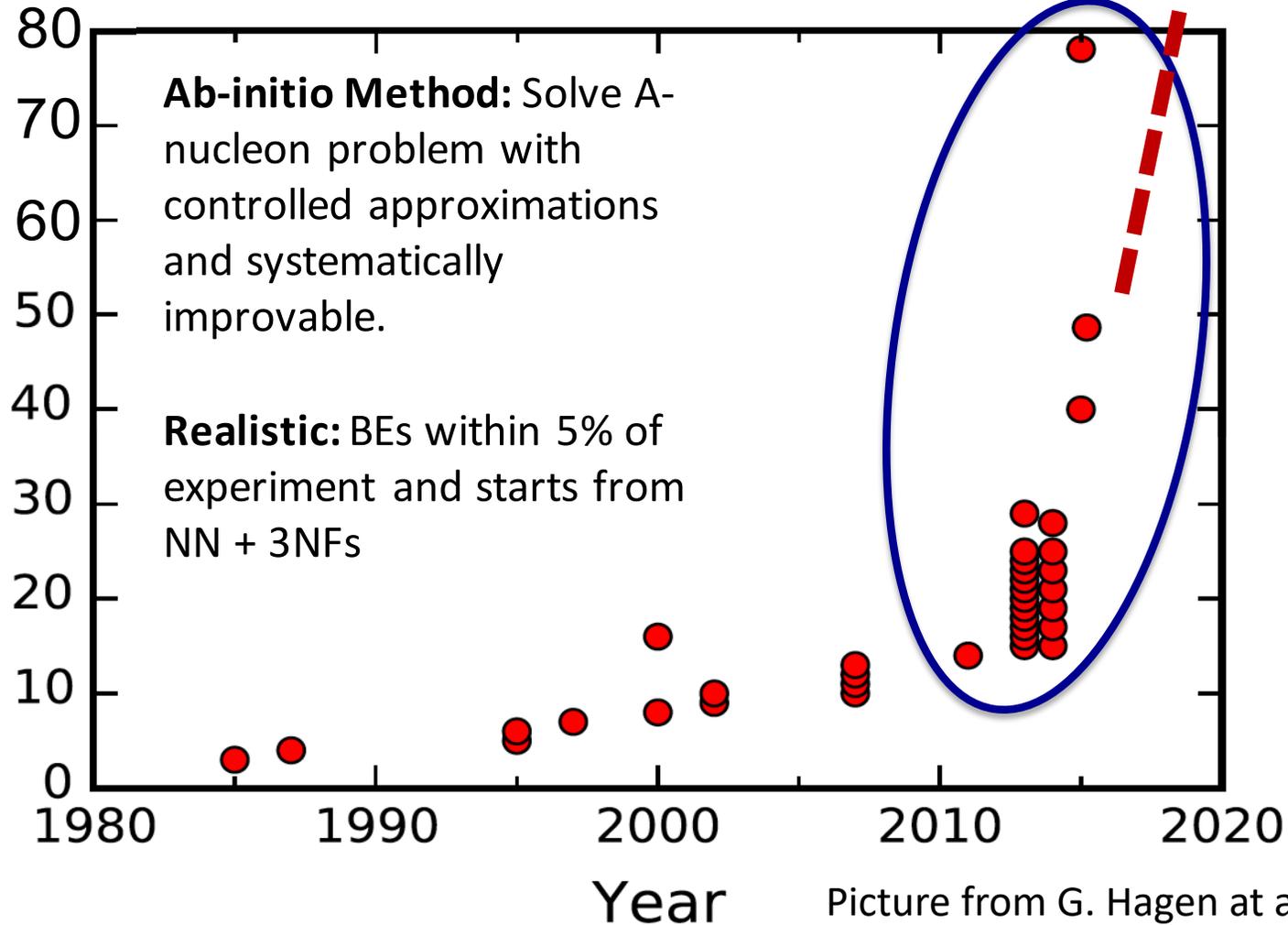
Dyson ADC(2), ADC(3)

**132-138Xe**

*P. Arhuis, CB, et al.,  
Phys. Rev. Lett. 125,  
182501 (2020).*



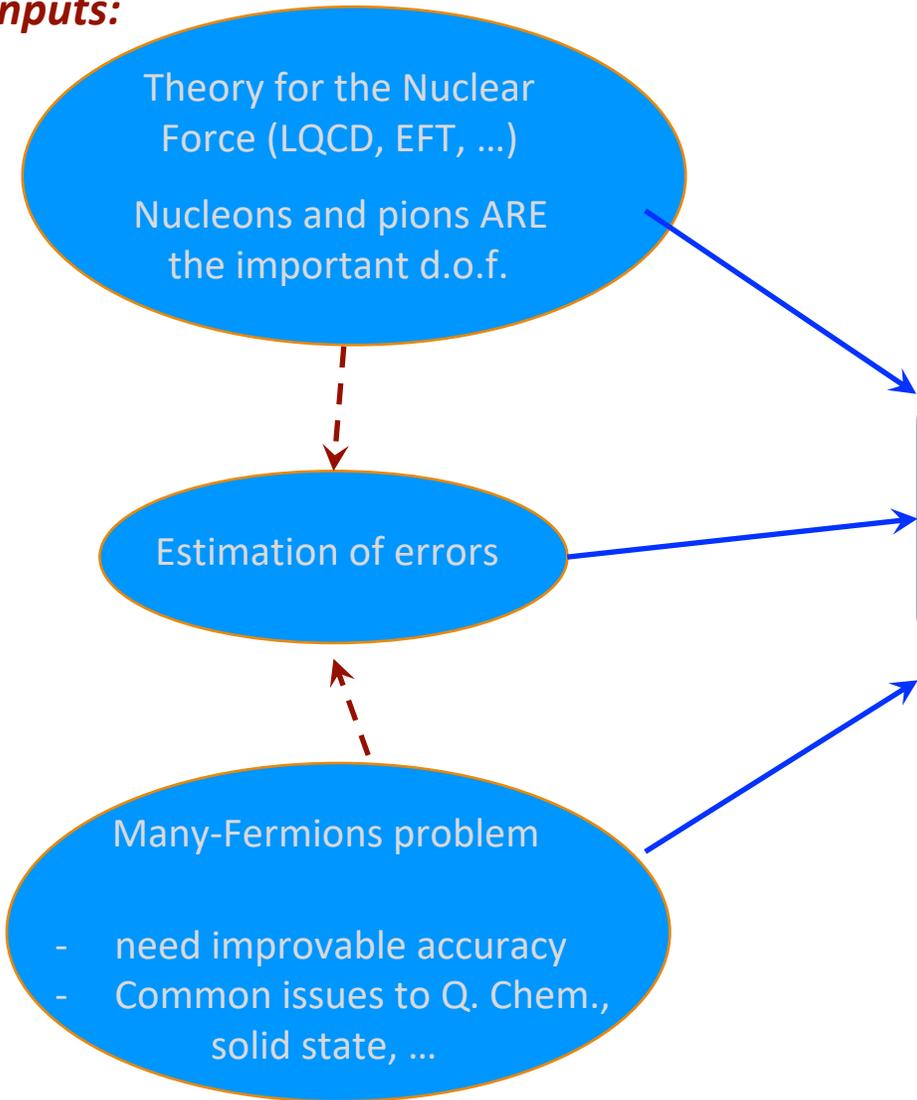
Slide, courtesy of V. Somà



Picture from G. Hagen et al., Nature (2016)

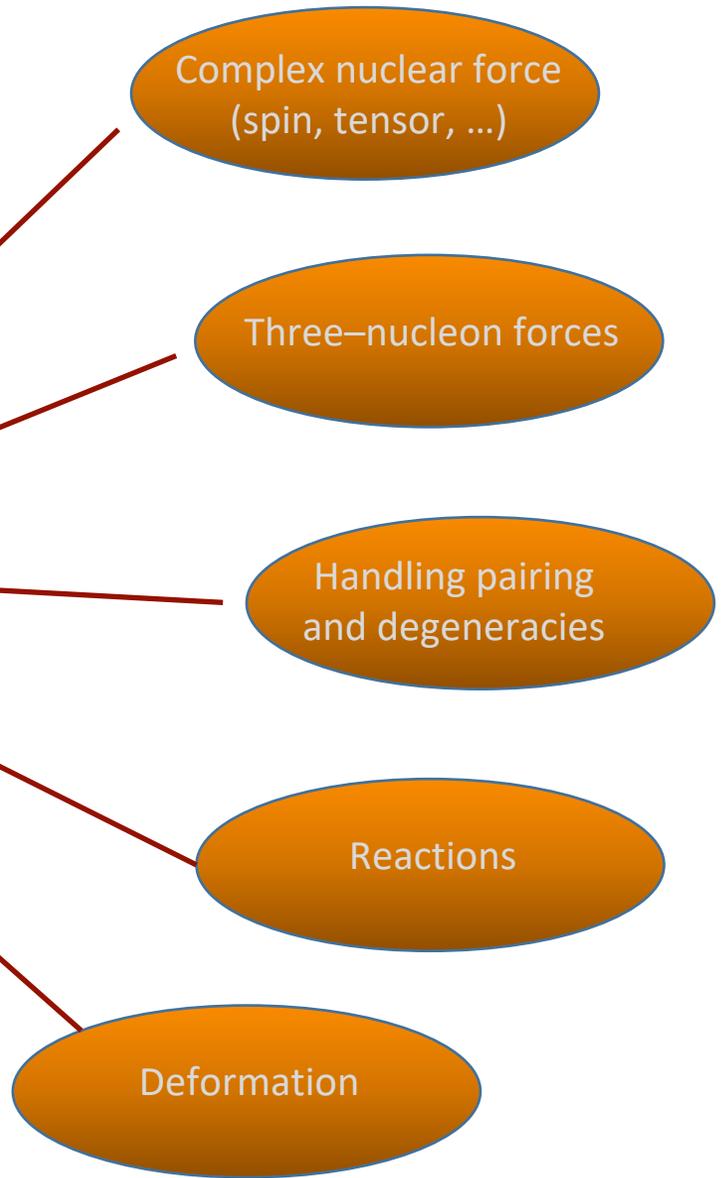
# The *ab initio* problem in Nuclear Physics

## Inputs:



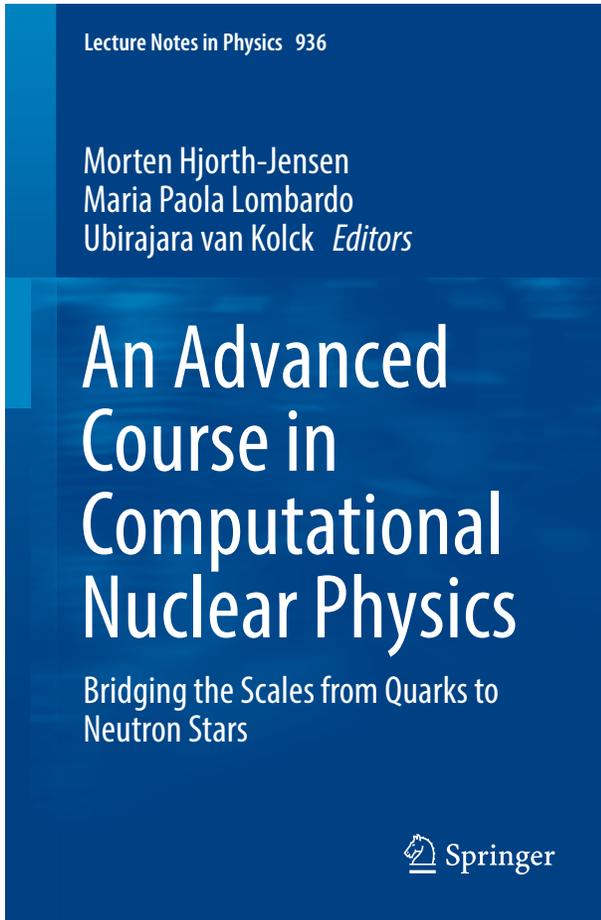
Understanding nuclei and their reactions  
...the parameter-free way

## Challenges:



# Ab-initio Nuclear Computation & BcDor code

Self-consistent Green's function formalism  
and methods for Nuclear Physics

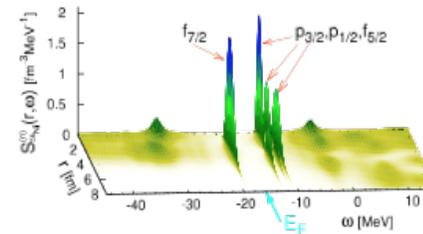


CB and A. Carbone,  
**chapter 11** of  
Lecture Notes in Physics 936 (2017)

<http://personal.ph.surrey.ac.uk/~cb0023/bcdor/>

<https://github.com/craolus/BoccaDorata-public>

## Computational Many-Body Physics



### Welcome

From here you can download a public version of my self-consistent Green's function (SCGF) code for nuclear physics. This is a code in J-coupled scheme that allows the calculation of the single particle propagators (a.k.a. one-body Green's functions) and other many-body properties of spherical nuclei.

This version allows to:

- Perform Hartree-Fock calculations.
- Calculate the correlation energy at second order in perturbation theory (MBPT2).
- Solve the Dyson equation for propagators (self consistently) up to second order in the self-energy.
- Solve coupled cluster CCD (doubles only!) equations.

When using this code you are kindly invited to follow the creative commons license agreement, as detailed at the weblinks below. In particular, we kindly ask you to refer to the publications that led the development of this software.

Relevant references (which can also help in using this code) are:

- Prog. Part. Nucl. Phys. 52, p. 377 (2004),
- Phys. Rev. A76, 052503 (2007),
- Phys. Rev. C79, 064313 (2009),
- Phys. Rev. C89, 024323 (2014)

Download

Documentation

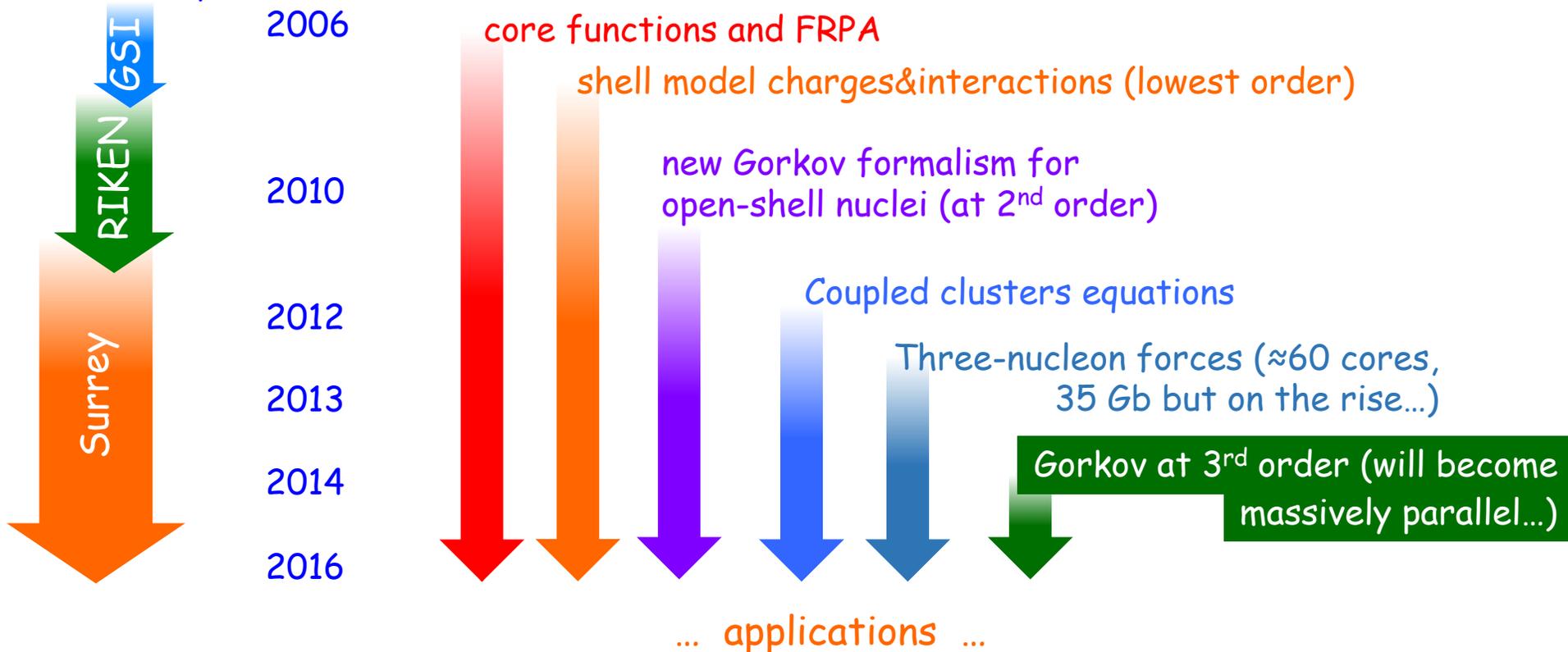
# Ab-initio Nuclear Computation & BcDor code

## BoccaDorata code:

(C. Barbieri 2006-16  
V. Somà 2010-15  
A. Cipollone 2011-14)

- Provides a *C++ class library* for handling many-body propagators ( $\approx 40,000$  lines, MPI&OpenMP based).
- Allows to solve for nuclear spectral functions, many-body propagators, RPA responses, coupled cluster equations and effective interaction/charges for the shell model.

## Code history:





# Propagating a free particle

Consider a free particle with Hamiltonian

$$h_1 = t + U(r)$$

the eigenstates and eigenenergies are  $h_1 |\phi_n\rangle = \varepsilon_n |\phi_n\rangle$

The time evolution is

$$i\hbar \frac{d}{dt} |\psi(t)\rangle = h_1 |\psi(t)\rangle \rightarrow |\psi(t)\rangle = e^{-ih_1 t/\hbar} |\psi_{tr}\rangle$$

$$\langle \mathbf{r} | \psi(t) \rangle = \langle \mathbf{r} | e^{-ih_1 t/\hbar} |\psi_{tr}\rangle = \int d\mathbf{r}' \langle \mathbf{r} | e^{-ih_1 t/\hbar} | \mathbf{r}' \rangle \langle \mathbf{r}' | \psi_{tr} \rangle$$

with:

$\langle \mathbf{r} | \psi_{tr} \rangle$  wave fnct. at  $t=0$

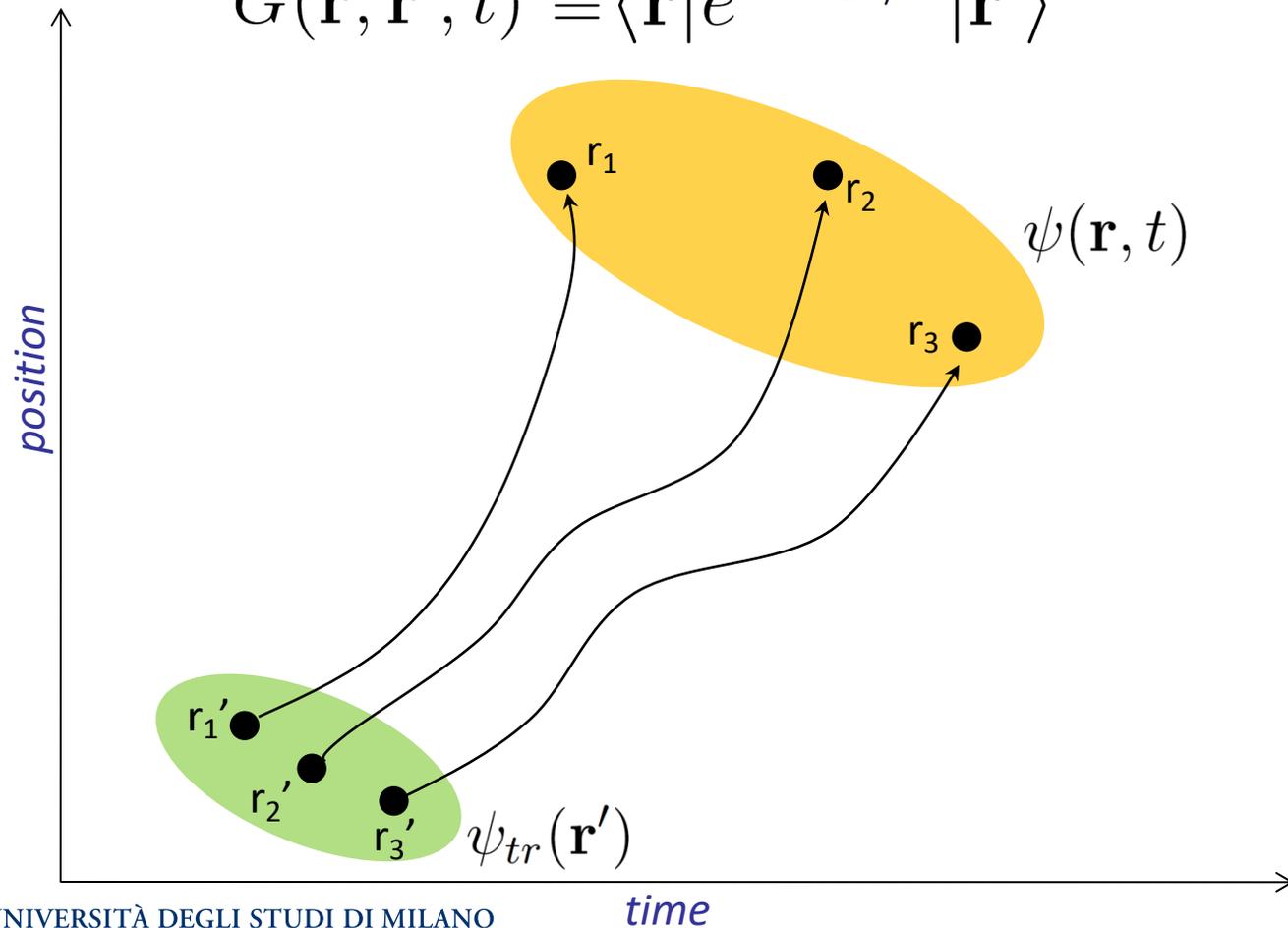
$\langle \mathbf{r} | \psi(t) \rangle$  wave fnct. at time  $t$



# Propagating a free particle

Green's function (=propagator) for a free particle:

$$G(\mathbf{r}, \mathbf{r}'; t) \equiv \langle \mathbf{r} | e^{-i\hat{h}_1 t / \hbar} | \mathbf{r}' \rangle$$



$$\langle \mathbf{r} | \psi(t) \rangle = \int d\mathbf{r}' G(\mathbf{r}, \mathbf{r}'; t) \psi_{tr}(\mathbf{r}')$$



# Propagating a free particle

Green's function (=propagator) for a free particle:

$$G(\mathbf{r}, \mathbf{r}'; t) \equiv \langle \mathbf{r} | e^{-iH_1 t / \hbar} | \mathbf{r}' \rangle$$
$$= \sum_n \underbrace{\langle \mathbf{r} | \phi_n \rangle e^{-i\varepsilon_n t / \hbar} \langle \phi_n | \mathbf{r}' \rangle}_{\text{Fourier transform of the eigenspectrum!}}$$

$\langle \mathbf{r} | \phi_n \rangle \rightarrow$  states

$\varepsilon_n \rightarrow$  energies

The spectrum of the Hamiltonian is separated by the FT because the time evolution is driven by H:  $e^{-iH(t-t_0)/\hbar}$



# Definitions of Green's functions

- Take a generic the Hamiltonian  $H$  and its static Schrödinger equation

$$H = H_0 + V$$
$$H |\Psi_n^N\rangle = E_n^N |\Psi_n^N\rangle$$

- We evolve in time the field operators instead of the wave function by using the Heisenberg picture

$$\psi_s^\dagger(\mathbf{r}, t) = e^{iHt/\hbar} \psi_s^\dagger(\mathbf{r}) e^{-iHt/\hbar}$$

$$\psi_s(\mathbf{r}, t) = e^{iHt/\hbar} \psi_s(\mathbf{r}) e^{-iHt/\hbar}$$

- ( $\rightarrow$  creation/annihilation of a particle in  $\vec{r}$  at time  $t$ )



# Definitions of Green's functions

- The one body propagator ( $\equiv$ Green's function) associated to the ground state is defined as

$$|\Psi_0^N\rangle$$

$$g_{ss'}(\mathbf{r}, t; \mathbf{r}', t') = -\frac{i}{\hbar} \langle \Psi_0^N | T[\psi_s(\mathbf{r}, t) \psi_{s'}^\dagger(\mathbf{r}', t')] | \Psi_0^N \rangle$$

- with the time ordering operator

$$T[\psi_s(\mathbf{r}, t) \psi_{s'}^\dagger(\mathbf{r}', t')] = \begin{cases} \psi_s(\mathbf{r}, t) \psi_{s'}^\dagger(\mathbf{r}', t'), & t > t' \text{ adds a particle} \\ \pm \psi_{s'}^\dagger(\mathbf{r}', t') \psi_s(\mathbf{r}, t), & t' > t \text{ removes a particle} \end{cases}$$

(+ for bosons,  
- for fermions)

- Expand t-dep in operators:

$$\psi_s(\mathbf{r}, t) = e^{iHt/\hbar} \psi_s(\mathbf{r}) e^{-iHt/\hbar} \rightarrow$$

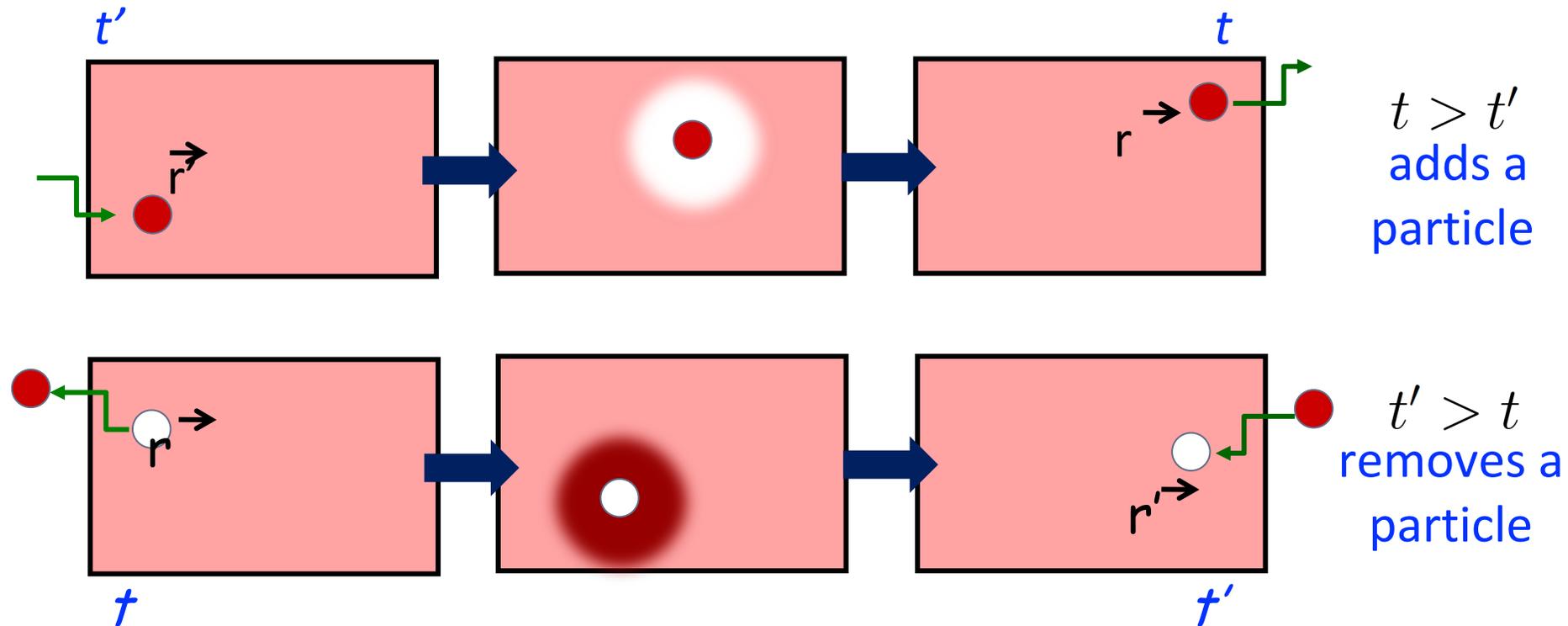


# Definitions of Green's functions

- With explicit time dependence:

$$g_{ss'}(\mathbf{r}, \mathbf{r}'; t - t') = -\frac{i}{\hbar} \theta(t - t') \langle \Psi_0^N | \psi_s(\mathbf{r}) e^{-i(H - E_0^N)(t - t')/\hbar} \psi_{s'}^\dagger(\mathbf{r}') | \Psi_0^N \rangle$$

$$\mp \frac{i}{\hbar} \theta(t' - t) \langle \Psi_0^N | \psi_{s'}^\dagger(\mathbf{r}') e^{i(H - E_0^N)(t - t')/\hbar} \psi_s(\mathbf{r}) | \Psi_0^N \rangle .$$



# Definitions of Green's functions

- Green's function can be defined in *any* single-particle basis (not just  $\mathbf{r}$  or  $\mathbf{k}$  space). So let's call  $\{\alpha\}$  a general orthonormal basis with wave functions  $\{u_\alpha(\mathbf{r})\}$

$$\psi^\dagger(\mathbf{r}) = \sum_{\alpha} c_{\alpha}^{\dagger} u_{\alpha}^{*}(\mathbf{r})$$

- The Heisenberg operators are:

$$c_{\alpha}^{\dagger}(t) = e^{iHt/\hbar} c_{\alpha}^{\dagger} e^{-iHt/\hbar}$$

$$c_{\alpha}(t) = e^{iHt/\hbar} c_{\alpha} e^{-iHt/\hbar}$$

- and

$$g_{ss'}(\mathbf{r}, t; \mathbf{r}', t') = \sum_{\alpha\beta} u_{\alpha}(\mathbf{r}, s) g_{\alpha\beta}(t, t') u_{\beta}^{*}(\mathbf{r}', s')$$



# Definitions of Green's functions

- In general it is possible to define propagators for more particles and different times:

$$g_{\alpha\beta}^{2-pt}(t, t') = -\frac{i}{\hbar} \langle \Psi_0^N | T [c_\alpha(t) c_\beta^\dagger(t')] | \Psi_0^N \rangle$$

$$g_{\alpha\beta, \gamma\delta}^{4-pt}(t_1, t_2; t'_1, t'_2) = -\frac{i}{\hbar} \langle \Psi_0^N | T [c_\beta(t_2) c_\alpha(t_1) c_\gamma^\dagger(t'_1) c_\delta^\dagger(t'_2)] | \Psi_0^N \rangle$$

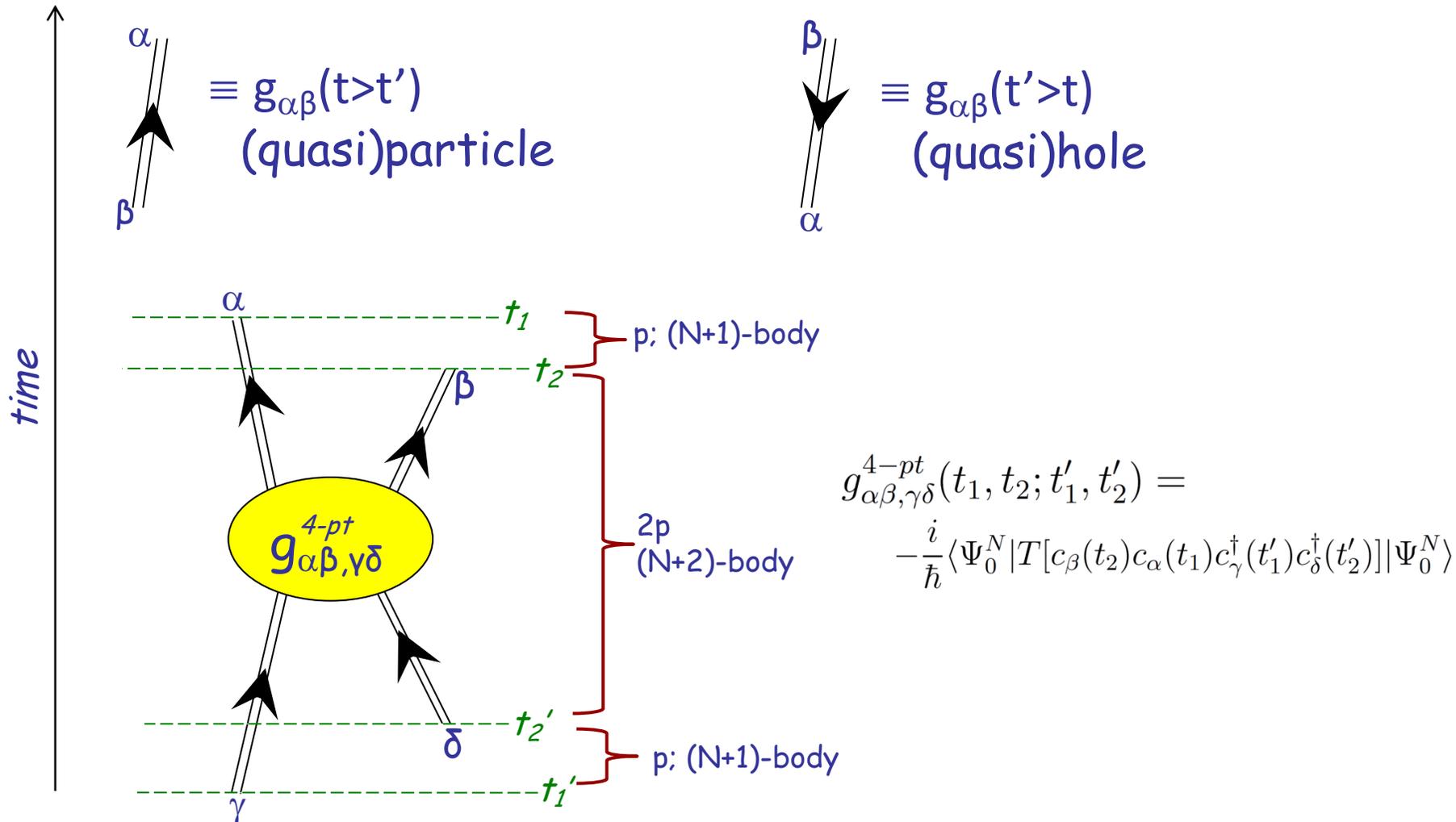
$$g_{\alpha\beta\gamma, \mu\nu\lambda}^{6-pt}(t_1, t_2, t_3; t'_1, t'_2, t'_3) =$$
$$-\frac{i}{\hbar} \langle \Psi_0^N | T [c_\gamma(t_3) c_\beta(t_2) c_\alpha(t_1) c_\mu^\dagger(t'_1) c_\nu^\dagger(t'_2) c_\lambda^\dagger(t'_3)] | \Psi_0^N \rangle$$

⋮



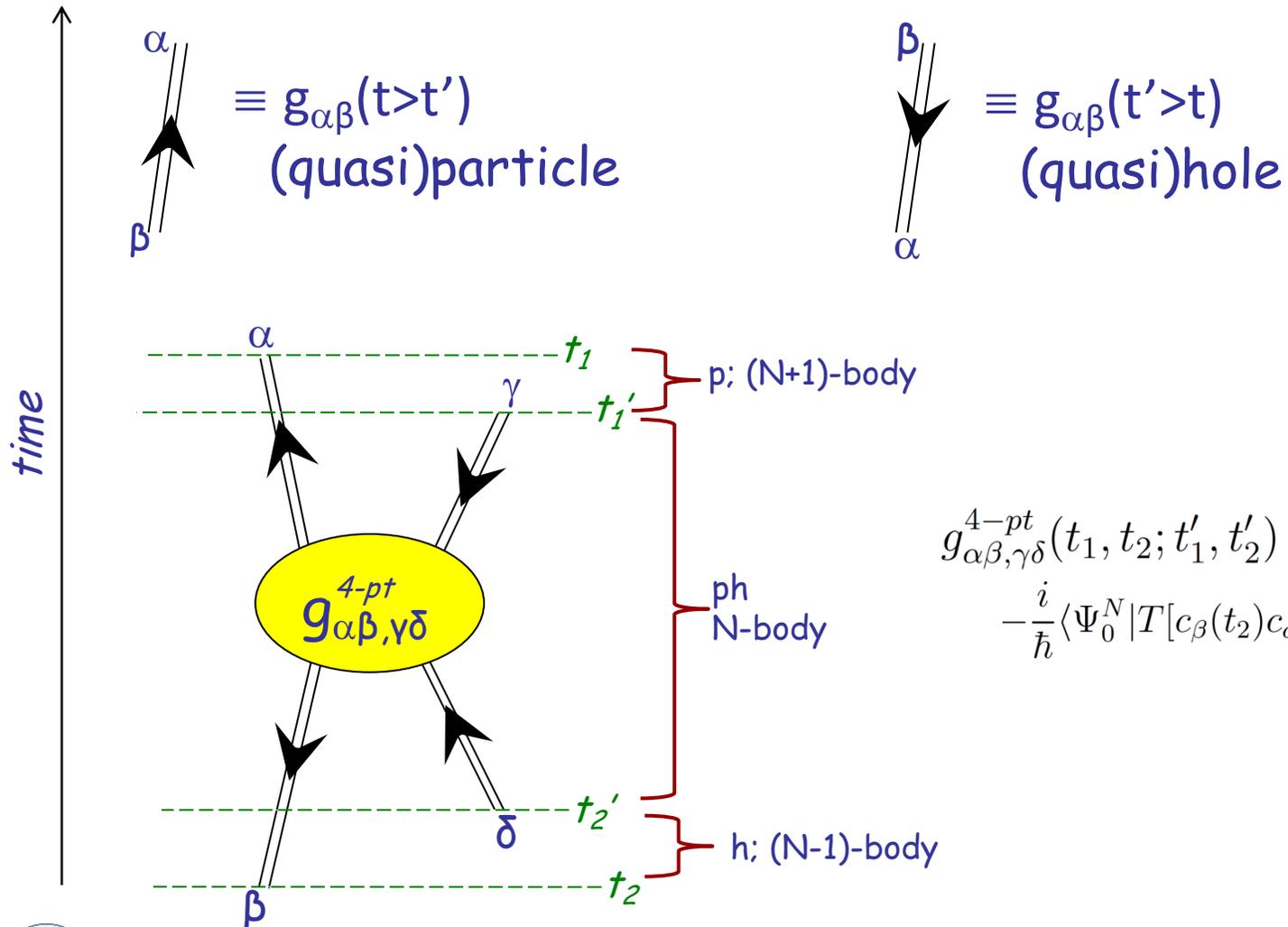
# Definitions of Green's functions

## Graphic conventions:



# Definitions of Green's functions

## Graphic conventions:



$$g_{\alpha\beta,\gamma\delta}^{4\text{-pt}}(t_1, t_2; t_1', t_2') = -\frac{i}{\hbar} \langle \Psi_0^N | T [c_\beta(t_2) c_\alpha(t_1) c_\gamma^\dagger(t_1') c_\delta^\dagger(t_2')] | \Psi_0^N \rangle$$

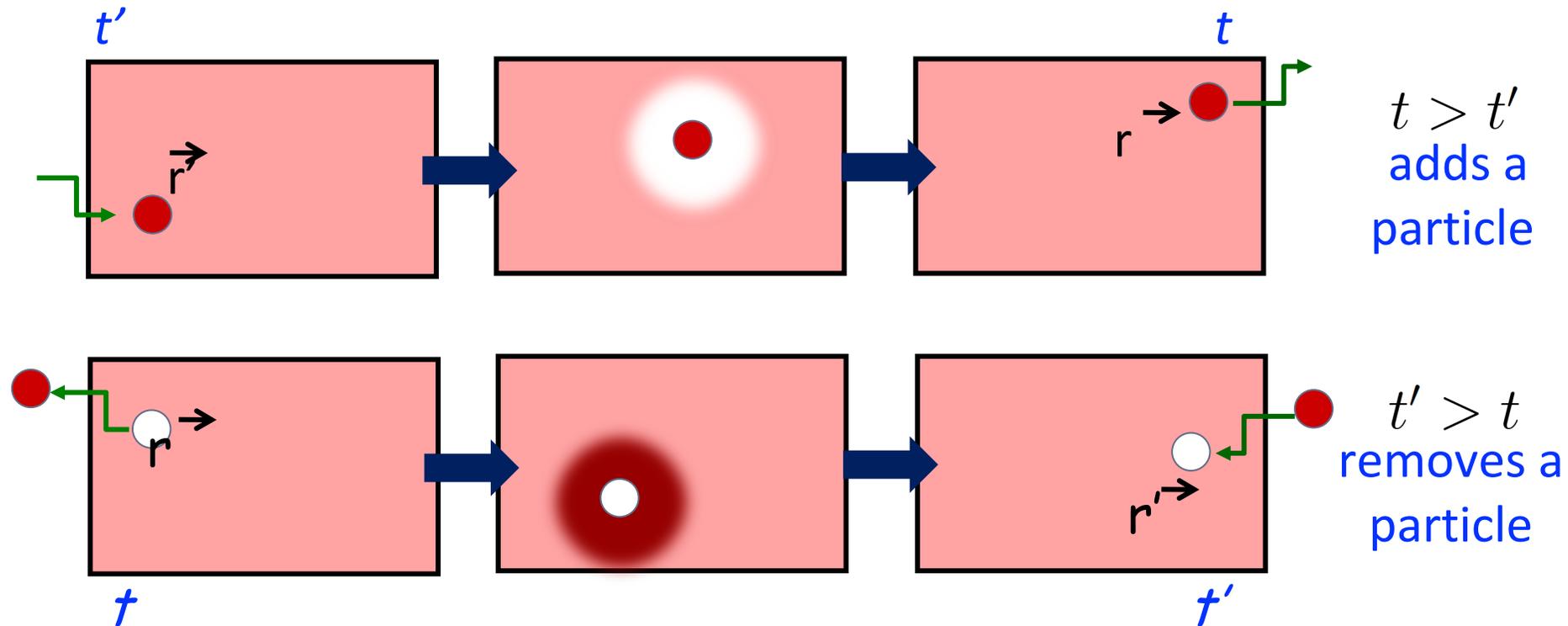


# Definitions of Green's functions

- With explicit time dependence:

$$g_{ss'}(\mathbf{r}, \mathbf{r}'; t - t') = -\frac{i}{\hbar} \theta(t - t') \langle \Psi_0^N | \psi_s(\mathbf{r}) e^{-i(H - E_0^N)(t - t')/\hbar} \psi_{s'}^\dagger(\mathbf{r}') | \Psi_0^N \rangle$$

$$\mp \frac{i}{\hbar} \theta(t' - t) \langle \Psi_0^N | \psi_{s'}^\dagger(\mathbf{r}') e^{i(H - E_0^N)(t - t')/\hbar} \psi_s(\mathbf{r}) | \Psi_0^N \rangle .$$



# Lehmann representation and spectral function

- Expand on the eigenstates of  $N \pm 1$

$$\begin{aligned}
 g_{\alpha\beta}(t - t') &= -\frac{i}{\hbar}\theta(t - t')\langle\Psi_0^N|c_\alpha e^{-i(H-E_0^N)(t-t')/\hbar}c_\beta^\dagger|\Psi_0^N\rangle \\
 &\mp\frac{i}{\hbar}\theta(t' - t)\langle\Psi_0^N|c_\beta^\dagger e^{i(H-E_0^N)(t-t')/\hbar}c_\alpha|\Psi_0^N\rangle \\
 &= -\frac{i}{\hbar}\theta(t - t')\sum_n\langle\Psi_0^N|c_\alpha|\Psi_n^{N+1}\rangle\langle\Psi_n^{N+1}|c_\beta^\dagger|\Psi_0^N\rangle e^{-i(E_n^{N+1}-E_0^N)(t-t')/\hbar} \\
 &\mp\frac{i}{\hbar}\theta(t' - t)\sum_k\langle\Psi_0^N|c_\beta^\dagger|\Psi_k^{N-1}\rangle\langle\Psi_k^{N-1}|c_\alpha|\Psi_0^N\rangle e^{i(E_k^{N-1}-E_0^N)(t-t')/\hbar}
 \end{aligned}$$

(- bosons,  
 + fermions)

- Fourier transform to energy representation...

$$g_{\alpha\beta}(\omega) = \int d\tau e^{i\omega\tau} g_{\alpha\beta}(\tau)$$

$$\theta(\pm\tau) = \mp \lim_{\eta \rightarrow 0^+} \frac{1}{2\pi i} \int_{-\infty}^{+\infty} d\omega \frac{e^{-i\omega\tau}}{\omega \pm i\eta}$$



# Lehmann representation and spectral function

The *Lehman* representation of  $g_{\alpha\beta}(\omega)$  is:

$$g_{\alpha\beta}(\omega) = \sum_n \frac{\langle \Psi_0^N | c_\alpha | \Psi_n^{N+1} \rangle \langle \Psi_n^{N+1} | c_\beta^\dagger | \Psi_0^N \rangle}{\hbar\omega - (E_n^{N+1} - E_0^N) + i\eta} \leftarrow (\text{quasi})\text{particles}$$
$$\mp \sum_k \frac{\langle \Psi_0^N | c_\beta^\dagger | \Psi_k^{N-1} \rangle \langle \Psi_k^{N-1} | c_\alpha | \Psi_0^N \rangle}{\hbar\omega - (E_0^N - E_k^{N-1}) - i\eta} \leftarrow (\text{quasi})\text{holes}$$

Poles  $\rightarrow$  energy absorbed/released in **particle transfer**

Residues:  $|\langle \Psi_n^{N+1} | c_\alpha^\dagger | \Psi_0^N \rangle|^2$  **particle addition**

$|\langle \Psi_k^{N-1} | c_\alpha | \Psi_0^N \rangle|^2$  **particle ejected**



# Lehmann representation and spectral function

The *Lehman* representation of  $g_{\alpha\beta}(\omega)$  is:

$$g_{\alpha\beta}(\omega) = \sum_n \frac{\langle \Psi_0^N | c_\alpha | \Psi_n^{N+1} \rangle \langle \Psi_n^{N+1} | c_\beta^\dagger | \Psi_0^N \rangle}{\hbar\omega - (E_n^{N+1} - E_0^N) + i\eta} \leftarrow (\text{quasi})\text{particles}$$
$$\mp \sum_k \frac{\langle \Psi_0^N | c_\beta^\dagger | \Psi_k^{N-1} \rangle \langle \Psi_k^{N-1} | c_\alpha | \Psi_0^N \rangle}{\hbar\omega - (E_0^N - E_k^{N-1}) - i\eta} \leftarrow (\text{quasi})\text{holes}$$

(- bosons,  
+ fermions)

To extract the imaginary part:

$$\frac{1}{x \pm i\eta} = \mathcal{P} \frac{1}{x} \mp i\pi\delta(x)$$



# Lehmann representation and spectral function

The **spectral function** is the Im part of  $g_{\alpha\beta}(\omega)$

$$S_{\alpha\beta}(\omega) = S_{\alpha\beta}^p(\omega) + S_{\alpha\beta}^h(\omega)$$

$$\begin{aligned} S_{\alpha\beta}^p(\omega) &= -\frac{1}{\pi} \text{Im } g_{\alpha\beta}^p(\omega) && \leftarrow \text{(quasi)particles} \\ &= \sum_n \langle \Psi_0^N | c_\alpha | \Psi_n^{N+1} \rangle \langle \Psi_n^{N+1} | c_\beta^\dagger | \Psi_0^N \rangle \delta(\hbar\omega - (E_n^{N+1} - E_0^N)) \end{aligned}$$

$$\begin{aligned} S_{\alpha\beta}^h(\omega) &= \frac{1}{\pi} \text{Im } g_{\alpha\beta}^h(\omega) && \leftarrow \text{(quasi)holes} \\ &= \mp \sum_k \langle \Psi_0^N | c_\beta^\dagger | \Psi_k^{N-1} \rangle \langle \Psi_k^{N-1} | c_\alpha | \Psi_0^N \rangle \delta(\hbar\omega - (E_0^N - E_k^{N-1})) \end{aligned}$$

(- bosons,  
+ fermions)

→ Contains the same information as the Lehmann rep.



# Lehmann representation and spectral function

- $g_{\alpha\beta}(\omega)$  is fully constrained by its imaginary part:

$$g_{\alpha\beta}(\omega) = \int d\omega' \frac{S_{\alpha\beta}^p(\omega')}{\omega - \omega' + i\eta} + \int d\omega' \frac{S_{\alpha\beta}^h(\omega')}{\omega - \omega' - i\eta}$$





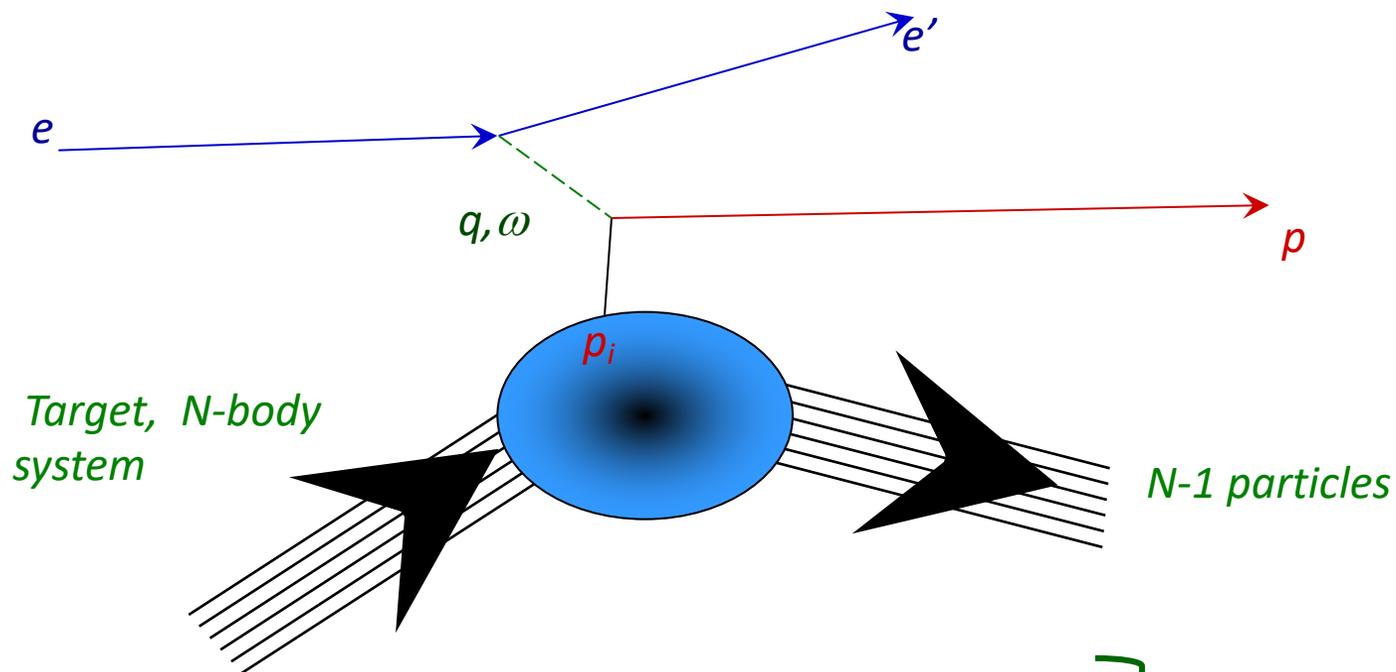
# Why many-body Green's functions??

- “ab-initio” approach
- hierarchy of equations—can improve systematically
- Linked diags  $\rightarrow$  extensivity
- Self-consistency: “no” reference

- Closely related to spectroscopy  
 $\leftrightarrow$  experiments
- “phonons” as degrees of freedom  $\leftrightarrow$  phenomenology

# Spectroscopy via knock out reactions - *basic idea*

Use a probe (ANY probe) to eject the particle we are interested to:



Basic idea:

- we know,  $e$ ,  $e'$  and  $p$

- “get” *energy and momentum* of  $p_i$ :  $p_i = k_e' + k_p - k_e$   
 $E_i = E_e' + E_p - E_e$

Better to choose  
large transferred  
momentum and weak  
probes!!!



# Knock-out processes

- Initial state:  $|\Psi_i\rangle = |\Psi_0^N\rangle$
- Final state:  $|\Psi_f\rangle = a_{\mathbf{p}}^\dagger |\Psi_n^{N-1}\rangle$  ← particle flying out, better if interacting as little as possible with the rest of the system
- Prob  $\rho(\mathbf{q}) = \sum_{j=1}^N \exp(i\mathbf{q} \cdot \mathbf{r}_j)$  ← This can be anything: it transfers energy, and momentum  $\mathbf{q}$  to the system; it's the simplest model for such a probe

$$\hat{\rho}(\mathbf{q}) = \sum_{\mathbf{p}, \mathbf{p}'} \langle \mathbf{p} | \exp(i\mathbf{q} \cdot \mathbf{r}) | \mathbf{p}' \rangle a_{\mathbf{p}}^\dagger a_{\mathbf{p}'} = \sum_{\mathbf{p}} a_{\mathbf{p}}^\dagger a_{\mathbf{p}-\mathbf{q}} \quad ; \quad \langle \mathbf{r} | \mathbf{p} \rangle = \frac{1}{(2\pi)^{3/2}} e^{-i\mathbf{r}\mathbf{p}/\hbar}$$



# Knock-out processes

$$\hat{\rho}(\mathbf{q}) = \sum_{\mathbf{p}, \mathbf{p}'} \langle \mathbf{p} | \exp(i\mathbf{q} \cdot \mathbf{r}) | \mathbf{p}' \rangle a_{\mathbf{p}}^\dagger a_{\mathbf{p}'} = \sum_{\mathbf{p}} a_{\mathbf{p}}^\dagger a_{\mathbf{p}-\mathbf{q}}$$

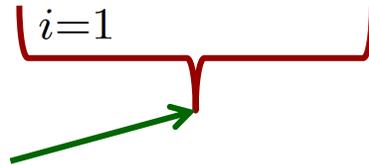
- Transition matrix element:

$$\begin{aligned} \langle \Psi_f | \hat{\rho}(\mathbf{q}) | \Psi_i \rangle &= \sum_{\mathbf{p}'} \langle \Psi_n^{N-1} | a_{\mathbf{p}} a_{\mathbf{p}'}^\dagger a_{\mathbf{p}'-\mathbf{q}} | \Psi_0^N \rangle \\ &= \sum_{\mathbf{p}'} \langle \Psi_n^{N-1} | \delta_{\mathbf{p}', \mathbf{p}} a_{\mathbf{p}'-\mathbf{q}} + \underbrace{a_{\mathbf{p}'}^\dagger a_{\mathbf{p}'-\mathbf{q}} a_{\mathbf{p}}}_{\substack{\text{Impulse Approximation (IA)} \\ \text{means throwing away this part.}}} | \Psi_0^N \rangle \\ &\approx \langle \Psi_n^{N-1} | a_{\mathbf{p}-\mathbf{q}} | \Psi_0^N \rangle. \end{aligned}$$

Impulse Approximation (IA) means throwing away this part. If the particle is ejected with very high momentum transfer, it is usually a good approximation



# Knock-out processes

$$H_N = \sum_{i=1}^N \frac{\mathbf{p}_i^2}{2m} + \sum_{i < j=1}^N V(i, j) = H_{N-1} + \frac{\mathbf{p}_N^2}{2m} + \underbrace{\sum_{i=1}^{N-1} V(i, N)}$$


$|\Psi_f\rangle = a_{\mathbf{p}}^\dagger |\Psi_n^{N-1}\rangle$  ← The plane wave approximation assumes the flies out without interacting with the rest of the system. This is OK in some cases. In others, one has to worry about the distortion due to final state interactions.



# Knock-out processes

- Use the Fermi Golden rule:

$$d\sigma \sim \sum_n \delta(\omega + E_i - E_f) |\langle \Psi_f | \hat{\rho}(\mathbf{q}) | \Psi_i \rangle|^2$$

- “missing” momentum  $\mathbf{p}_{miss} = \mathbf{p} - \mathbf{q}$
  - “missing” energy  $E_{miss} = \mathbf{p}^2/2m - \omega = E_0^N - E_n^{N-1}$
- } Interpreted as energy and momentum of initial particle!!

- In plane wave impulse approximation (PWIA):

$$d\sigma \sim \sum_n \delta(E_{miss} - E_0^N + E_n^{N-1}) |\langle \Psi_n^{N-1} | a_{\mathbf{p}_{miss}} | \Psi_0^N \rangle|^2$$

$$d\sigma = \sigma_{probe} S^h(\mathbf{p}_{miss}, E_{miss})$$

*RWIA is not always justified, but it is all OK for our display purposes: Can “see” the spectral fct.!!!*



# One-hole spectral function

Overlap function:

$$\psi_k^{overlap}(\mathbf{r}) = \langle \Psi_k^{N-1} | \psi_s(\mathbf{r}) | \Psi_0^N \rangle$$

Spectroscopic factor:

$$S_k = \int d\mathbf{r} |\psi_k^{overlap}(\mathbf{r})|^2 \quad \begin{array}{l} = 1, \text{ for free fermions} \\ < 1, \text{ for interacting particles} \\ \quad \text{(correlations!!)} \end{array}$$

$$S^h(\mathbf{p}, \omega) = \sum_k \left| \langle \Psi_k^{N-1} | \psi_k(\mathbf{p}) | \Psi_0^N \rangle \right|^2 \delta(\hbar\omega - (E_0^N - E_k^{N-1}))$$

Integrate  $S^h$  over  $\mathbf{p}$  :  $\rightarrow$  spectral strength distribution

Integrate  $S^h$  over  $\omega$  :  $\rightarrow$  momentum distribution



# Knock-out processes

So, I can “see”  $S^h(\mathbf{p}, \omega)$ :

$$d\sigma = \sigma_{probe} S^h(\mathbf{p}_{miss}, E_{miss})$$

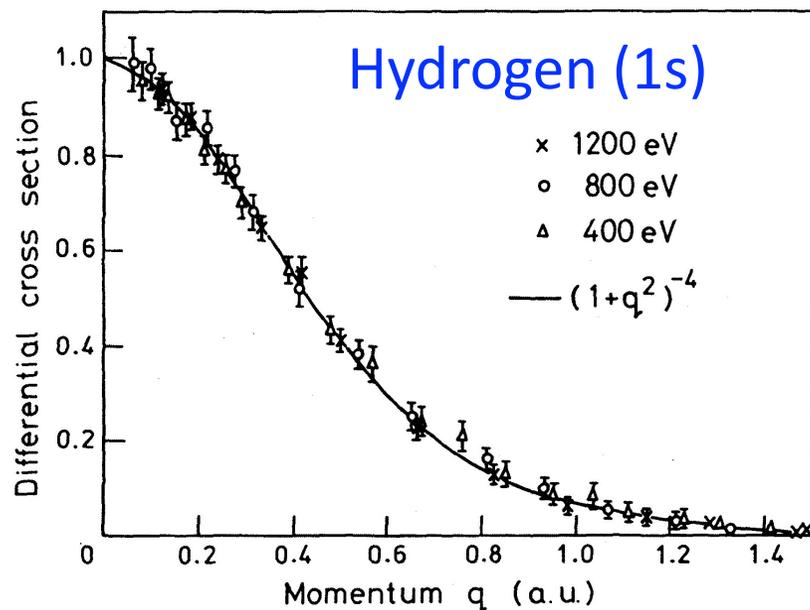
x-sec for scattering on  
a free particle

*PWIA is not always justified, but it is  
all OK for our display purposes:  
Can “see” the spectral fct.!!!*

...does it really work ?!?!?!?



# electron knock out in atoms by (e,2e)

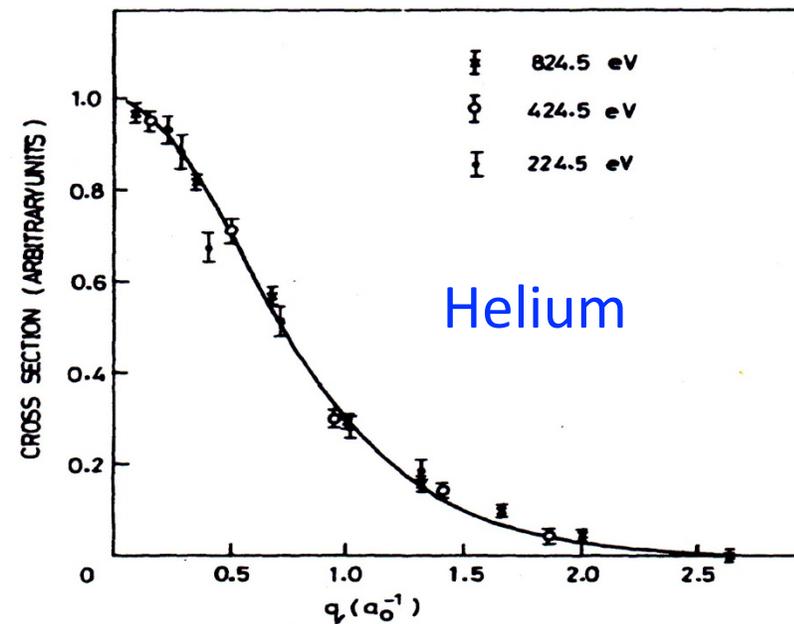


And so on for other atoms...

Helium in Phys. Rev. A8, 2494 (1973)

$$\varphi_{1s}(q) = 2^{3/2} \pi \frac{1}{(1+q^2)^2} 3$$

Hydrogen 1s wave function  
“seen” experimentally  
Phys. Lett. 86A, 139 (1981)



# electron knock out in atoms by (e,2e)

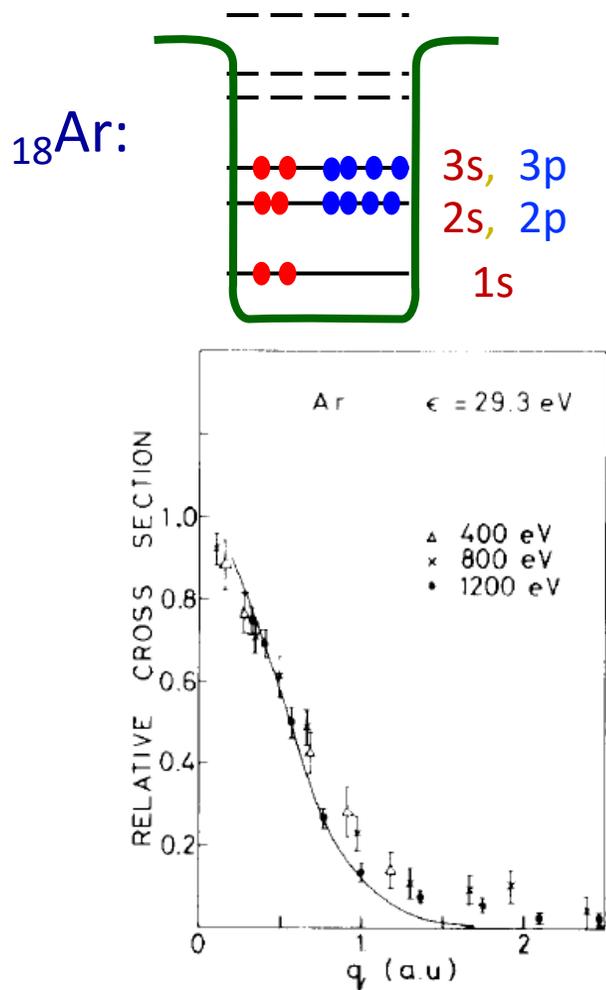


Fig. 9.3. The distribution of recoil momenta  $q$  for the 29.3 eV (3s) transition in argon at 400 eV (triangles), 800 eV (crosses)

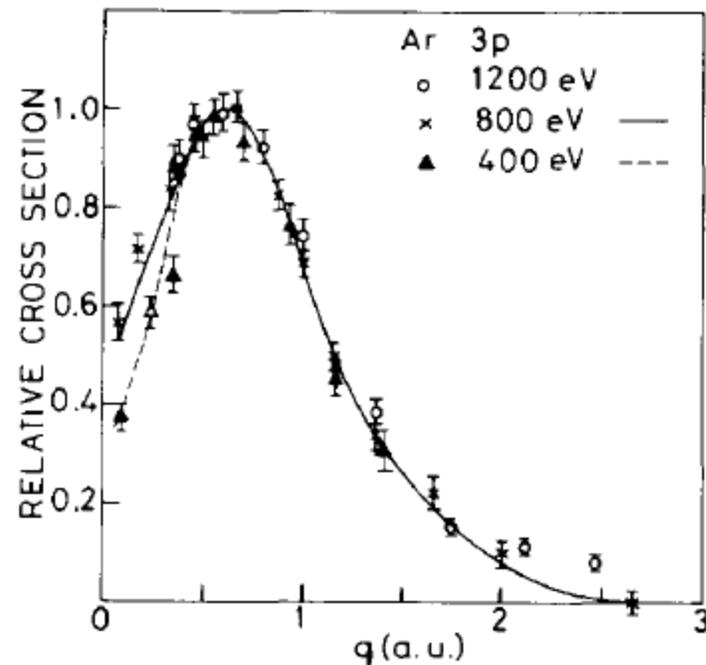


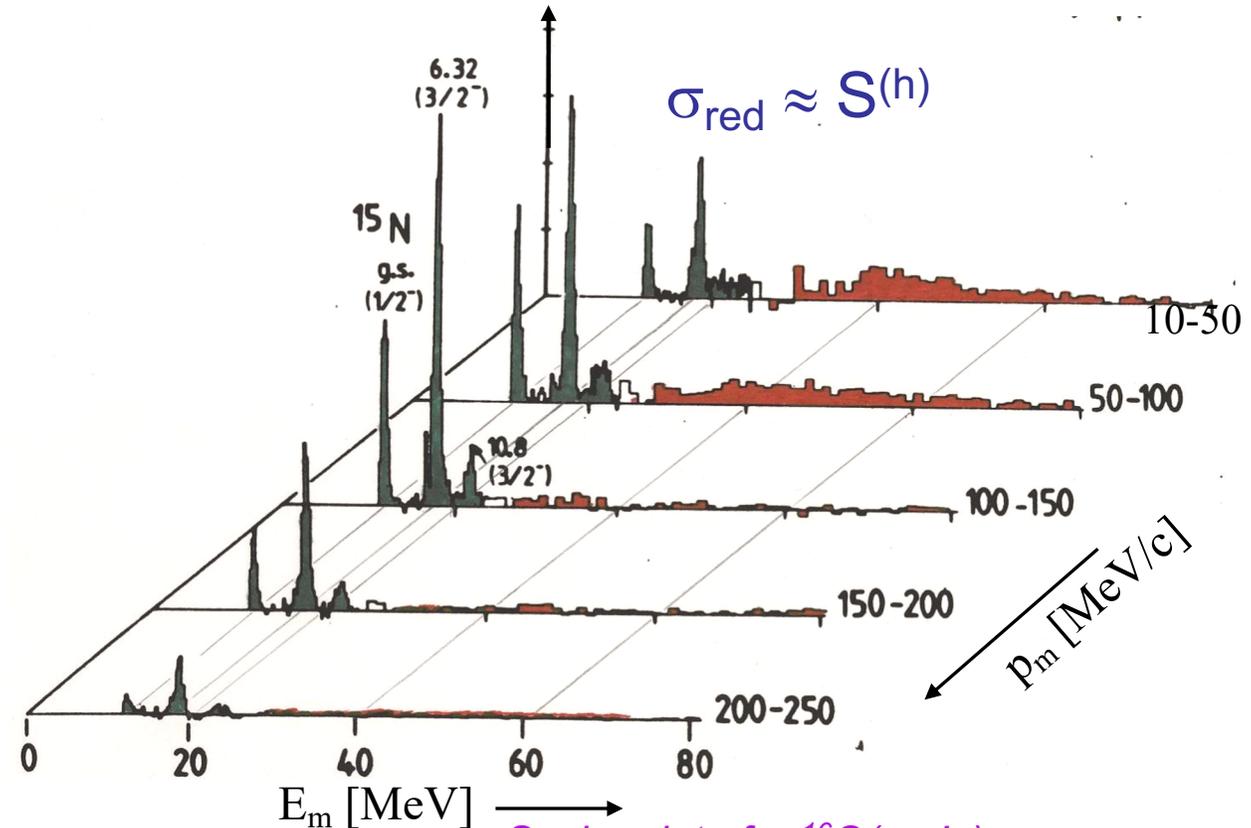
Fig. 9.2. The distribution of recoil momenta  $q$  for the argon ground state (3p) transition in the noncoplanar symmetric geometry at 400 eV (triangles), 800 eV (crosses) [46, 47] and 1200 eV (open circles) [154]. The curve is the plane wave theory using the Hartree-Fock wave function of Froese-Fischer [131].

Phys. Rep. 27, 275 (1976)



# Concept of correlations

Spectral function: distribution of momentum ( $p_m$ ) and energies ( $E_m$ )



Saclay data for  $^{16}\text{O}(e, e'p)$

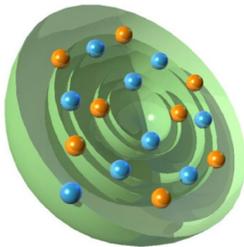
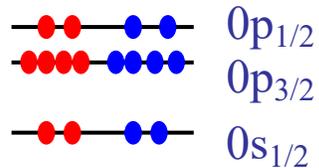
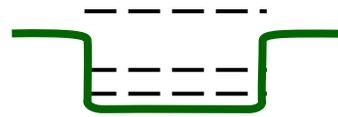
[Mougey et al., Nucl. Phys. A335, 35 (1980)]

$$S^{(h)}(p_m, E_m) = \sum_n \left| \langle \Psi_n^{A-1} | c_{p_m}^- | \Psi_0^A \rangle \right|^2 \delta(E_m - (E_0^A - E_n^{A-1}))$$

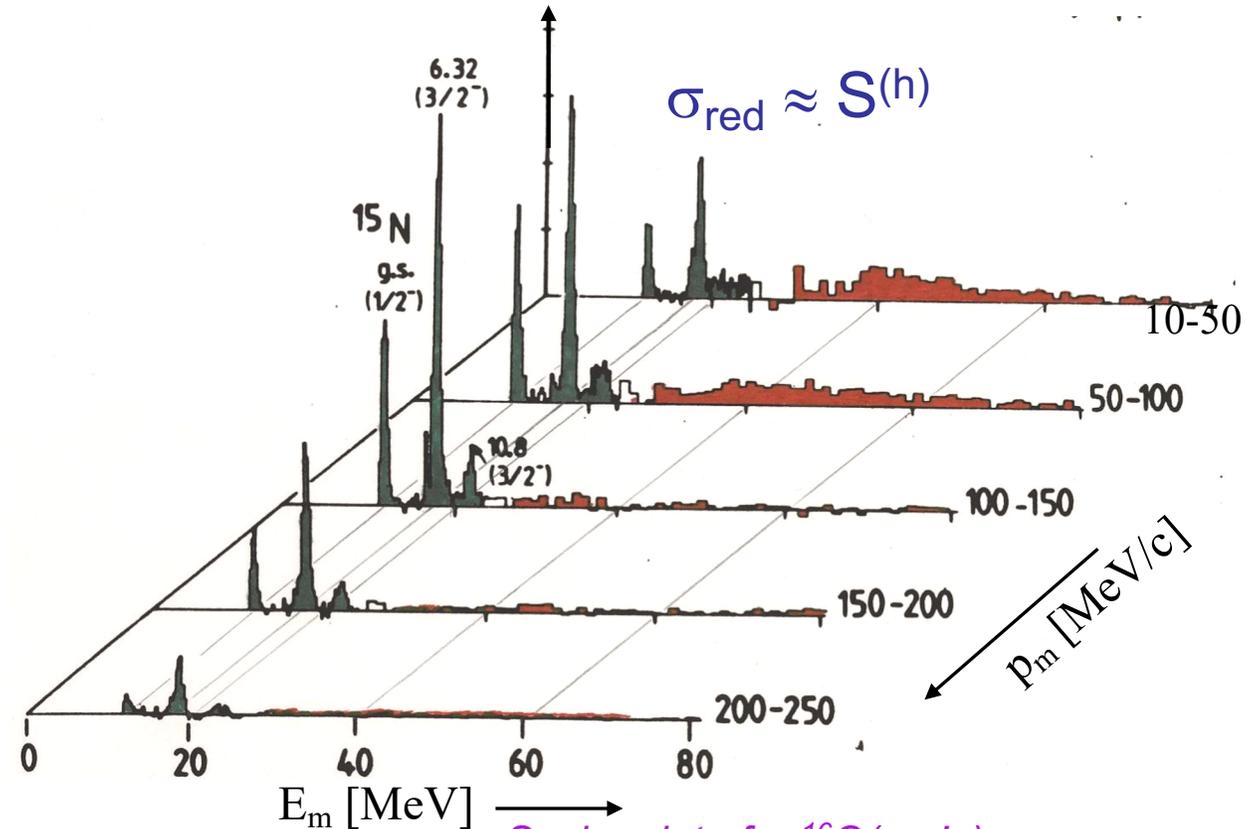


# Concept of correlations

independent  
particle picture



Spectral function: distribution of  
momentum ( $p_m$ ) and energies ( $E_m$ )



Saclay data for  $^{16}\text{O}(e, e'p)$

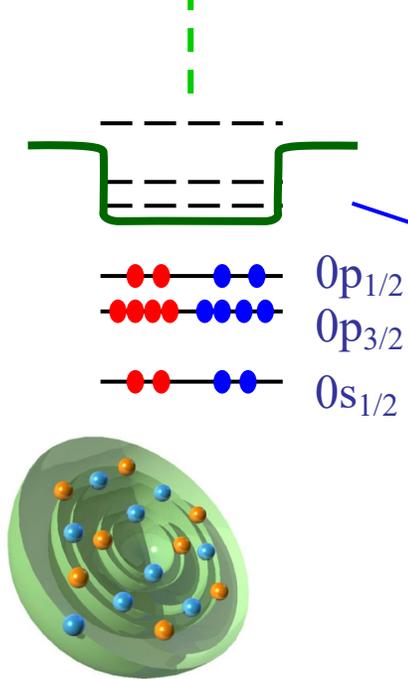
[Mougey et al., Nucl. Phys. A335, 35 (1980)]

$$S^{(h)}(p_m, E_m) = \sum_n \left| \langle \Psi_n^{A-1} | c_{p_m}^- | \Psi_0^A \rangle \right|^2 \delta(E_m - (E_0^A - E_n^{A-1}))$$

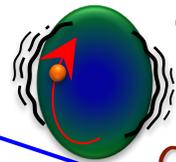


# Concept of correlations

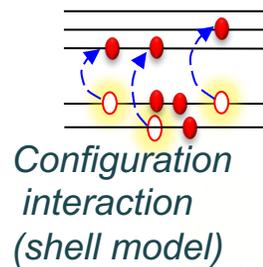
independent  
particle picture



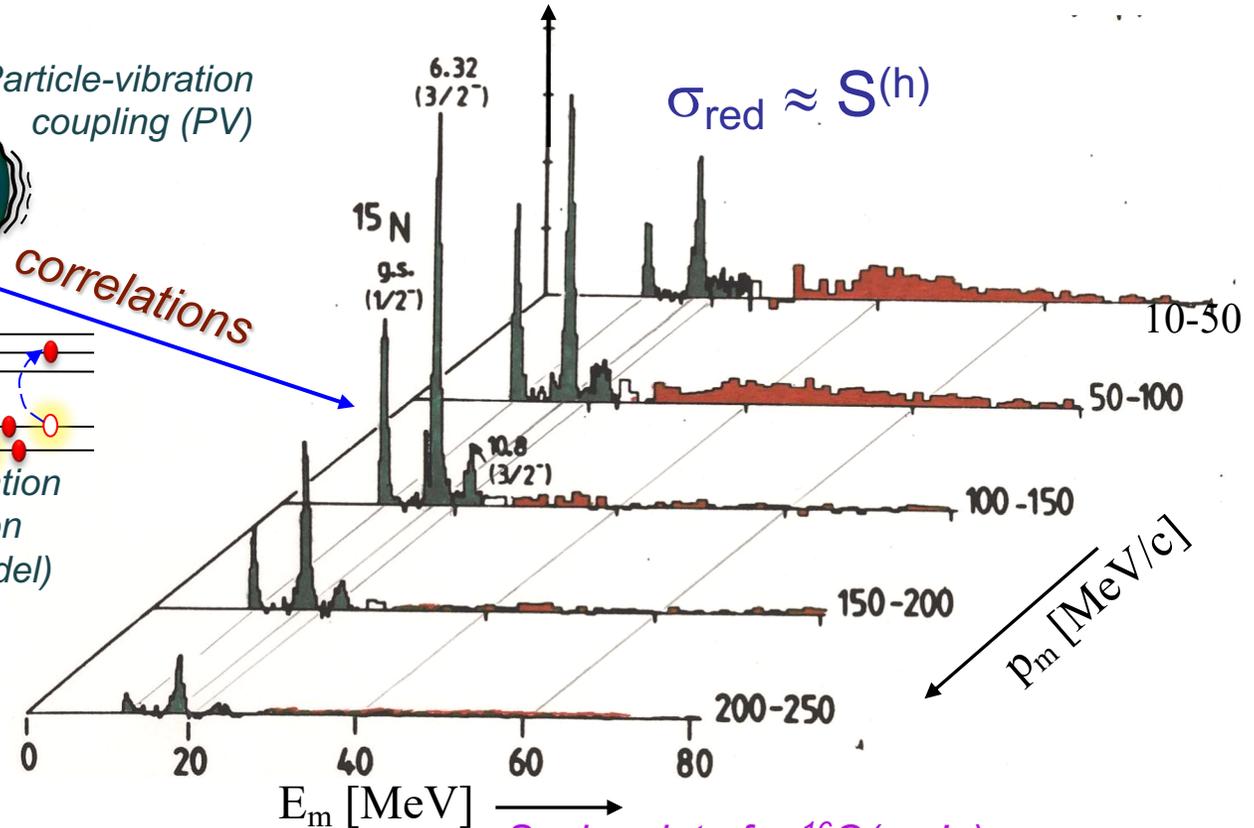
Particle-vibration  
coupling (PV)



correlations



Spectral function: distribution of  
momentum ( $p_m$ ) and energies ( $E_m$ )



$\sigma_{\text{red}} \approx S^{(h)}$

Saclay data for  $^{16}\text{O}(e, e'p)$

[Mougey et al., Nucl. Phys. A335, 35 (1980)]

$$S^{(h)}(p_m, E_m) = \sum_n \left| \langle \Psi_n^{A-1} | c_{p_m}^- | \Psi_0^A \rangle \right|^2 \delta(E_m - (E_0^A - E_n^{A-1}))$$

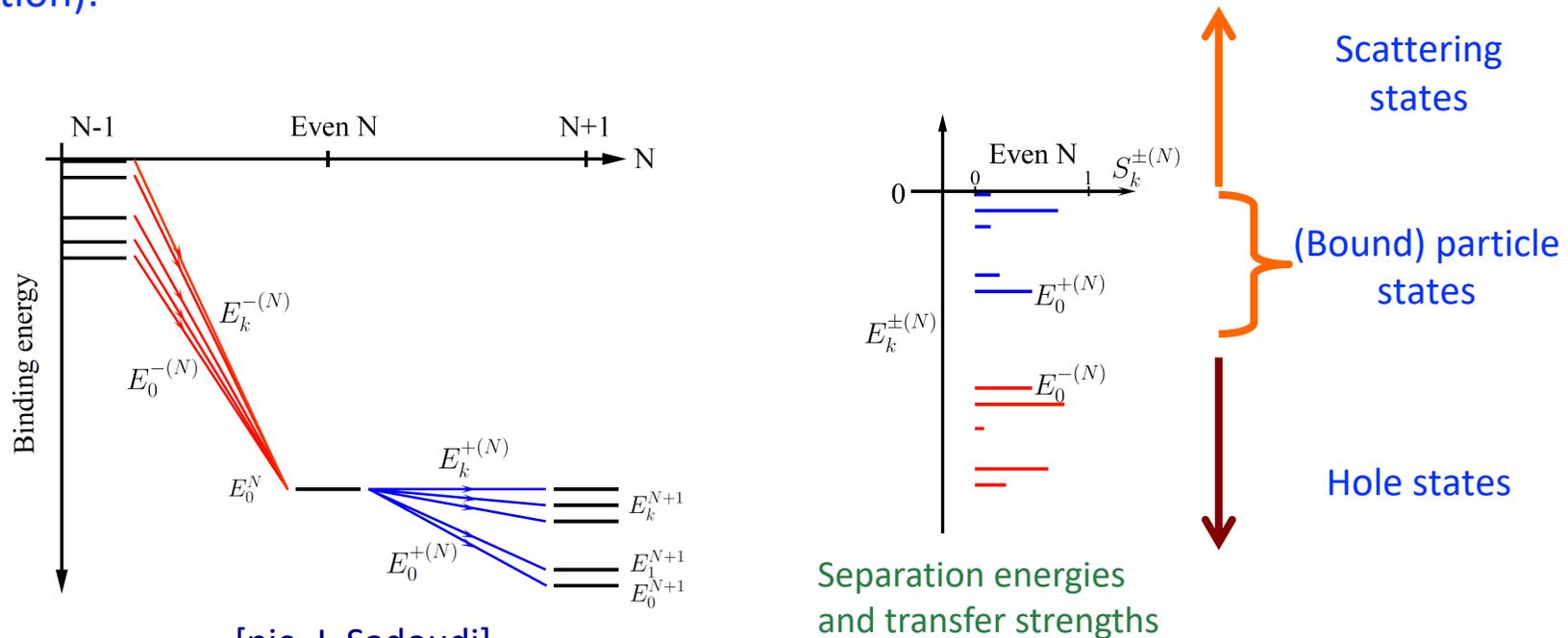


# Green's functions in many-body theory

One-body Green's function (or propagator) describes the motion of quasi-particles and holes:

$$g_{\alpha\beta}(E) = \sum_n \frac{\langle \Psi_0^A | c_\alpha | \Psi_n^{A+1} \rangle \langle \Psi_n^{A+1} | c_\beta^\dagger | \Psi_0^A \rangle}{E - (E_n^{A+1} - E_0^A) + i\eta} + \sum_k \frac{\langle \Psi_0^A | c_\beta^\dagger | \Psi_k^{A-1} \rangle \langle \Psi_k^{A-1} | c_\alpha | \Psi_0^A \rangle}{E - (E_0^A - E_k^{A-1}) - i\eta}$$

...this contains all the structure information probed by nucleon transfer (spectral function):



[pic. J. Sadoudi]

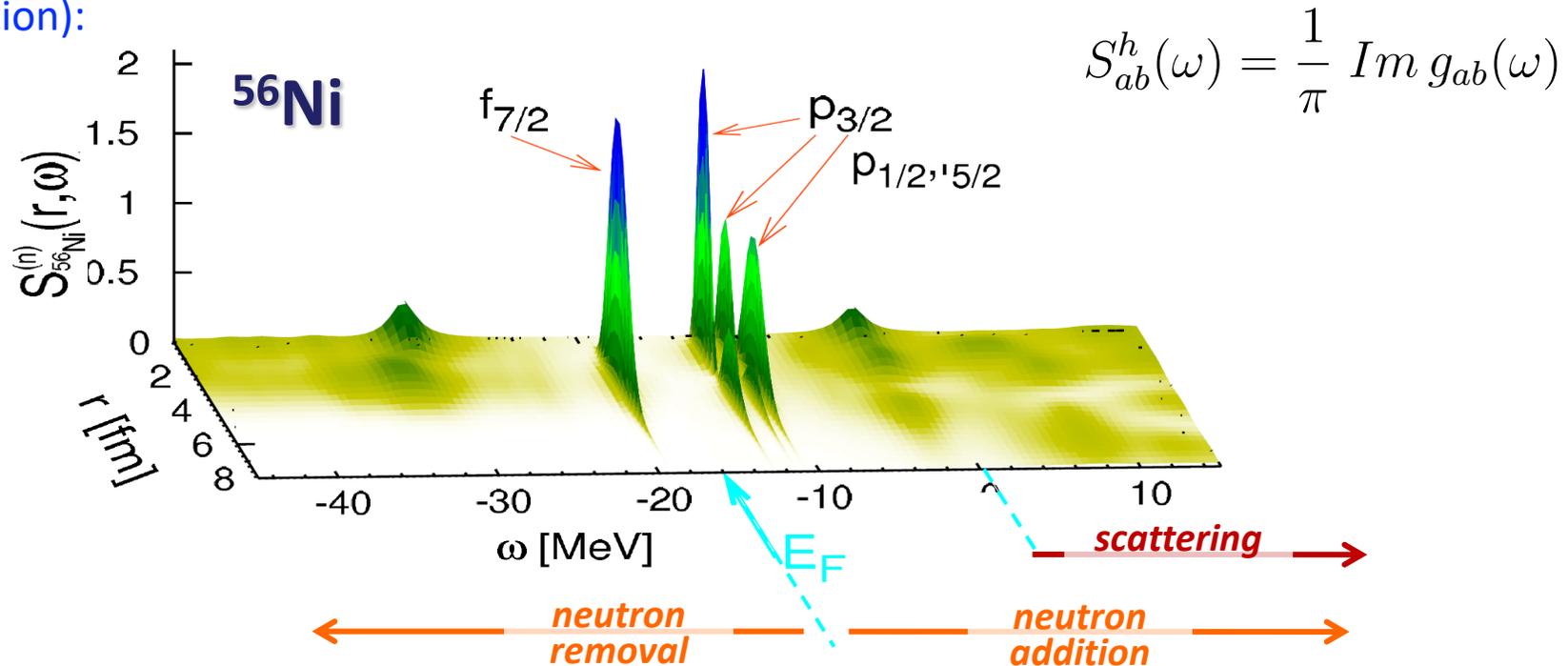


# Example of spectral function $^{56}\text{Ni}$

One-body Green's function (or propagator) describes the motion of quasi-particles and holes:

$$g_{\alpha\beta}(E) = \sum_n \frac{\langle \Psi_0^A | c_\alpha | \Psi_n^{A+1} \rangle \langle \Psi_n^{A+1} | c_\beta^\dagger | \Psi_0^A \rangle}{E - (E_n^{A+1} - E_0^A) + i\eta} + \sum_k \frac{\langle \Psi_0^A | c_\beta^\dagger | \Psi_k^{A-1} \rangle \langle \Psi_k^{A-1} | c_\alpha | \Psi_0^A \rangle}{E - (E_0^A - E_k^{A-1}) - i\eta}$$

...this contains all the structure information probed by nucleon transfer (spectral function):

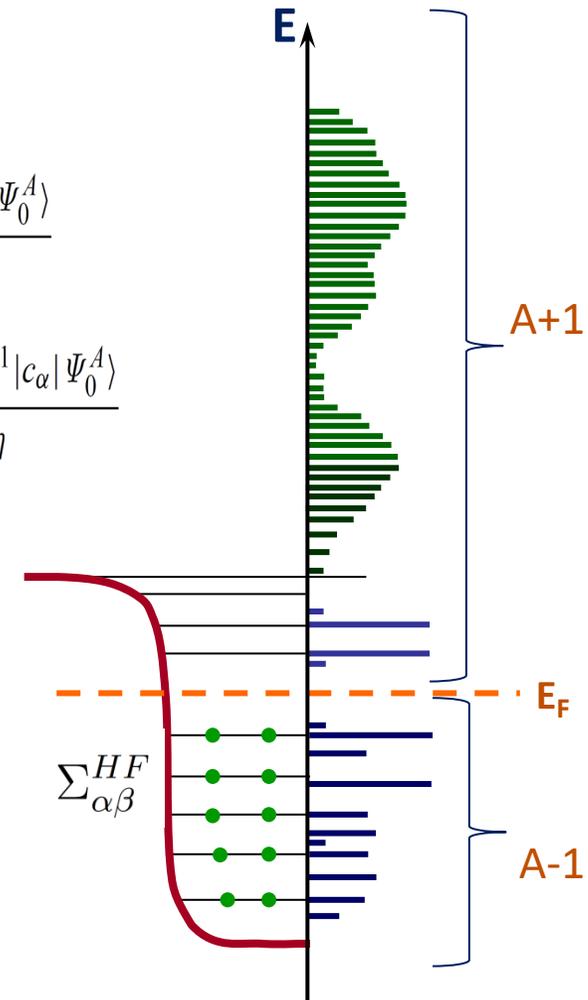


# Nucleon elastic scattering

The full Lehmann representation of the single particle propagator is

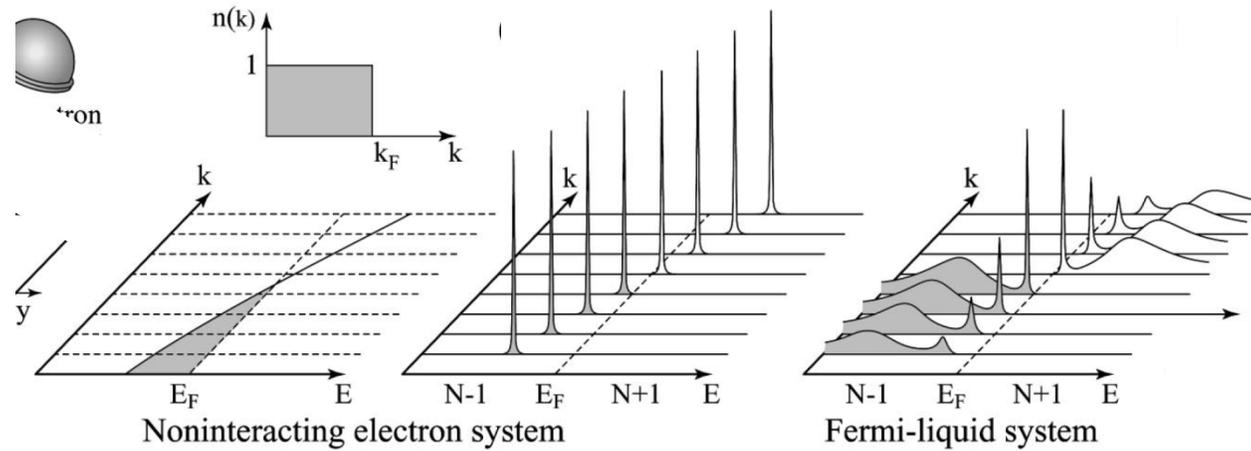
$$g_{\alpha\beta}(\omega) = \sum_n \frac{\langle \Psi_0^A | c_\alpha | \Psi_n^{A+1} \rangle \langle \Psi_n^{A+1} | c_\beta^\dagger | \Psi_0^A \rangle}{\hbar\omega - \varepsilon_n^+ + i\eta} + \sum_k \frac{\langle \Psi_0^A | c_\beta^\dagger | \Psi_k^{A-1} \rangle \langle \Psi_k^{A-1} | c_\alpha | \Psi_0^A \rangle}{\hbar\omega - \varepsilon_k^- - i\eta} \\ + \int_{\varepsilon_T^+}^{\infty} d\varepsilon_v^+ \frac{\langle \Psi_0^A | c_\alpha | \Psi_v^{A+1} \rangle \langle \Psi_v^{A+1} | c_\beta^\dagger | \Psi_0^A \rangle}{\hbar\omega - \varepsilon_v^+ + i\eta} + \int_{-\infty}^{\varepsilon_T^-} d\varepsilon_\kappa^- \frac{\langle \Psi_0^A | c_\beta^\dagger | \Psi_\kappa^{A-1} \rangle \langle \Psi_\kappa^{A-1} | c_\alpha | \Psi_0^A \rangle}{\hbar\omega - \varepsilon_\kappa^- - i\eta}$$

→ In real systems there is always a continuum for large particle and hole energies—The one body equation for the residues is the same in both discrete and continuum spectrum



# One-hole spectral function

## Spectral function of infinite fermion systems



$$S(\mathbf{p}, \omega) = \theta(|\mathbf{p}| - k_F) \delta\left(\hbar\omega - \frac{p^2}{2m}\right) + \theta(k_F - |\mathbf{p}|) \delta\left(\hbar\omega - \frac{p^2}{2m}\right)$$

$$S^h(\mathbf{p}, \omega) = \sum_k \left| \langle \Psi_k^{N-1} | \psi_k(\mathbf{p}) | \Psi_0^N \rangle \right|^2 \delta\left(\hbar\omega - (E_0^N - E_k^{N-1})\right)$$



# Angle Resolved Photon Emission Spectroscopy (ARPES)

## An ARPES setup – spectroscopy at the Fermi surface

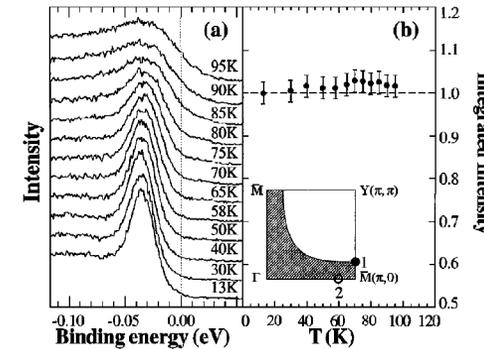
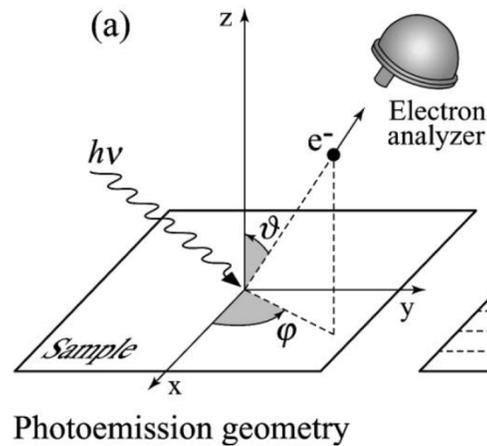


FIG. 4. Temperature dependence of the photoemission data from  $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_{8+\delta}$  ( $T_c = 87$  K): (a) ARPES spectra measured at  $\mathbf{k} = \mathbf{k}_F$  (point 1 in the Brillouin-zone sketch); (b) integrated intensity. From Randeria *et al.*, 1995.

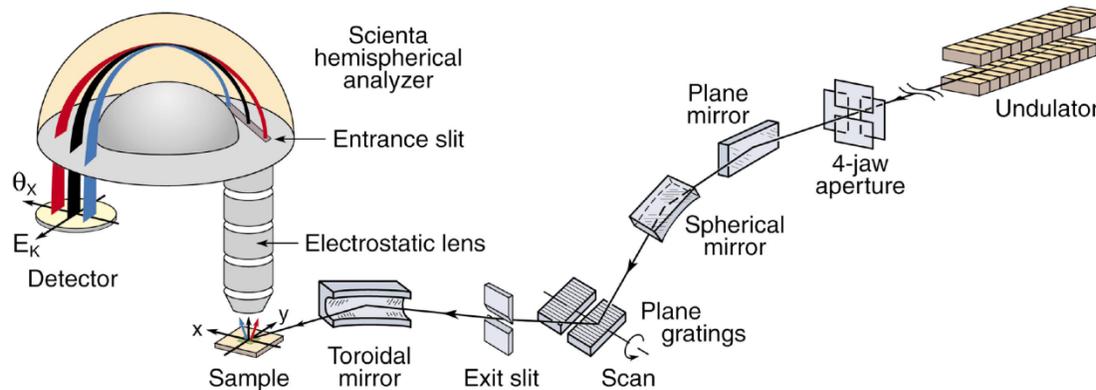


FIG. 6. Generic beamline equipped with a plane grating monochromator and a Scienta electron spectrometer (Color).

- Incoming beam of real photons
- Measure the emitted electron
- From angle and energy recover the momentum of the ejected particle + separation energy



# Angle Resolved Photon Emission Spectroscopy (ARPES)

An ARPES setup – spectroscopy at the Fermi surface

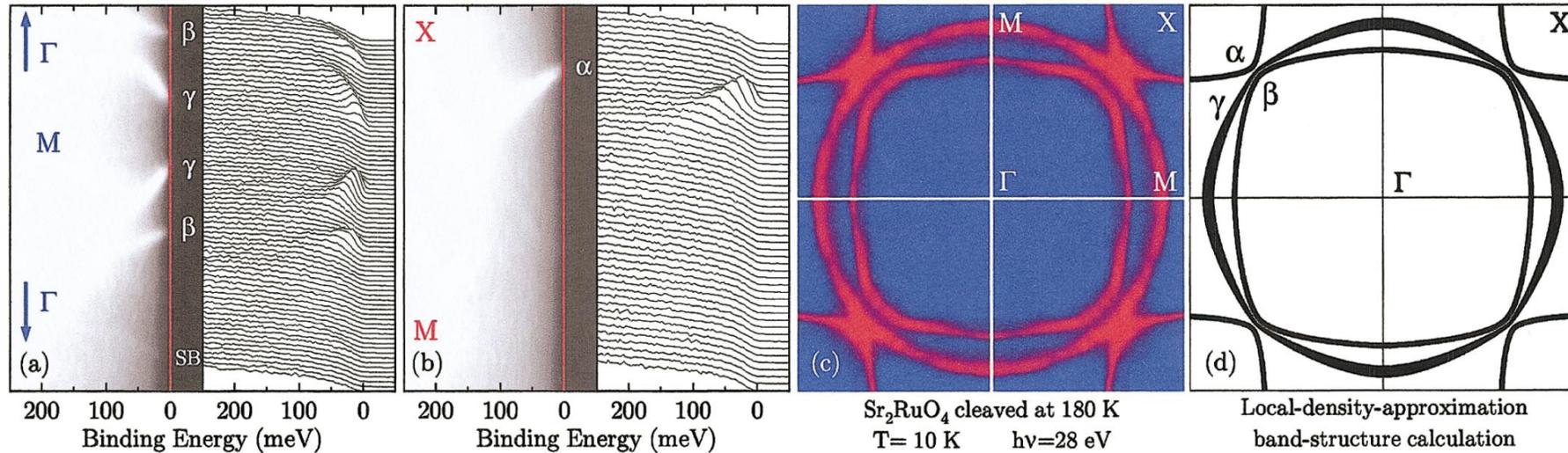


FIG. 9. Photoemission results from  $\text{Sr}_2\text{RuO}_4$ : ARPES spectra and corresponding intensity plot along (a)  $\Gamma$ -M and (b) M-X; (c) measured Fermi surface; (d) calculated Fermi surface (Mazin and Singh, 1997). From Damascelli *et al.*, 2000 (Color).

→ can “see” the Fermi surface!!





UNIVERSITÀ DEGLI STUDI DI MILANO

DIPARTIMENTO DI FISICA

***Observables  
and  
physics quantities of interest***

# Various forms of the 1-body Green's function

$$g_{\alpha\beta}(t - t') = -\frac{i}{\hbar}\theta(t - t')\langle\Psi_0^N|c_\alpha e^{-i(H-E_0^N)(t-t')/\hbar}c_\beta^\dagger|\Psi_0^N\rangle \mp \frac{i}{\hbar}\theta(t' - t)\langle\Psi_0^N|c_\beta^\dagger e^{i(H-E_0^N)(t-t')/\hbar}c_\alpha|\Psi_0^N\rangle$$

$$g_{\alpha\beta}(\omega) = \sum_n \frac{\langle\Psi_0^N|c_\alpha|\Psi_n^{N+1}\rangle\langle\Psi_n^{N+1}|c_\beta^\dagger|\Psi_0^N\rangle}{\hbar\omega - (E_n^{N+1} - E_0^N) + i\eta} \mp \sum_k \frac{\langle\Psi_0^N|c_\beta^\dagger|\Psi_k^{N-1}\rangle\langle\Psi_k^{N-1}|c_\alpha|\Psi_0^N\rangle}{\hbar\omega - (E_0^N - E_k^{N-1}) - i\eta}$$

$$S_{\alpha\beta}^p(\omega) = -\frac{1}{\pi} \text{Im } g_{\alpha\beta}^p(\omega) \quad \leftarrow \text{(quasi)particles}$$

$$= \sum_n \langle\Psi_0^N|c_\alpha|\Psi_n^{N+1}\rangle\langle\Psi_n^{N+1}|c_\beta^\dagger|\Psi_0^N\rangle \delta(\hbar\omega - (E_n^{N+1} - E_0^N))$$

$$S_{\alpha\beta}^h(\omega) = \frac{1}{\pi} \text{Im } g_{\alpha\beta}^h(\omega) \quad \leftarrow \text{(quasi)holes}$$

$$= \mp \sum_k \langle\Psi_0^N|c_\beta^\dagger|\Psi_k^{N-1}\rangle\langle\Psi_k^{N-1}|c_\alpha|\Psi_0^N\rangle \delta(\hbar\omega - (E_0^N - E_k^{N-1}))$$

(- bosons,  
+ fermions)

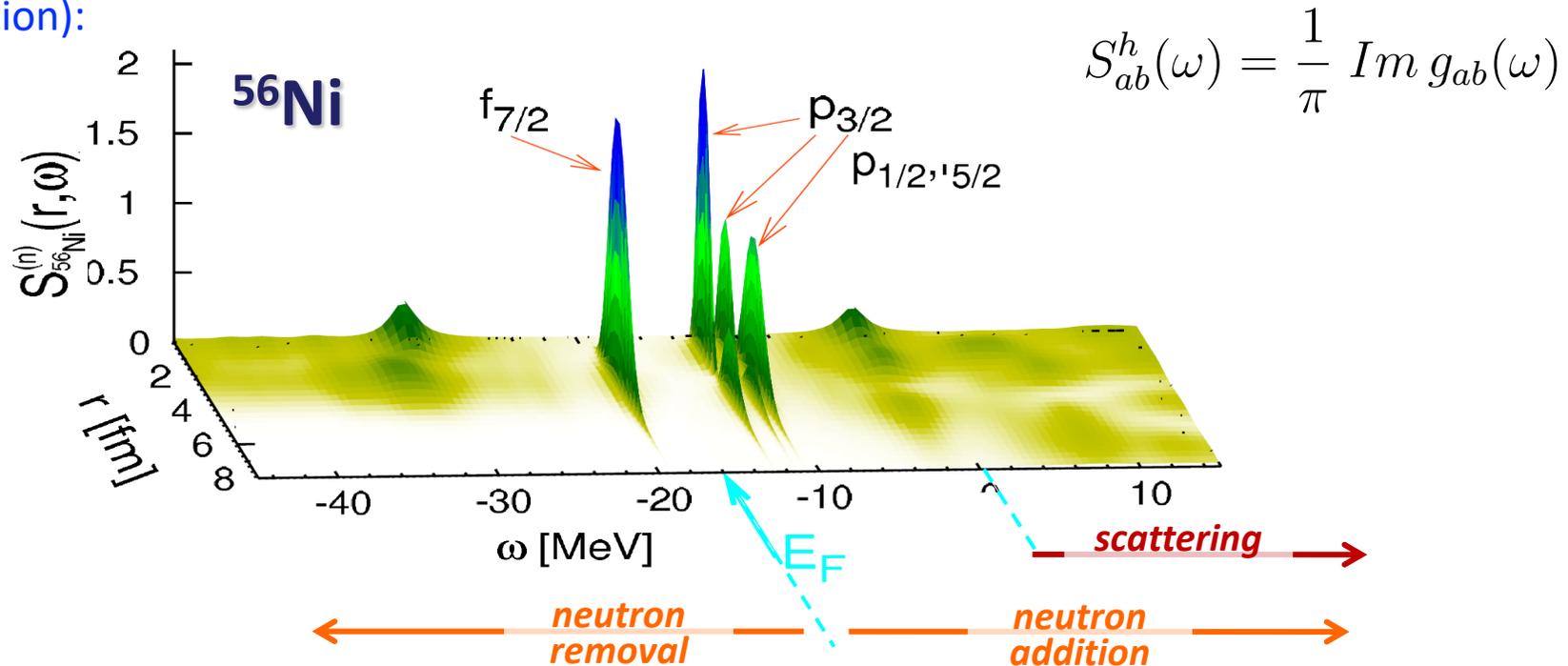


# Example of spectral function $^{56}\text{Ni}$

One-body Green's function (or propagator) describes the motion of quasi-particles and holes:

$$g_{\alpha\beta}(E) = \sum_n \frac{\langle \Psi_0^A | c_\alpha | \Psi_n^{A+1} \rangle \langle \Psi_n^{A+1} | c_\beta^\dagger | \Psi_0^A \rangle}{E - (E_n^{A+1} - E_0^A) + i\eta} + \sum_k \frac{\langle \Psi_0^A | c_\beta^\dagger | \Psi_k^{A-1} \rangle \langle \Psi_k^{A-1} | c_\alpha | \Psi_0^A \rangle}{E - (E_0^A - E_k^{A-1}) - i\eta}$$

...this contains all the structure information probed by nucleon transfer (spectral function):



# Expectation values

- Take the Hamiltonian, 
$$H = \sum_{\alpha\beta} t_{\alpha\beta} c_{\alpha}^{\dagger} c_{\beta} + \sum_{\alpha\beta\gamma\delta} v_{\alpha\beta\gamma\delta} c_{\alpha}^{\dagger} c_{\beta}^{\dagger} c_{\delta} c_{\gamma}$$
- (or any 1- and 2-body operators). The g.s. expectation values are:

$$\begin{aligned} \langle \Psi_0^N | H | \Psi_0^N \rangle &= \sum_{\alpha\beta} t_{\alpha\beta} \langle \Psi_0^N | c_{\alpha}^{\dagger} c_{\beta} | \Psi_0^N \rangle + \frac{1}{4} \sum_{\alpha\beta\gamma\delta} v_{\alpha\beta\gamma\delta} \langle \Psi_0^N | c_{\alpha}^{\dagger} c_{\beta}^{\dagger} c_{\delta} c_{\gamma} | \Psi_0^N \rangle \\ &= \sum_{\alpha\beta} t_{\alpha\beta} \rho_{\beta\alpha} + \frac{1}{4} \sum_{\alpha\beta\gamma\delta} v_{\alpha\beta,\gamma\delta} \Gamma_{\gamma\delta,\alpha\beta} \end{aligned}$$

*one-body  
density matrix*

*two-body  
density matrix*

$$\begin{aligned} \rho_{\alpha\beta} &= \langle \Psi_0^N | c_{\beta}^{\dagger} c_{\alpha} | \Psi_0^N \rangle = \pm i\hbar \lim_{t' \rightarrow t^+} g_{\alpha\beta}(t, t') \\ &= \mp \int d\omega S_{\alpha\beta}^h(\omega) \end{aligned}$$

$$\Gamma_{\alpha\beta,\gamma\delta} = \langle \Psi^N | c_{\gamma}^{\dagger} c_{\delta}^{\dagger} c_{\beta} c_{\alpha} | \Psi^N \rangle = -\frac{1}{4} \int d\omega S_{\alpha\beta,\gamma\delta}^{hh}(\omega)$$



# Total Energy – Koltun rum rule

- The time evolution of a Heisenberg operator is: 
$$i\hbar \frac{d}{dt} c_\alpha(t) = e^{iHt/\hbar} [c_\alpha, H] e^{-iHt/\hbar}$$

- with: 
$$H = \sum_{\alpha\beta} t_{\alpha\beta} c_\alpha^\dagger c_\beta + \sum_{\alpha\beta\gamma\delta} v_{\alpha\beta\gamma\delta} c_\alpha^\dagger c_\beta^\dagger c_\delta c_\gamma$$

- Hence:

It is exact for 1- and 2-body interactions only!!!  
(3-body forces require a correction)

Migdal-Galitski-Koltun sum rule:

$$\begin{aligned} \langle H \rangle = \langle U \rangle + \langle V \rangle &= \pm i\hbar \frac{1}{2} \lim_{t' \rightarrow t^+} \sum_{\alpha\beta} \left\{ \delta_{\alpha\beta} \frac{\partial}{\partial t} + t_{\alpha\beta} \right\} g_{\beta\alpha}(t - t') \\ &= \mp \frac{1}{2} \sum_{\alpha\beta} \int d\omega \{ \delta_{\alpha\beta} \omega + t_{\alpha\beta} \} S_{\beta\alpha}^h(\omega) \end{aligned}$$

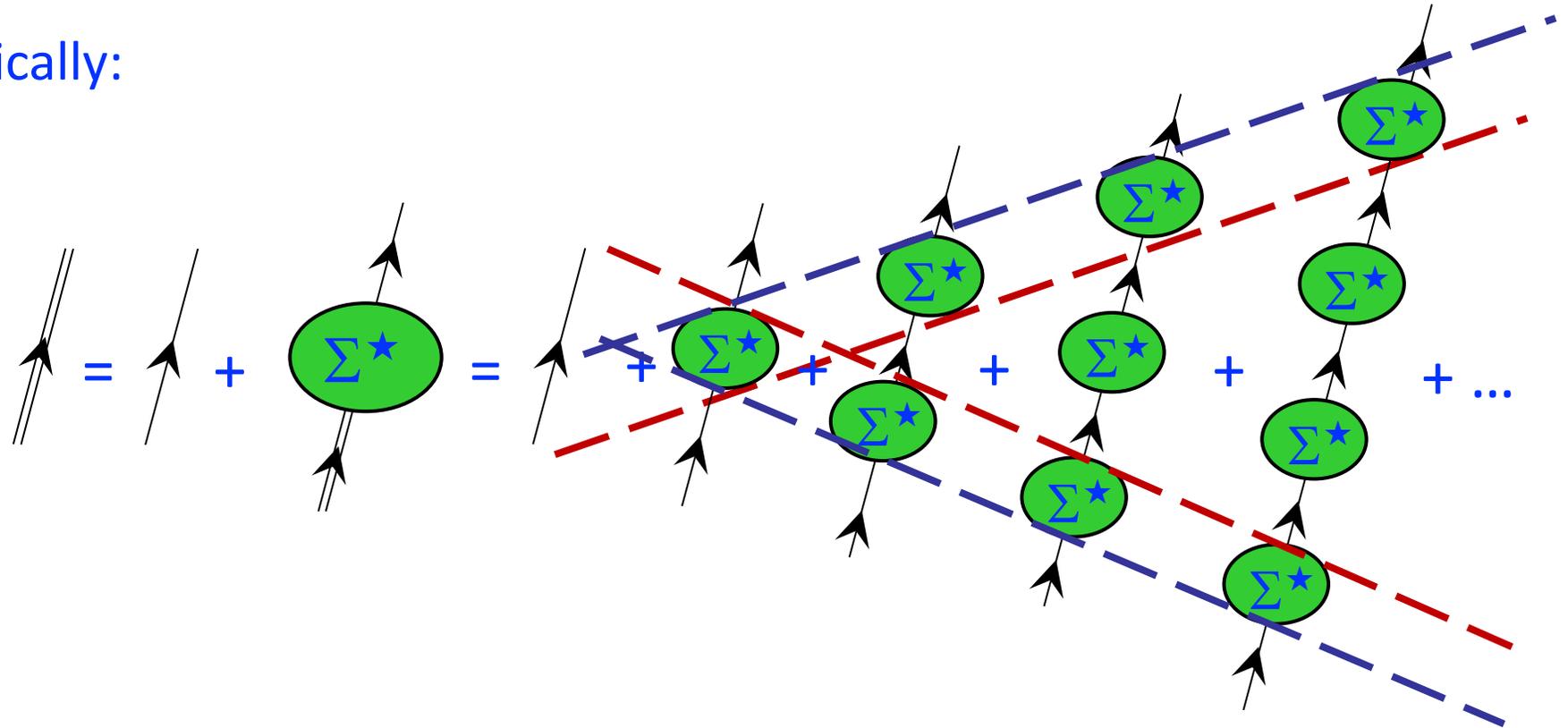




# Dyson equation

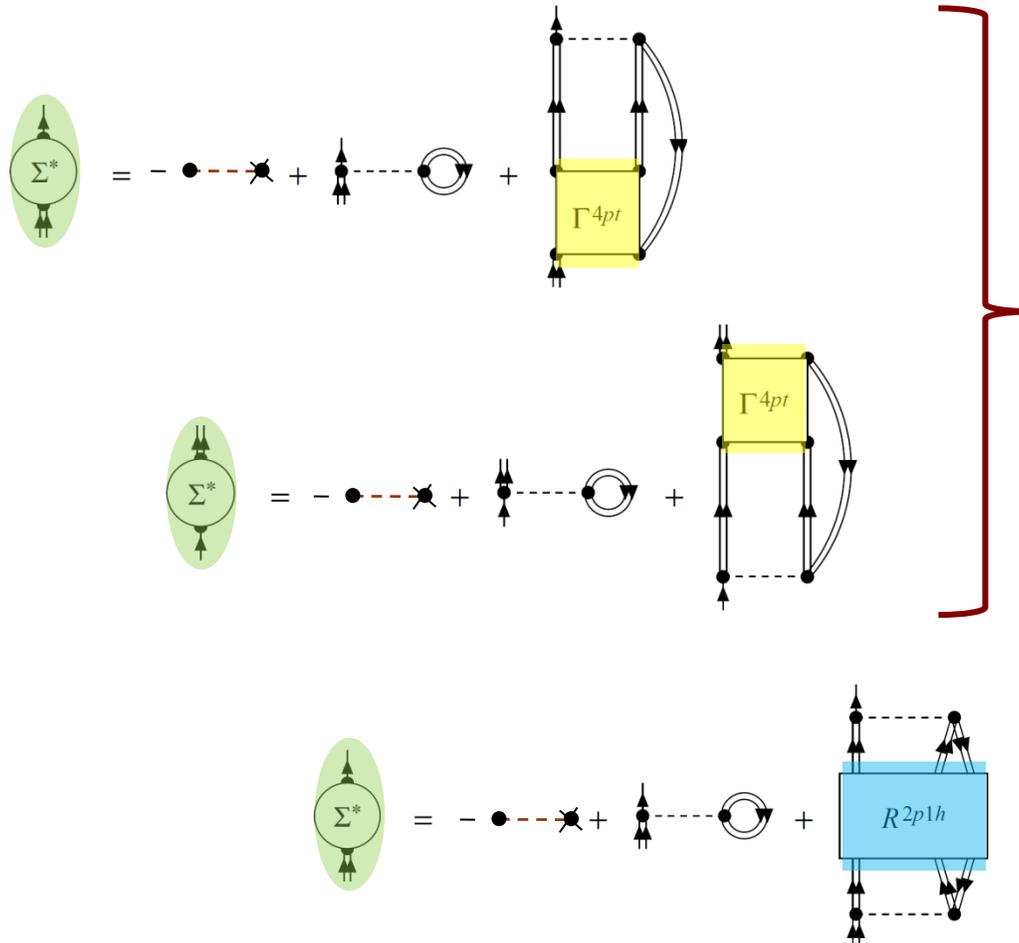
$$g_{\alpha\beta}(t - t') = g_{\alpha\beta}^{(0)}(t - t') + g_{\alpha\gamma}^{(0)}(t - t_\gamma) \Sigma_{\gamma\delta}^*(t_\gamma, t_\delta) g_{\delta\beta}(t_\gamma - t')$$

- Diagrammatically:



# Dyson equation

## Different forms for the self-energy



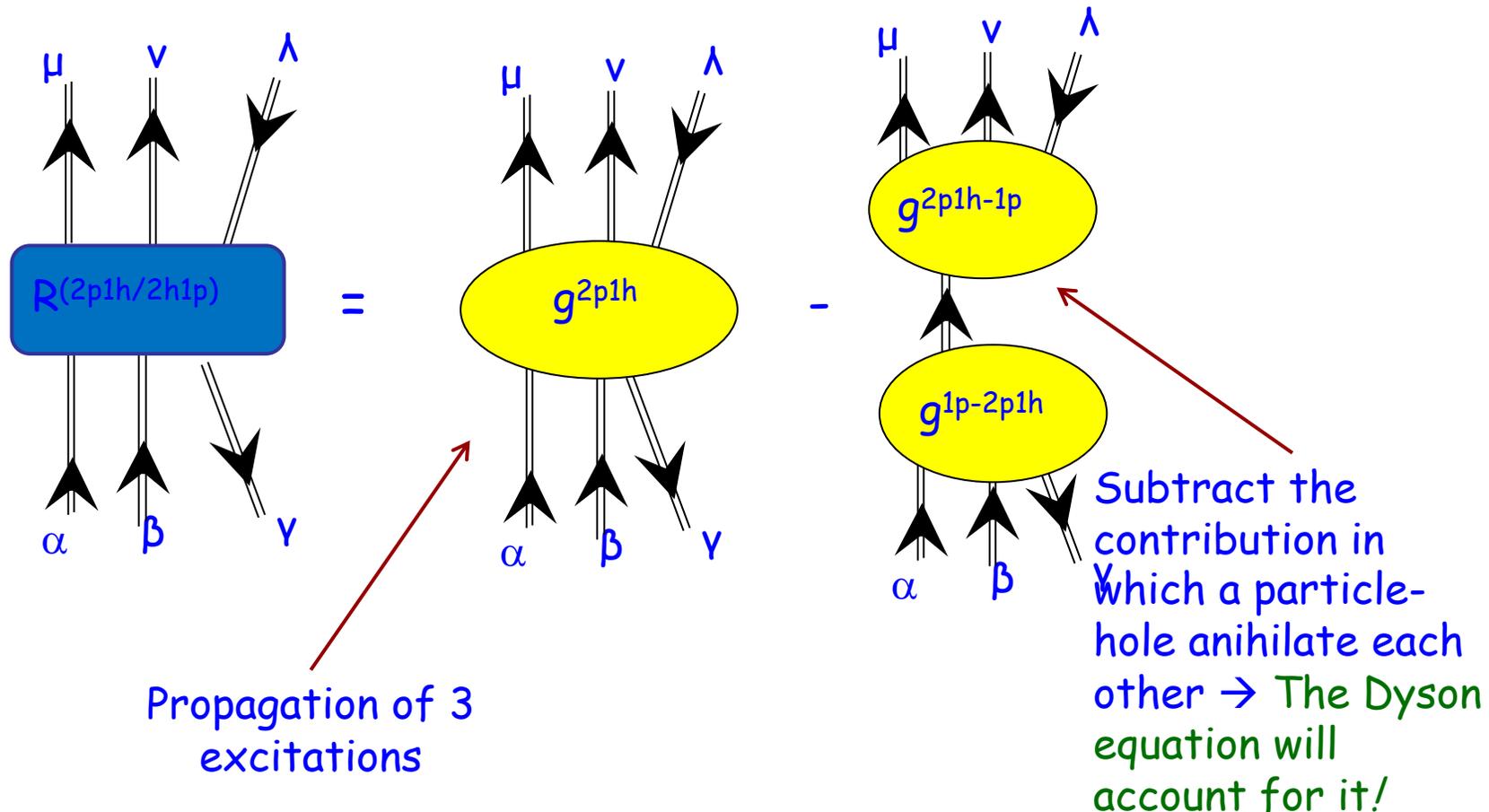
← if  $\Gamma^{4pt}$  is approximated in such a way that these two are equivalent, then conservation laws are fulfilled.  
 ← The exact  $\Gamma^{4pt}$  depends of 4 times variables

←  $R^{2p1h}$  is specialized to **two-times only!**



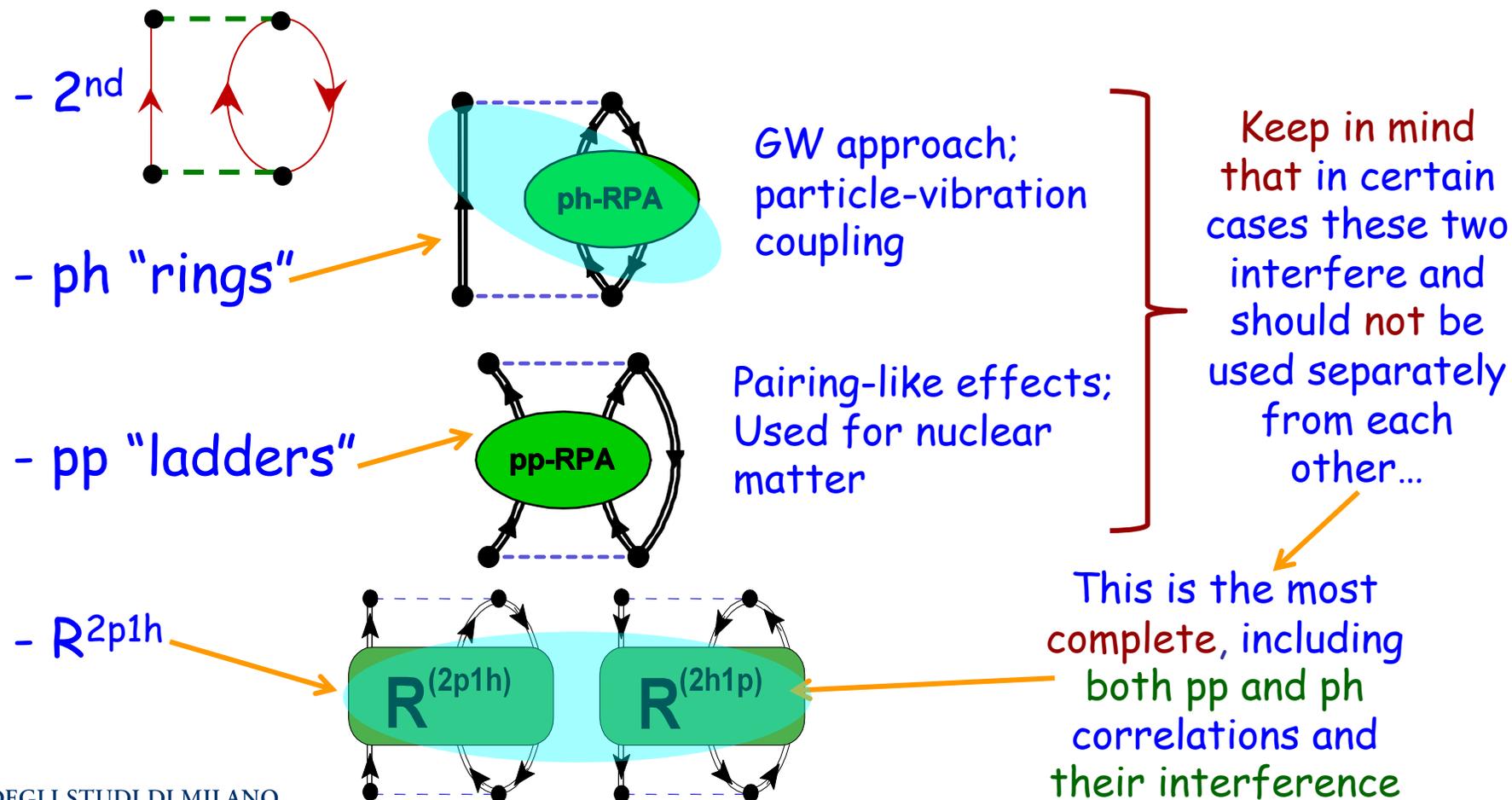
# Irreducible 2p1h/2h1p propagator

Graphic representation of the 2p1h/2h1p irreducible propagator  $R(\omega)$ :



# Approximations for the Self-energy

Diagrams of some common approximations for the self-energy:



# Approaches to compute the irreducible self-energy:

- Use PT → Feynman diagram expansion
- Equation of Motion method
  - Leads to important concepts:
    - self consistency
    - all-order summations
    - conservation theorems
- Algebraic diagrammatic constructions ADC(3)
  - typically the working approach for most finite systems



# Dyson equation

- The reducible self-energy sums  $\Sigma_{\alpha\beta}^*$  all orders,

$$\begin{aligned}\Sigma_{\alpha\beta}(t, t') &= \Sigma_{\alpha\beta}^*(t, t') \\ &+ \Sigma_{\alpha\gamma}^*(t, t_\gamma) g_{\gamma\delta}^{(0)}(t_\gamma, t_\delta) \Sigma_{\delta\beta}(t_\gamma - t')\end{aligned}$$

- Then:

$$\begin{aligned}g_{\alpha\beta}(t - t') &= g_{\alpha\beta}^{(0)}(t - t') \\ &+ g_{\alpha\gamma}^{(0)}(t - t_\gamma) \Sigma_{\gamma\delta}(t_\gamma, t_\delta) g_{\delta\beta}^{(0)}(t_\gamma - t')\end{aligned}$$



# Conservation laws

- Macroscopic quantities can be calculated from the single particle propagator:

- $\langle N(t) \rangle = -i\hbar \sum_{\alpha} g_{\alpha\alpha}(t, t^+)$  particle number

- $\langle \mathbf{P}(t) \rangle = -i\hbar \sum_{\alpha\beta} \langle \alpha | \mathbf{p} | \beta \rangle g_{\beta\alpha}(t, t^+)$  tot. momentum

- $\langle \mathbf{J}(t) \rangle = -i\hbar \sum_{\alpha\beta} \langle \alpha | \mathbf{r} \times \mathbf{p} | \beta \rangle g_{\beta\alpha}(t, t^+)$  angular momentum

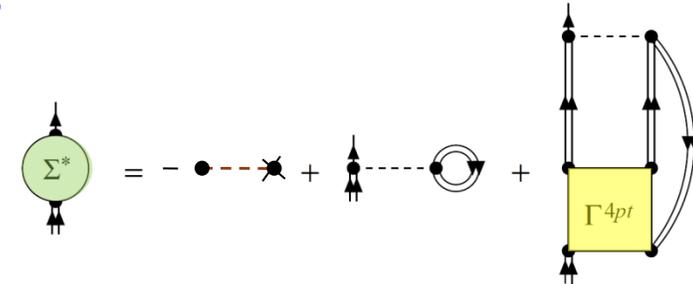
- $\langle E(t) \rangle = -i\hbar \frac{1}{2} \sum_{\alpha\beta} \left\{ \delta_{\alpha\beta} \frac{\partial}{\partial t} + t_{\alpha\beta} \right\} g_{\beta\alpha}(t, t^+)$  energy



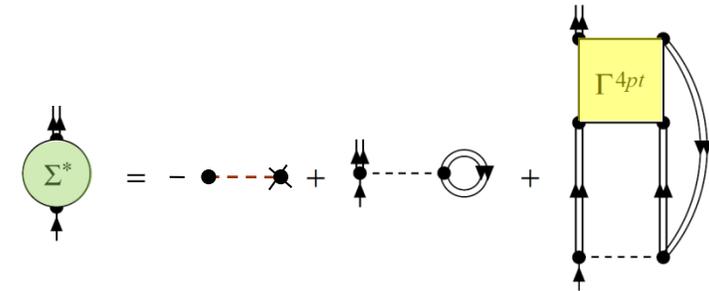
# Conservation laws

- There exist two-different forms of the Dyson equation:

$$g_{\alpha\beta}(t-t') = g_{\alpha\beta}^{(0)}(t-t') + g_{\alpha\gamma}^{(0)}(t-t_\gamma) \Sigma_{\gamma\delta}^{A,*}(t_\gamma, t_\delta) g_{\delta\beta}(t_\gamma-t')$$



$$g_{\alpha\beta}(t-t') = g_{\alpha\beta}^{(0)}(t-t') + g_{\alpha\gamma}(t-t_\gamma) \Sigma_{\gamma\delta}^{B,*}(t_\gamma, t_\delta) g_{\delta\beta}^{(0)}(t_\gamma-t')$$



- **→ One usually chooses an approximation for  $\Gamma$  and then builds an approximation of  $\Sigma_{\alpha\beta}^*$  !!!!**



- Theorem (Baym, Kadanoff 1961):
- Assume that the propagator  $g_{\alpha\beta}(t-t')$  solves both forms of the Dyson equation (that means  $\sum_{\alpha\beta}^{A,\star} = \sum_{\alpha\beta}^{B,\star}$  and  $\Gamma_{\alpha\beta,\gamma\delta} = \Gamma_{\beta\alpha,\delta\gamma}$ ). Then  $\langle N \rangle$ ,  $\langle P \rangle$ ,  $\langle L \rangle$  and  $\langle E \rangle$  calculated with  $g_{\alpha\beta}(t-t')$  are all conserved:

$$\frac{d\langle N(t) \rangle}{dt} = 0 \quad \frac{d\langle \mathbf{P}(t) \rangle}{dt} = 0 \quad \frac{d\langle \mathbf{J}(t) \rangle}{dt} = 0 \quad \frac{d\langle E(t) \rangle}{dt} = 0$$

[G. Baym and L. P. Kadanoff, Phys. Rev. **124**, 287 (1961)]





UNIVERSITÀ DEGLI STUDI DI MILANO

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# Adiabatic theorem and perturbations

Assume that the Hamiltonian splits in two parts, one component ( $H_0$ ) can be solved exactly but not the full Hamiltonian:

$$H = H_0 + H_1$$

If the second part ( $H_1$ ) is small, we can treat it as a small correction  $\rightarrow$  *perturbation theory*.

The complete propagator requires the Heisenberg evolution for the full  $H$ :

$$g_{\alpha\beta}(t, t') = -\frac{i}{\hbar} \langle \Psi_0^N | T [c_\alpha(t) c_\beta^\dagger(t')] | \Psi_0^N \rangle, \quad c_\alpha(t) = e^{iHt/\hbar} c_\alpha e^{-iHt/\hbar}$$

but we can handle only  $H_0$ . Thus, evolve operators according to  $H_0$  and compensate for the missing  $H_1$  part ( ) evolving the wave function  $\rightarrow$  This is the *Interaction (or Dirac) picture*.





# Feynman diagram rules

## Rules in *frequency* representation

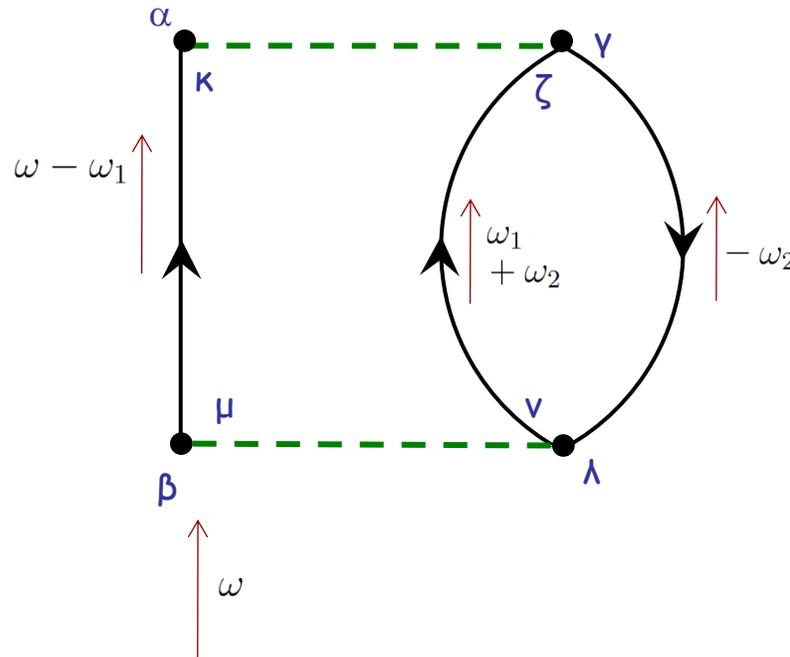
1. Write all **connected** and **topologically equivalent** diagrams—and only those.
2. At every propagator line one must associate an energy going in the direction of the arrow (energy must be conserved at each vertex)
3. Each single line w/ an arrow, contributes  $i\hbar g_{\alpha\beta}^{(0)}(\omega)$  running from  $\beta$  to  $\alpha$  ( $\omega$  gets a - sign if it goes against the arrow)
4. Each closed circle contributes a density matrix  $\rho_{\alpha\beta}$  (no  $i\hbar$  factor!)
5. Each two-body interaction line contributes  $-\frac{i}{\hbar} v_{\alpha\beta,\gamma\delta}$
6. Each external field line contributes  $-\frac{i}{\hbar} u_{\alpha\beta}$
7. An extra **-1** for each closed circuit (density matrix loops **excluded**)
8. Sum (integrate) over all coordinate and integrate over all independent frequencies (with a  $1/2\pi$  factor for each integration)
9. IF  $v_{\alpha\beta,\gamma\delta}$  are antisymmetrized matrix elements, and extra factor  $\frac{1}{2}$  is required for each pair of equivalent lines.
10. Add final factor  $-\frac{i}{\hbar}$  is to get  $G(t-t')$ .



# Example of using Feynman diagram rules

Calculating the *second order self-energy*:

$$\Sigma_{\alpha\beta}^{2nd}(\omega) = i\hbar (i\hbar)^3 \left(\frac{-i}{\hbar}\right)^2 \frac{(-1)^2}{2} v_{\alpha\gamma,\kappa\zeta} v_{\mu\nu,\beta\lambda} \int \frac{d\omega_1}{2\pi} \int \frac{d\omega_2}{2\pi} g_{\kappa\mu}(\omega - \omega_1) g_{\zeta\nu}(\omega_1 + \omega_2) g_{\lambda\gamma}(\omega_2)$$



Repeated greek indices are implicitly summed



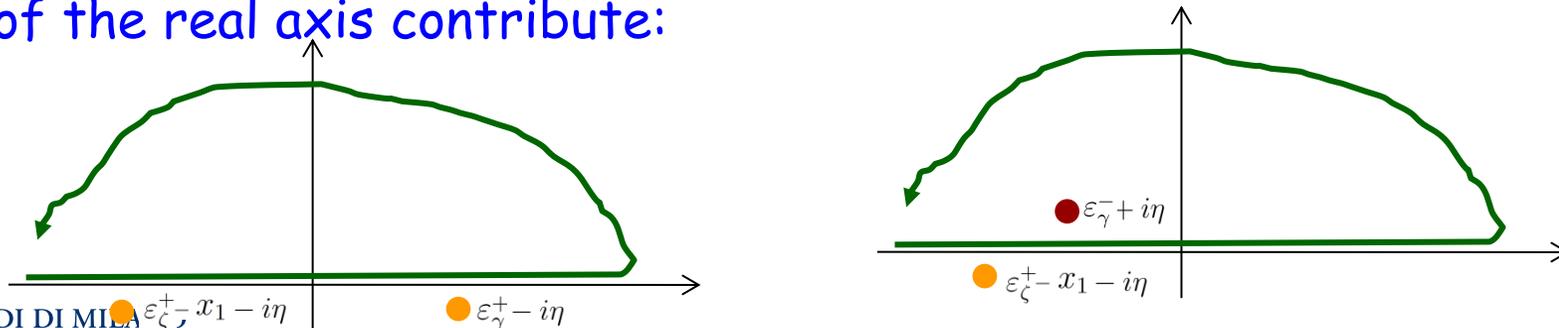
# Example of using Feynman diagram rules

Calculating the *second order self-energy*:

$$x_i \equiv \hbar\omega_i$$

$$\begin{aligned} \Sigma_{\alpha\beta}^{2nd}(\omega) &= i\hbar (i\hbar)^3 \left(\frac{-i}{\hbar}\right)^2 \frac{(-1)^2}{2} v_{\alpha\gamma,\kappa\zeta} v_{\mu\nu,\beta\lambda} \int \frac{d\omega_1}{2\pi} \int \frac{d\omega_2}{2\pi} g_{\kappa\mu}(\omega - \omega_1) g_{\zeta\nu}(\omega_1 + \omega_2) g_{\lambda\gamma}(\omega_2) \\ &= -\frac{1}{2} v_{\alpha\gamma,\kappa\zeta} v_{\mu\nu,\beta\lambda} \int \frac{dx_1}{2\pi i} \int \frac{dx_2}{2\pi i} g_{\kappa\mu}(\omega - \omega_1) g_{\zeta\nu}(\omega_1 + \omega_2) g_{\lambda\gamma}(\omega_2) \\ &= -\frac{1}{2} v_{\alpha\gamma,\kappa\zeta} v_{\mu\nu,\beta\lambda} \int \frac{dx_1}{2\pi i} g_{\kappa\mu}(\omega - \omega_1) \int \frac{dx_2}{2\pi i} \\ &\quad \times \delta_{\zeta\nu} \left\{ \frac{\delta_{\zeta \notin F}}{x_1 + x_2 - \varepsilon_{\zeta}^+ + i\eta} + \frac{\delta_{\zeta \in F}}{x_1 + x_2 - \varepsilon_{\zeta}^- - i\eta} \right\} \left\{ \frac{\delta_{\gamma \notin F}}{x_2 - \varepsilon_{\gamma}^+ + i\eta} + \frac{\delta_{\gamma \in F}}{x_2 - \varepsilon_{\gamma}^- - i\eta} \right\} \end{aligned}$$

Using the Cauchy theorem, only term with at least one pole on each side of the real axis contribute:

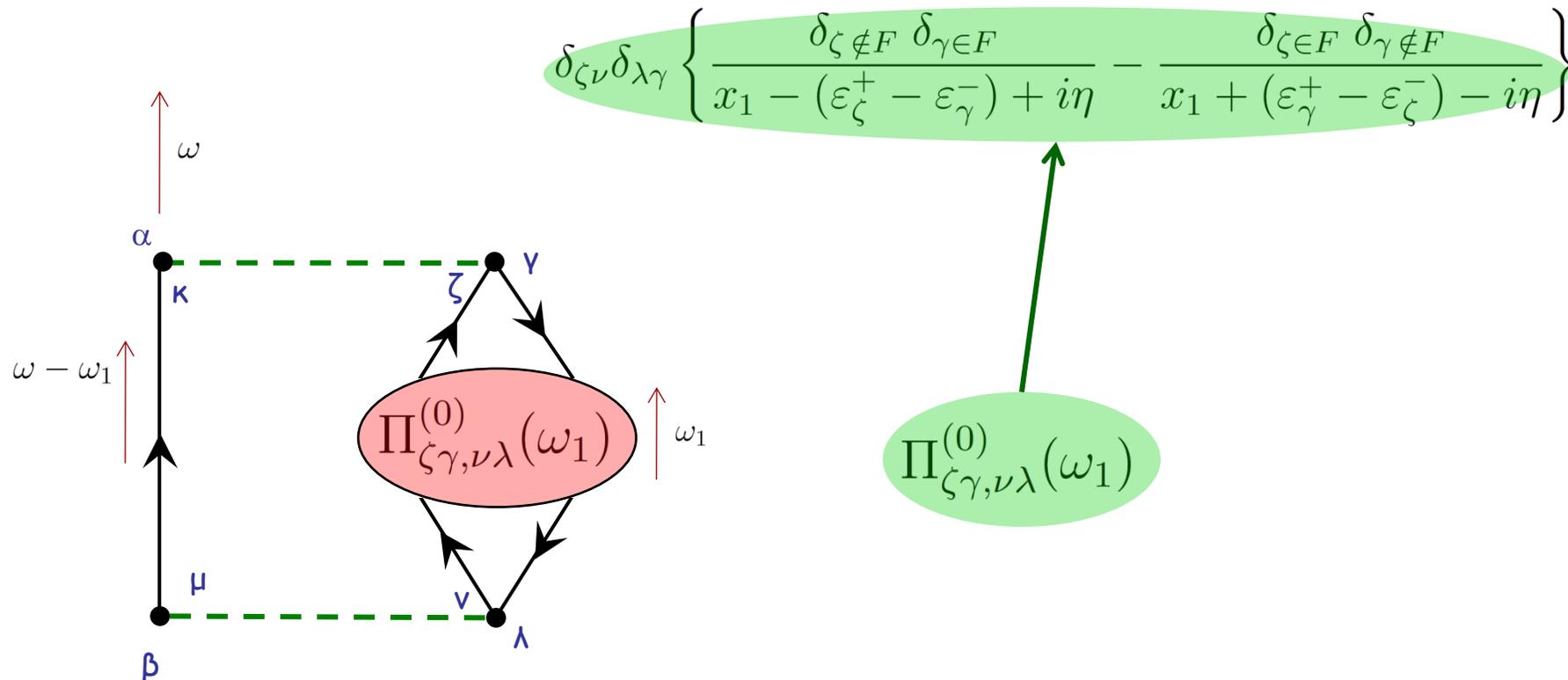


# Example of using Feynman diagram rules

Calculating the *second order self-energy*:

$$x_i \equiv \hbar\omega_i$$

$$\Sigma_{\alpha\beta}^{2nd}(\omega) = -\frac{1}{2}v_{\alpha\gamma,\kappa\zeta}v_{\mu\nu,\beta\lambda} \int \frac{dx_1}{2\pi i} g_{\kappa\mu}(\omega - \omega_1)$$



# Example of using Feynman diagram rules

Calculating the *second order self-energy*:

$$x_i \equiv \hbar\omega_i$$

$$\begin{aligned} \Sigma_{\alpha\beta}^{2nd}(\omega) &= -\frac{1}{2}v_{\alpha\gamma,\kappa\zeta}v_{\mu\nu,\beta\lambda} \int \frac{dx_1}{2\pi i} g_{\kappa\mu}(\omega - \omega_1) \\ &\quad \delta_{\zeta\nu}\delta_{\lambda\gamma} \left\{ \frac{\delta_{\zeta \notin F} \delta_{\gamma \in F}}{x_1 - (\varepsilon_{\zeta}^+ - \varepsilon_{\gamma}^-) + i\eta} - \frac{\delta_{\zeta \in F} \delta_{\gamma \notin F}}{x_1 + (\varepsilon_{\gamma}^+ - \varepsilon_{\zeta}^-) - i\eta} \right\} \\ &= -\frac{1}{2}\delta_{\kappa\mu}\delta_{\zeta\nu}\delta_{\lambda\gamma}v_{\alpha\gamma,\kappa\zeta}v_{\mu\nu,\beta\lambda} \int \frac{dx_1}{2\pi i} \left\{ \frac{\delta_{\kappa \notin F}}{\hbar\omega - \underset{x_1 \uparrow}{x_1} - \varepsilon_{\kappa}^+ + i\eta} + \frac{\delta_{\kappa \in F}}{\hbar\omega - \underset{x_1 \downarrow}{x_1} - \varepsilon_{\kappa}^- - i\eta} \right\} \\ &\quad \times \left\{ \frac{\delta_{\zeta \notin F} \delta_{\gamma \in F}}{\underset{x_1 \downarrow}{x_1} - (\varepsilon_{\zeta}^+ - \varepsilon_{\gamma}^-) + i\eta} - \frac{\delta_{\zeta \in F} \delta_{\gamma \notin F}}{\underset{x_1 \uparrow}{x_1} + (\varepsilon_{\gamma}^+ - \varepsilon_{\zeta}^-) - i\eta} \right\} \\ \Sigma_{\alpha\beta}^{2nd}(\omega) &= \frac{1}{2}v_{\alpha\lambda,\mu\nu} \left\{ \frac{\delta_{\mu \notin F} \delta_{\nu \notin F} \delta_{\lambda \in F}}{\hbar\omega - (\varepsilon_{\mu}^+ + \varepsilon_{\nu}^+ - \varepsilon_{\lambda}^-) + i\eta} + \frac{\delta_{\mu \in F} \delta_{\nu \in F} \delta_{\lambda \notin F}}{\hbar\omega - (\varepsilon_{\mu}^- + \varepsilon_{\nu}^- - \varepsilon_{\lambda}^+) - i\eta} \right\} v_{\mu\nu,\beta\lambda} \end{aligned}$$

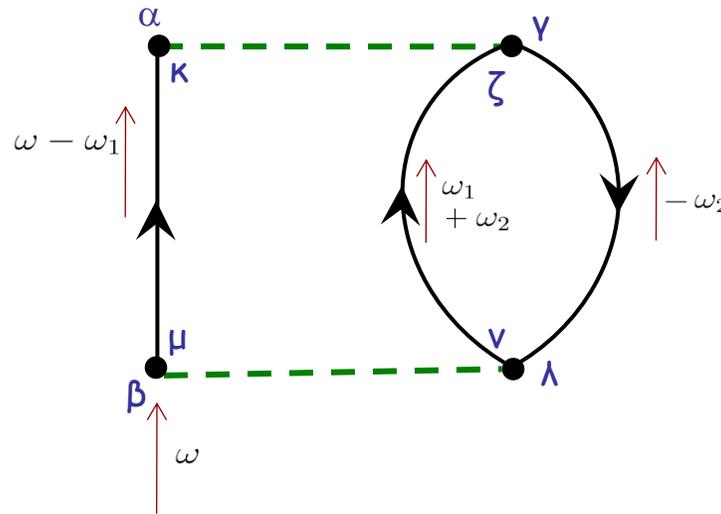
Repeated greek indices are implicitly summed



# Example of using Feynman diagram rules

Calculating the *second order self-energy*:

$$\Sigma_{\alpha\beta}^{2nd}(\omega) = i\hbar (i\hbar)^3 \left(\frac{-i}{\hbar}\right)^2 \frac{(-1)^2}{2} v_{\alpha\gamma,\kappa\zeta} v_{\mu\nu,\beta\lambda} \int \frac{d\omega_1}{2\pi} \int \frac{d\omega_2}{2\pi} g_{\kappa\mu}(\omega - \omega_1) g_{\zeta\nu}(\omega_1 + \omega_2) g_{\lambda\gamma}(\omega_2)$$



$$\Sigma_{\alpha\beta}^{2nd}(\omega) = \frac{1}{2} v_{\alpha\lambda,\mu\nu} \left\{ \frac{\delta_{\mu \notin F} \delta_{\nu \notin F} \delta_{\lambda \in F}}{\hbar\omega - (\varepsilon_{\mu}^+ + \varepsilon_{\nu}^+ - \varepsilon_{\lambda}^-) + i\eta} + \frac{\delta_{\mu \in F} \delta_{\nu \in F} \delta_{\lambda \notin F}}{\hbar\omega - (\varepsilon_{\mu}^- + \varepsilon_{\nu}^- - \varepsilon_{\lambda}^+) - i\eta} \right\} v_{\mu\nu,\beta\lambda}$$





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# Algebraic Diagrammatic Construction method at order $n$ - $ADC(n)$

See J. Schirmer and collaborators.:

Phys. Rev. A**26**, 2395 (1982)

Phys. Rev. A**28**, 1237 (1983)

CB and A. Carbone, *chapter 11* of  
Lecture Notes in Physics **936** (2017)

Prescription: write all the possible Feynman diagrams up to order  $n$ , then add minimal corrections and all-order summations to guarantee the correct spectral representation of the self energy.

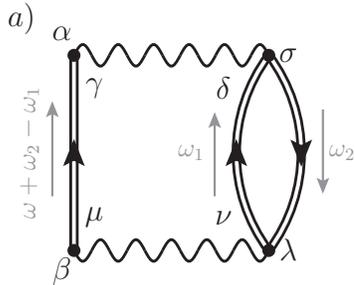


1<sup>st</sup> order

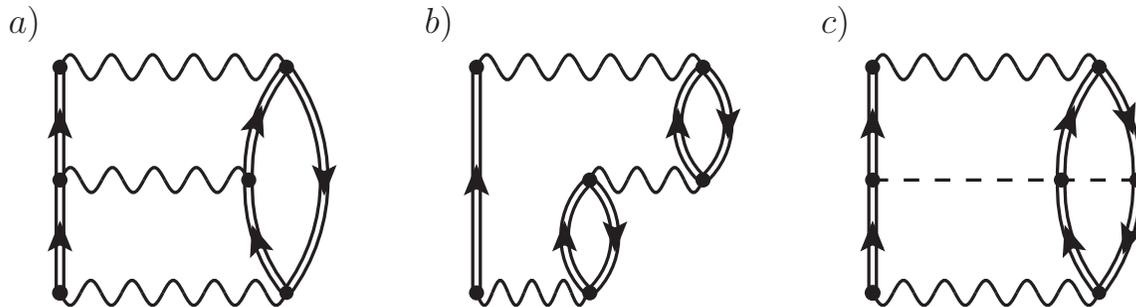


Simple Hartree-Fock,  
or mean-field approach

2<sup>nd</sup> order



3<sup>rd</sup> order



# Working eqs. for ADC(2) / ext-ADC(2) / ADC(3)

We consider a generic *reference propagator* that is used to expand the self-energy:

$$g_{\alpha\beta}^{(ref)}(\omega) = \sum_n \frac{(\mathcal{X}_\alpha^n)^* \mathcal{X}_\beta^n}{\omega - \varepsilon_n^+ + i\eta} + \sum_k \frac{\mathcal{Y}_\alpha^k (\mathcal{Y}_\beta^k)^*}{\omega - \varepsilon_k^- - i\eta}$$

with

$$\left\{ \begin{array}{l} \mathcal{X}_\alpha^n \equiv \langle \Psi_n^{A+1} | a_\alpha^\dagger | \Psi_0^A \rangle \\ \varepsilon_n^+ \equiv E_n^{A+1} - E_0^A \\ E_n^{A+1} | \Psi_n^{A+1} \rangle = H^{(ref)} | \Psi_n^{A+1} \rangle \end{array} \right. \quad \left\{ \begin{array}{l} \mathcal{Y}_\alpha^k \equiv \langle \Psi_k^{A-1} | a_\alpha | \Psi_0^A \rangle \\ \varepsilon_k^- \equiv E_0^A - E_k^{A-1} \\ E_k^{A-1} | \Psi_k^{A-1} \rangle = H^{(ref)} | \Psi_k^{A-1} \rangle \end{array} \right.$$

In general, this could be an unperturbed propagator (for which  $H^{(ref)} = H_0$ ,  $\mathcal{X}_\alpha^n = \delta_{n,\alpha} \delta_{n \in F}$ , etc...), an *Hartree-Fock propagator* or even fully dressed propagator.



# Working eqs. for ADC(2) / ext-ADC(2) / ADC(3)

The most general form of the irreducible self-energy is:

$$\Sigma_{\alpha,\beta}^*(\omega) = \Sigma_{\alpha,\beta}^\infty + \sum_{ij} \mathbf{M}_{\alpha i}^\dagger \left[ \frac{1}{\omega - (\mathbf{E}^{fw} + \mathbf{C}) + i\eta} \right]_{ij} \mathbf{M}_{j\beta} \\ + \sum_{rp} \mathbf{N}_{\alpha r}^\dagger \left[ \frac{1}{\omega - (\mathbf{E}^{bk} + \mathbf{D}) - i\eta} \right]_{rp} \mathbf{N}_{p\beta}$$

where:

$i, j \longrightarrow$  label  $2p1h, 3p2h, 4p3h, \dots$  excitations

$r, p \longrightarrow$  label  $2h1p, 3h2p, \dots$  excitations



# Working eqs. for ADC(2) / ext-ADC(2) / ADC(3)

The Dyson eq. is the solved by diagonalizing

$$\varepsilon^\pm \begin{pmatrix} \vec{Z}^\pm \\ \vec{W} \\ \vec{U} \end{pmatrix} = \begin{pmatrix} H_0 + \Sigma^\infty & \mathbf{M}^\dagger & \mathbf{N}^\dagger \\ \mathbf{M} & \text{diag}(\mathbf{E}^{fw}) + \mathbf{C} & \\ \mathbf{N} & & \text{diag}(\mathbf{E}^{bk}) + \mathbf{D} \end{pmatrix} \begin{pmatrix} \vec{Z}^\pm \\ \vec{W} \\ \vec{U} \end{pmatrix}$$

with the normalization condition

$$(\vec{Z}^\pm)^\dagger \vec{Z}^\pm + \vec{W}^\dagger \vec{W} + \vec{U}^\dagger \vec{U} = 1$$

One then identifies:  $(\vec{Z}^{+n})_\alpha \rightarrow \mathcal{X}_\alpha^n$  that  
yield the new

$(\vec{Z}^{-k})_\alpha \rightarrow \mathcal{Y}_\alpha^k$   
propagator and



# Working eqs. for **ADC(2)**

The dressed 1<sup>st</sup> and 2<sup>nd</sup> order diagrams are:

$$\Sigma_{\alpha\beta}^{\infty} = \text{---} \times \quad \text{---} \circlearrowright = -U_{\alpha\beta} + \Sigma_{\alpha\beta}^{cHF}$$

$$\Sigma_{\alpha\beta}^{cHF} = \int_{C_{\uparrow}} \frac{d\omega}{2\pi i} v_{\alpha\gamma,\beta\delta} g_{\delta\gamma}^{(ref)}(\omega) = \sum_k v_{\alpha\gamma,\beta\delta} \mathcal{Y}_{\delta}^k (\mathcal{Y}_{\gamma}^k)^*$$

and

$$\Sigma_{\alpha\beta}^{(2)}(\omega) = \text{---} \circlearrowright + \text{---} \circlearrowright + \frac{1}{2} \sum_{n_1, n_2, k} \frac{v_{\alpha\lambda, \mu\nu} (\mathcal{X}_{\mu}^{n_1} \mathcal{X}_{\nu}^{n_2} \mathcal{Y}_{\lambda}^k)^* \mathcal{X}_{\mu'}^{n_1} \mathcal{X}_{\nu'}^{n_2} \mathcal{Y}_{\lambda'}^k v_{\mu'\nu', \beta\lambda'}}{\omega - (\varepsilon_{n_1}^+ + \varepsilon_{n_2}^+ - \varepsilon_k^-) + i\eta}$$

$$+ \frac{1}{2} \sum_{k_1, k_2, n} \frac{v_{\alpha\lambda, \mu\nu} \mathcal{Y}_{\mu}^{k_1} \mathcal{Y}_{\nu}^{k_2} \mathcal{X}_{\lambda}^n (\mathcal{Y}_{\mu'}^{k_1} \mathcal{Y}_{\nu'}^{k_2} \mathcal{X}_{\lambda'}^n)^* v_{\mu'\nu', \beta\lambda'}}{\omega - (\varepsilon_{k_1}^- + \varepsilon_{k_2}^- - \varepsilon_n^+) + i\eta}$$

Goldstone diagrams

Repeated greek indices are implicitly summed



# Working eqs. for $ADC(2)$

From the previous diagrams, one extracts the matrix elements that define  $ADC(2)$ :

$$\begin{aligned}(\mathbf{H}_0 + \Sigma^\infty)_{\alpha\beta} &= (\mathbf{T} + \mathbf{U})_{\alpha\beta} + (-\mathbf{U} + \Sigma^{cHF})_{\alpha\beta} \\ &= t_{\alpha\beta} + \sum_k v_{\alpha\gamma,\beta\delta} \mathcal{Y}_\delta^k (\mathcal{Y}_\gamma^k)^*\end{aligned}$$

$$\mathbf{M}_{(n_1,n_2,k),\alpha} = \frac{1}{\sqrt{2}} \mathcal{X}_\mu^{n_1} \mathcal{X}_\nu^{n_2} \mathcal{Y}_\lambda^k v_{\mu\nu,\alpha\lambda} \quad \mathbf{N}_{(k_1,k_2,n),\alpha} = \frac{1}{\sqrt{2}} (\mathcal{Y}_\mu^{k_1} \mathcal{Y}_\nu^{k_2} \mathcal{X}_\lambda^n)^* v_{\mu\nu,\alpha\lambda}$$

$$\mathbf{E}_{n_1,n_2,k}^{fw} = \varepsilon_{n_1}^+ + \varepsilon_{n_2}^+ - \varepsilon_k^- \quad \mathbf{E}_{k_1,k_2,n}^{bk} = \varepsilon_{k_1}^- + \varepsilon_{k_2}^- - \varepsilon_n^-$$

$$\mathbf{C} = 0$$

$$\mathbf{D} = 0$$

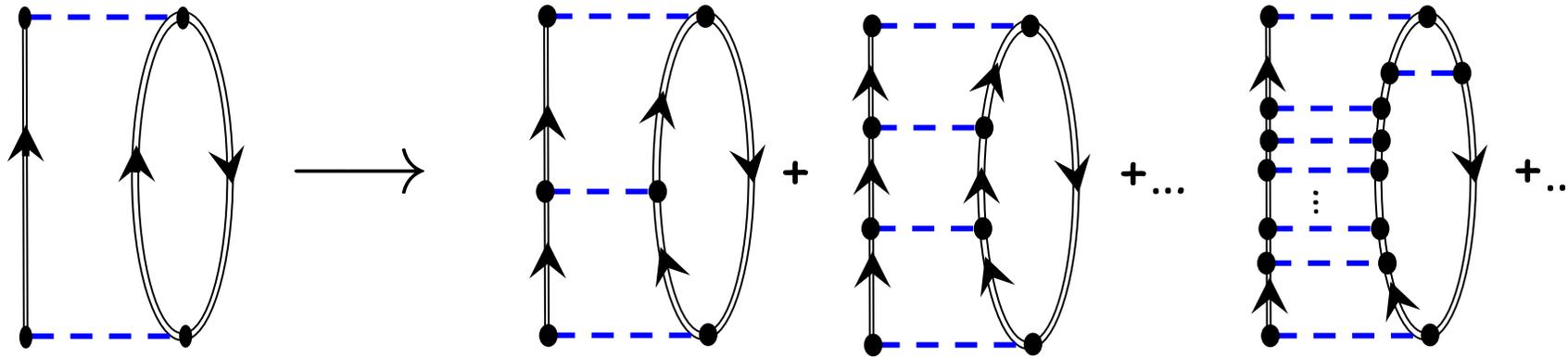
*Any repeated indices are implicitly summed*

Note that the auxiliary potential  $\mathbf{U}$  (that defines the unperturbed propagator) cancels out from the Dyson equation!



# Working eqs. for *ext-ADC(2)*

Extend the ADC(2) by inserting pp-, hh-, and ph- summations (ladders and rings):



this leads to contributions of the form:

$$\begin{aligned}
 \rightarrow & V \frac{1}{\omega - E_{2p1h}} V + V \frac{1}{\omega - E_{2p1h}} V \frac{1}{\omega - E_{2p1h}} V \\
 & + V \frac{1}{\omega - E_{2p1h}} V \frac{1}{\omega - E_{2p1h}} V \frac{1}{\omega - E_{2p1h}} V \\
 & + V \frac{1}{\omega - E_{2p1h}} V \frac{1}{\omega - E_{2p1h}} V \frac{1}{\omega - E_{2p1h}} V \frac{1}{\omega - E_{2p1h}} V + \dots
 \end{aligned}$$

## Working eqs. for *ext-ADC(2)*

Expand the self-energy in the inter-particle interaction. Both the  $\mathbf{M}$ ,  $\mathbf{N}$  matrices have leading contributions at first order in  $V$ :

$$\mathbf{M} = \mathbf{M}^1(v^1) + \mathbf{M}^2(v^2) + \mathbf{M}^3(v^3) + \dots$$

$$\mathbf{N} = \mathbf{N}^1(v^1) + \mathbf{N}^2(v^2) + \mathbf{N}^3(v^3) + \dots$$

While  $\mathbf{C}$  and  $\mathbf{D}$  are only at 1<sup>st</sup> order in  $V$ . This leads to contributions of the form:

$$\begin{aligned} \mathbf{M}^\dagger \frac{1}{\omega - (E + \mathbf{C})} \mathbf{M} &\longrightarrow \mathbf{M}^{1\dagger} \frac{1}{\omega - E} \mathbf{M}^1 \\ &+ \mathbf{M}^{2\dagger} \frac{1}{\omega - E} \mathbf{M}^1 + \mathbf{M}^{1\dagger} \frac{1}{\omega - E} \mathbf{M}^2 + \mathbf{M}^{1\dagger} \frac{1}{\omega - E} \mathbf{C} \frac{1}{\omega - E} \mathbf{M}^1 \\ &+ \mathbf{M}^{3\dagger} \frac{1}{\omega - E} \mathbf{M}^1 + \mathbf{M}^{2\dagger} \frac{1}{\omega - E} \mathbf{M}^2 + \mathbf{M}^{1\dagger} \frac{1}{\omega - E} \mathbf{C} \frac{1}{\omega - E} \mathbf{C} \frac{1}{\omega - E} \mathbf{M}^1 + \dots \end{aligned}$$

→ from here one reads the minimal approximation to  $\mathbf{C}$  needed to reproduce the 3<sup>rd</sup> order diagram. Then the full ladder and ring summation come automatically, for free!



# Working eqs. for *ext-ADC(2)*

The matrices for the *extended-ADC(2)* equations are the same as for *ADC(2)*, except for:

$$\mathbf{C}_{(n_1, n_2, k_3), (n_4, n_5, k_6)} = \frac{1}{2} \langle n_1 n_2 | v | n_4 n_5 \rangle \delta_{k_3, k_6} + \langle n_1 k_3 | v^{ph} | n_4 k_6 \rangle \delta_{n_2, n_5} + \langle n_2 k_3 | v^{ph} | n_5 k_6 \rangle \delta_{n_1, n_4}$$

$$\mathbf{D}_{(k_1, k_2, n_3), (k_4, k_5, n_6)} = -\frac{1}{2} \langle k_1 k_2 | v | k_4 k_5 \rangle \delta_{n_3, n_6} - \langle k_1 n_3 | v^{ph} | k_4 n_6 \rangle \delta_{k_2, k_5} - \langle k_2 n_3 | v^{ph} | k_5 n_6 \rangle \delta_{k_1, k_4}$$

where:

$$\langle n_1 n_2 | v | n_4 n_5 \rangle \equiv \mathcal{X}_\gamma^{n_1} \mathcal{X}_\delta^{n_2} v_{\gamma\delta, \mu\nu} (\mathcal{X}_\mu^{n_4} \mathcal{X}_\nu^{n_5})^*$$

$$\langle n_1 k_3 | v^{ph} | n_4 k_6 \rangle \equiv \mathcal{X}_\alpha^{n_1} \mathcal{Y}_\beta^{k_3} v_{\alpha\delta, \beta\gamma} (\mathcal{X}_\gamma^{n_4} \mathcal{Y}_\delta^{k_6})^*$$

$$\langle k_1 k_2 | v | k_4 k_5 \rangle \equiv (\mathcal{Y}_\gamma^{k_1} \mathcal{Y}_\delta^{k_2})^* v_{\gamma\delta, \mu\nu} \mathcal{Y}_\mu^{k_4} \mathcal{Y}_\nu^{k_5}$$

$$\langle k_1 n_3 | v^{ph} | k_4 n_6 \rangle \equiv (\mathcal{Y}_\alpha^{k_1} \mathcal{X}_\beta^{n_3})^* v_{\alpha\delta, \beta\gamma} \mathcal{Y}_\gamma^{k_4} \mathcal{X}_\delta^{n_6}$$

*Any* repeated indices  
are implicitly summed

→ The full ladder and ring summations are generated by these choices of **C** and **D**!



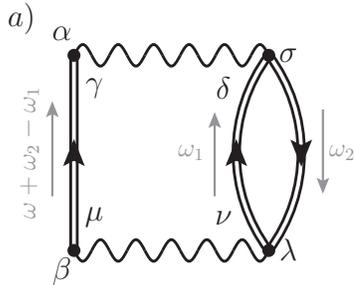
# Working eqs. For **ADC(3)**

1<sup>st</sup> order

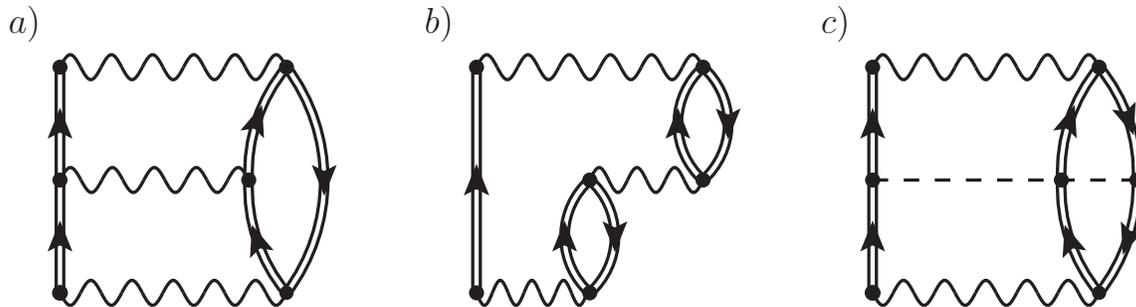


Simple Hartree-Fock,  
or mean-field approach

2<sup>nd</sup> order

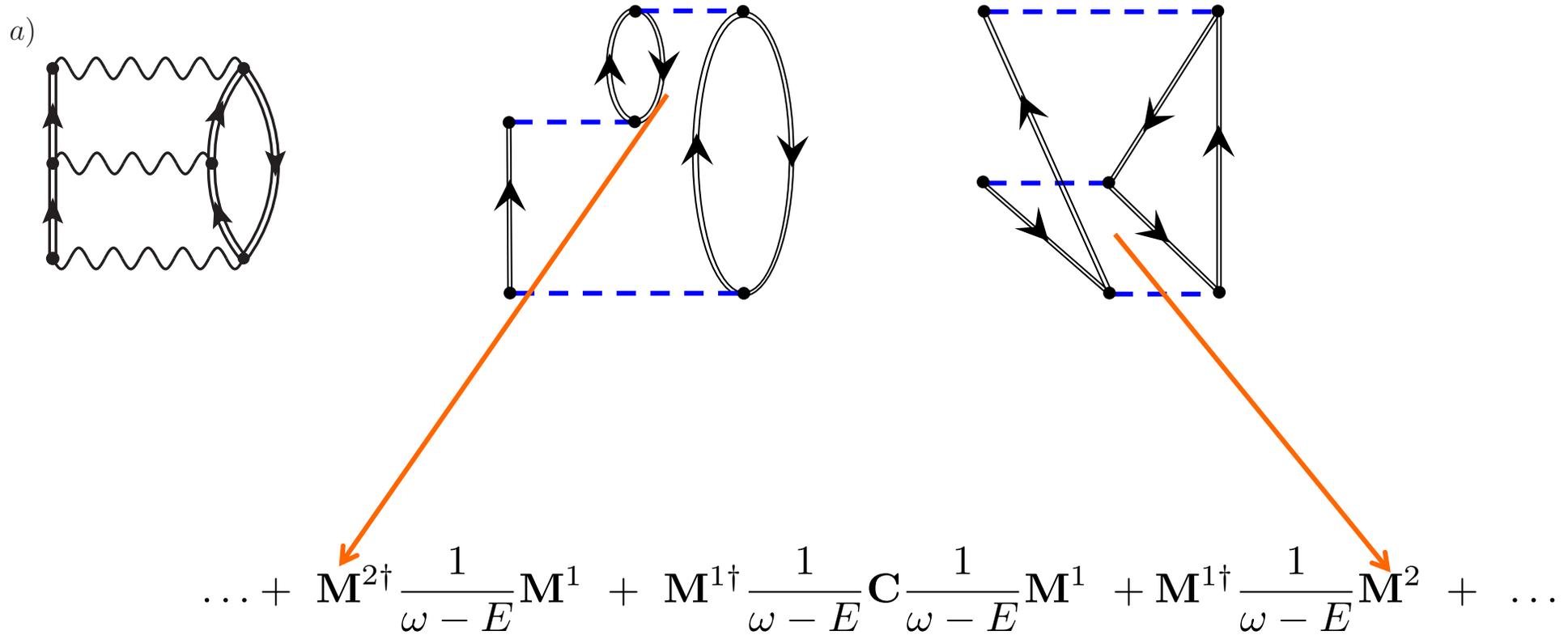


3<sup>rd</sup> order



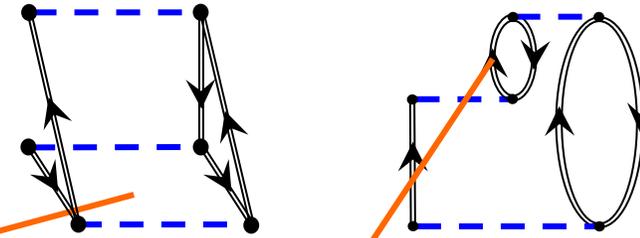
# Working eqs. For **ADC(3)**

Requiring that ALL 3<sup>rd</sup> order Goldstone diagrams are included requires to also extending the coupling matrices:



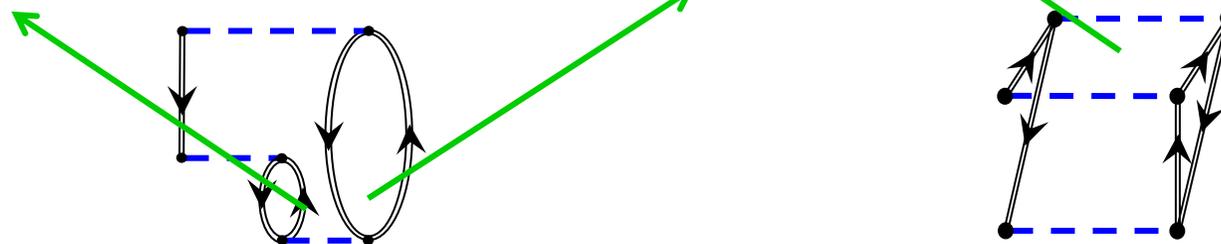
# Working eqs. For **ADC(3)**

Requiring that ALL 3<sup>rd</sup> order Goldstone diagrams are included requires to also extending the coupling matrices:



$$\begin{aligned} \sqrt{(2)}\mathbf{M}_{(n_1, n_2, k), \alpha} = & \mathcal{X}_\mu^{n_1} \mathcal{X}_\nu^{n_2} \mathcal{Y}_\lambda^k v_{\mu\nu, \alpha\lambda} + \frac{\mathcal{X}_\sigma^{n_1} \mathcal{X}_\rho^{n_2} v_{\sigma\rho, \gamma\delta} \mathcal{Y}_\gamma^{k_7} \mathcal{Y}_\delta^{k_8}}{2(\varepsilon_{k_7}^- + \varepsilon_{k_8}^- - \varepsilon_{n_1}^+ - \varepsilon_{n_2}^+)} (\mathcal{Y}_\mu^{k_7} \mathcal{Y}_\nu^{k_8})^* \mathcal{Y}_\lambda^k v_{\mu\nu, \alpha\lambda} \\ & + \frac{\mathcal{Y}_\sigma^k \mathcal{X}_\rho^{n_2} v_{\rho\delta, \sigma\gamma} \mathcal{Y}_\gamma^{k_5} \mathcal{X}_\delta^{n_6}}{(\varepsilon_k^- - \varepsilon_{n_2}^+ + \varepsilon_{k_5}^- - \varepsilon_{n_6}^+)} \mathcal{X}_\mu^{n_1} (\mathcal{Y}_\nu^{k_5} \mathcal{X}_\lambda^{n_6})^* v_{\mu\nu, \alpha\lambda} - \frac{\mathcal{Y}_\sigma^k \mathcal{X}_\rho^{n_1} v_{\rho\delta, \sigma\gamma} \mathcal{Y}_\gamma^{k_5} \mathcal{X}_\delta^{n_6}}{(\varepsilon_k^- - \varepsilon_{n_1}^+ + \varepsilon_{k_5}^- - \varepsilon_{n_6}^+)} \mathcal{X}_\mu^{n_2} (\mathcal{Y}_\nu^{k_5} \mathcal{X}_\lambda^{n_6})^* v_{\mu\nu, \alpha\lambda} \end{aligned}$$

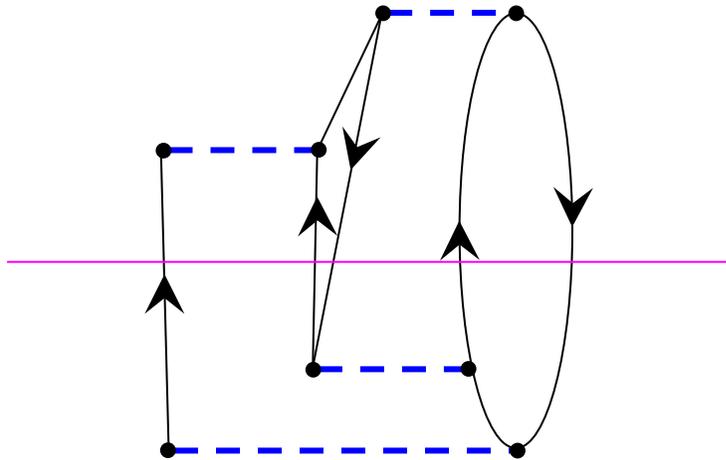
$$\begin{aligned} \sqrt{(2)}\mathbf{N}_{(k_1, k_2, n), \alpha} = & (\mathcal{Y}_\mu^{k_1} \mathcal{Y}_\nu^{k_2} \mathcal{X}_\lambda^n)^* v_{\mu\nu, \alpha\lambda} + \frac{(\mathcal{Y}_\sigma^{k_1} \mathcal{Y}_\rho^{k_2})^* v_{\sigma\rho, \gamma\delta} (\mathcal{X}_\gamma^{n_7} \mathcal{X}_\delta^{n_8})^*}{2(\varepsilon_{k_1}^- + \varepsilon_{k_2}^- - \varepsilon_{n_7}^+ - \varepsilon_{n_8}^+)} \mathcal{X}_\mu^{n_7} \mathcal{X}_\nu^{n_8} \mathcal{X}_\lambda^n v_{\mu\nu, \alpha\lambda} \\ & + \frac{(\mathcal{Y}_\sigma^{k_2} \mathcal{X}_\rho^n)^* v_{\sigma\delta, \rho\gamma} (\mathcal{X}_\gamma^{n_5} \mathcal{Y}_\delta^{k_6})^*}{(\varepsilon_{k_2}^- - \varepsilon_n^+ + \varepsilon_{k_6}^- - \varepsilon_{n_5}^+)} (\mathcal{Y}_\mu^{k_1})^* \mathcal{X}_\nu^{n_5} \mathcal{Y}_\lambda^{k_6} v_{\mu\nu, \alpha\lambda} - \frac{(\mathcal{Y}_\sigma^{k_1} \mathcal{X}_\rho^n)^* v_{\sigma\delta, \rho\gamma} (\mathcal{X}_\gamma^{n_5} \mathcal{Y}_\delta^{k_6})^*}{(\varepsilon_{k_1}^- - \varepsilon_n^+ + \varepsilon_{k_6}^- - \varepsilon_{n_5}^+)} (\mathcal{Y}_\mu^{k_2})^* \mathcal{X}_\nu^{n_5} \mathcal{Y}_\lambda^{k_6} v_{\mu\nu, \alpha\lambda} \end{aligned}$$



# Beyond ADC(3)...

The general strategy is: expand the self-energy in Feynman/Goldstone diagrams up to order  $n$  and then compare to the minimal expansion in terms of matrices  $C$ ,  $D$  and  $M$ ,  $N$ .

For ADC(4), also  $3p2h/3h2p$  intermediate states appear:



$l_p / l_h -$	ADC(2,3)		ADC(4,5)		...
	$2p-1h$	$2h-1p$	$3p-2h$	$3h-2p$	
$\epsilon + \Sigma(\omega)$	$U^I$	$U^{II}$	$U^I$	$U^{II}$	...
	$(K+C)^I$		$C^I$		
		$(K+C)^{II}$		$C^{II}$	
			$(K+C)^I$		
				$(K+C)^{II}$	



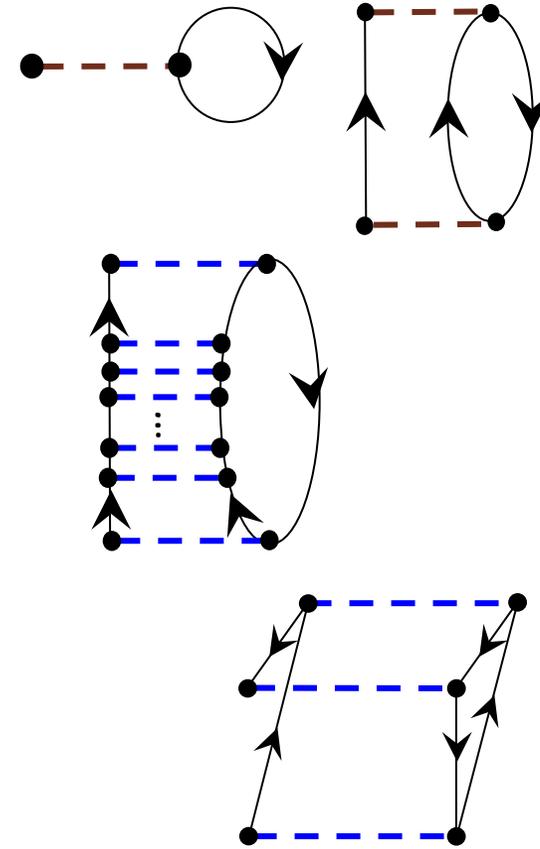
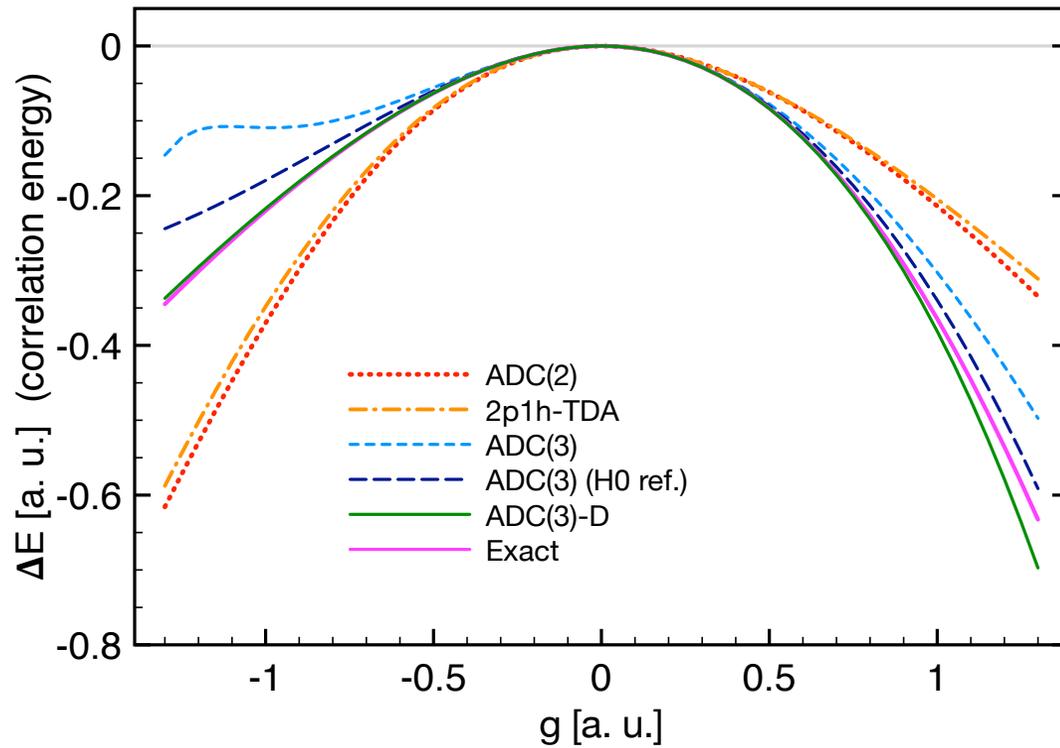


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# Results for the pairing model

Correlation energy for the 4-level and 4-fermions pairing model



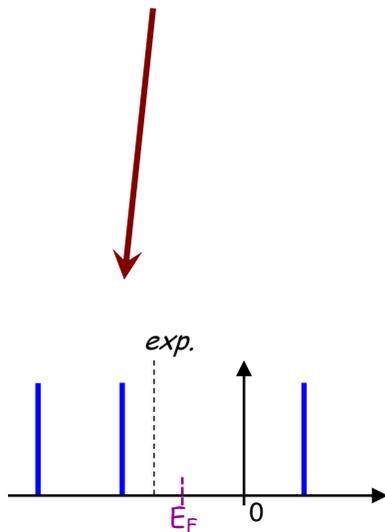
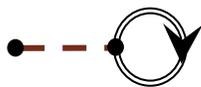
$$\langle \Phi_k; 1h | H_1 | \Phi_{k_1, k_2}^n; 2h1p \rangle = \left\langle \begin{array}{c} \text{---} E_F \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \middle| \sum_{p,q} P_p^\dagger P_q \middle| \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} E_F \end{array} \right\rangle = 0$$



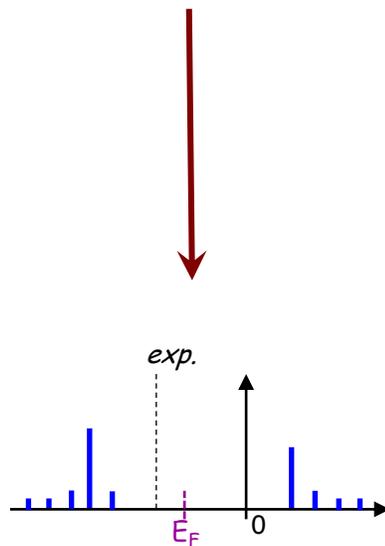
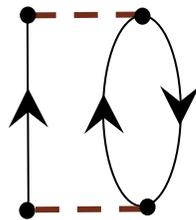


# Accuracy of ADC(n) - simple atoms/molecules

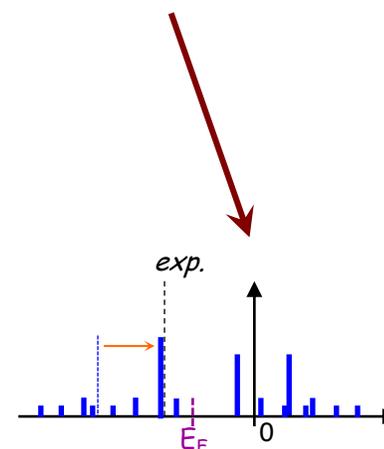
ADC(1)  $\equiv$  HF



ADC(2)  $\equiv$  2<sup>nd</sup> ord.



ADC(3)  $\equiv$  FTDA  
FRPA



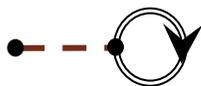
98-99% of correlation energy is recovered

binding, eq. bond distances,  $\rightarrow$  ionization energies (molecules)

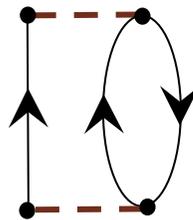


# Accuracy of ADC(n) - simple atoms/molecules

ADC(1)  $\equiv$  HF



ADC(2)  $\equiv$  2<sup>nd</sup> ord.



ADC(3)  $\equiv$  FTDA  
FRPA



		Hartree-Fock	Second order	FTDA	FRPA	Experiment [63,64]
He	1s	0.918(+14)	0.9012(-2.5)	0.9025(-1.2)	0.9008(-2.9)	0.9037
Be <sup>2+</sup>	1s	5.6672(+116)	5.6542(-1.4)	5.6554(-0.2)	5.6551(-0.5)	5.6556
Be	2s	0.3093(-34)	0.3187(-23.9)	0.3237(-18.9)	0.3224(-20.2)	0.3426
Ne	1s	4.733(+200)	4.5892(+56)	4.5439(+11)	4.5405(+8)	4.533
	2p	0.852(+57)	0.752(-41)	0.8101(+17)	0.8037(+11)	0.793
Mg <sup>2+</sup>	2s	1.931(+149)	1.750(-39)	1.8057(+24)	1.7967(+15)	1.782
	2p	3.0068(+56.9)	2.9217(-28.2)	2.9572(+7.3)	2.9537(+3.8)	2.9499
Mg	2s	4.4827	4.3283	4.3632	4.3589	
	3s	0.253(-28)	0.267(-14)	0.272(-9)	0.280(-1)	0.281
Ar	2p	2.282(+162)	2.117(-3)	2.141(+21)	2.137(+17)	2.12
	3p	0.591(+12)	0.563(-16)	0.581(+2)	0.579( $\approx$ 0)	0.579
$\sigma_{\text{rms}}$ [mH]	3s	1.277(+202)	1.111(+36)	1.087(+12)	1.065(-10)	1.075
	3s		1.840	1.578	1.544	
		81.4	29.3	13.7	10.6	

← ionization energies (atoms)



# Accuracy of FRPA for simple molecules

- Diatomic molecules

	FTDA <sub>c</sub>	FRPA <sub>c</sub>	CCSD(T)	Expt.
N <sub>2</sub>				
$E_0$	-109.258	-109.272	-109.276	-
$r_0$	1.104	1.106	1.119	1.098
I	0.565	0.544	0.602 <sup>a</sup>	0.573
BF				
$E_0$	-124.365	-124.368	-124.380	-
$r_0$	1.284	1.285	1.295	1.267
I	0.395	0.402	0.406	-
CO				
$E_0$	-113.037	-113.048	-113.055	-
$r_0$	1.130	1.123	1.145	1.128
I	0.503	0.494	0.550 <sup>a</sup>	0.515

<sup>a</sup> Only up to CCD



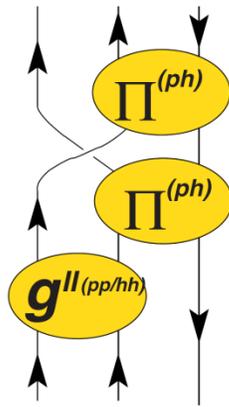


Basel

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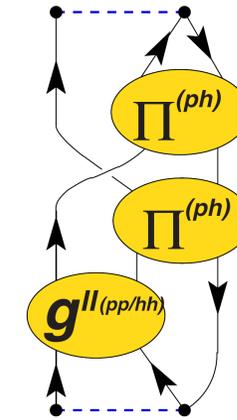
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# FRPA: Faddeev summation of RPA propagators

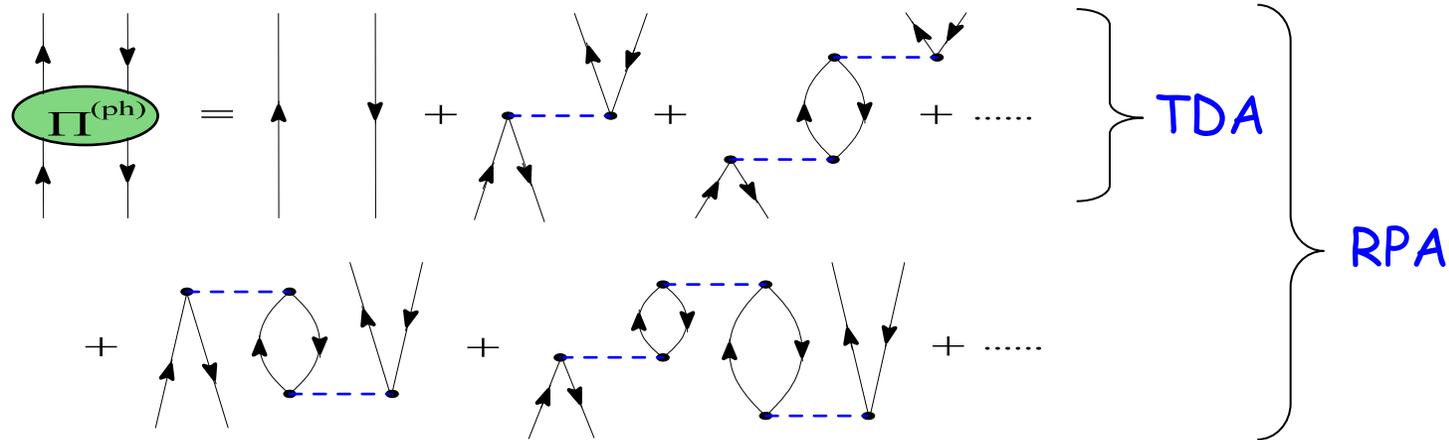


- Both pp/hh (ladder) and ph (ring) response included
- Pauli exchange at 2p1h/2h1p level

- All order summation through a set of Faddeev equations

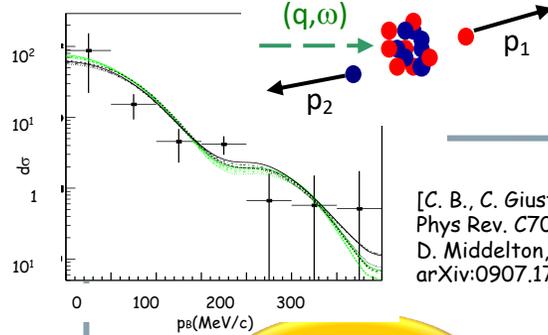


where:



# Self-Consistent Green's Function Approach

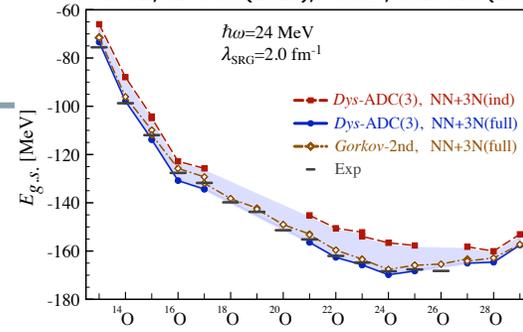
$^{16}\text{O}(e,e'pn)^{14}\text{N}$  @ MAINZ



[C. B., C. Giusti, et al. Phys Rev. C70, 014606 (2004)  
D. Middleton, et al. arXiv:0907.1758; EPJA in print]

Binding energies

[PRL. 111, 062501 (2013),  
PRC 92, 014306 (2015), PRC89, 061301R (2014)]



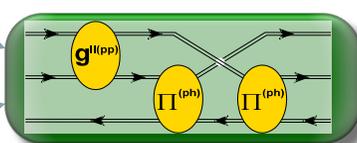
Ionization energies/  
affinities, in atoms

[CB, D. Van Neck,  
AIP Conf.Proc.1120,104 ('09) & in prep]

		Hartree-Fock	FRPAc	Experiment [16, 17]
He:	1s	0.918 (+14)	0.9008 (-2.9)	0.9037
Be <sup>2+</sup> :	1s	5.6672 (+116)	5.6551 (-0.5)	5.6556
Be:	2s	0.3093 (-34)	0.3224 (-20.2)	0.3426
	1s	4.733 (+200)	4.5405 (+8)	4.533
Ne:	2p	0.852 (+57)	0.8037 (+11)	0.793
	1s	1.931 (+149)	1.7967 (+15)	1.782
Mg <sup>2+</sup> :	2p	3.0068 (+56.9)	2.9537 (+3.8)	2.9499
	1s	4.4827	4.3589	
Mg:	3s	0.253 (-28)	0.280 (-1)	0.281
	2p	2.282 (+162)	2.137 (+17)	2.12
Ar:	3p	0.591 (+12)	0.579 (±0)	0.579
	3s	1.277 (+202)	1.065 (-10)	1.075
	3s		1.544	
	2p	9.571 (+411)	9.219 (+59)	9.160

$g^{II}(\omega)$

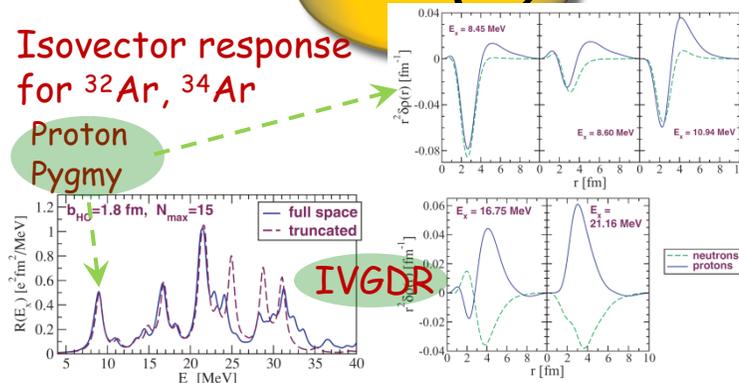
$\Pi^{(ph)}(\omega)$



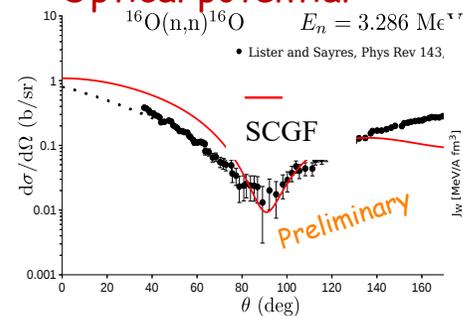
Dyson Eq.

Isovector response  
for  $^{32}\text{Ar}$ ,  $^{34}\text{Ar}$

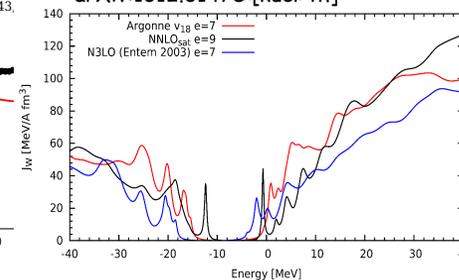
Proton  
Pygmy



Optical potential



arXiv:1612.01478 [nucl-th]





## Developments needed for Nuclear physics

- Large scale computations of Self-energy  $ADC(n)$  - FRPA
- Going to open shell isotopes (Gorkov-SCGF)
- Need for 3-nucleon forces
- Handling strong short-range interactions



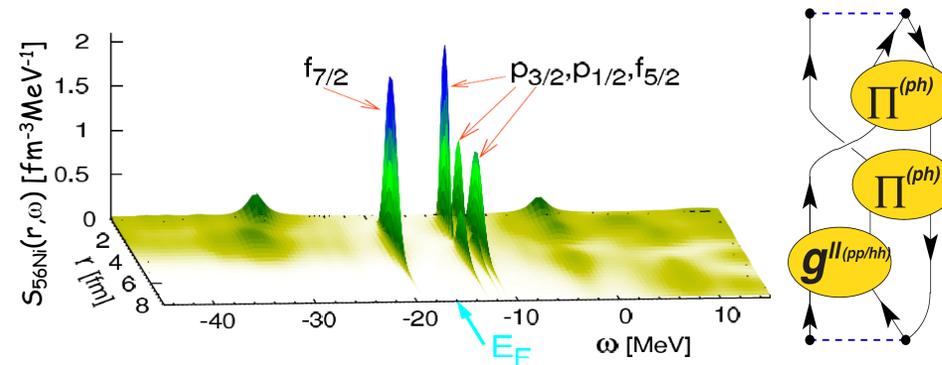
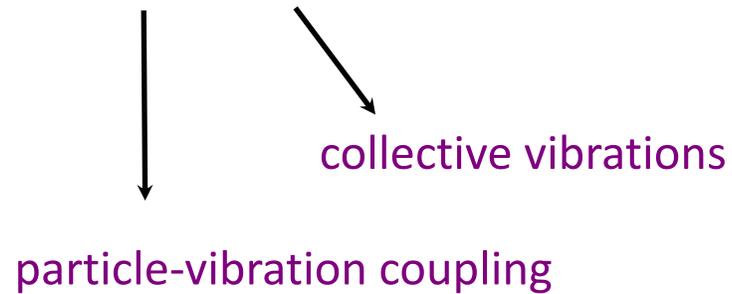
Reaching open-Oshell nuclei:

The Gorkov GF approach



# Applications to semi-magic nuclei

✱ Faddeev-RPA approximation for the self-energy [2001-2013]



✱ Successful in medium-mass doubly-magic systems

Expansion breaks down when pairing instabilities appear

Explicit configuration mixing

Single-reference: Bogoliubov (Gorkov)



# Gorkov and symmetry breaking approaches

V. Somà, T. Duguet, CB, Phys. Rev. C **84**, 064317 (2011)  
V. Somà, CB, T. Duguet, Phys. Rev. C **87**, 011303R (2013)  
V. Somà, CB, T. Duguet, , Phys. Rev. C **89**, 024323 (2014)

➤ Ansatz  $\dots \approx E_0^{N+2} - E_0^N \approx E_0^N - E_0^{N-2} \approx \dots \approx 2\mu$

➤ Auxiliary many-body state  $|\Psi_0\rangle \equiv \sum_N^{\text{even}} c_N |\psi_0^N\rangle$

↪ Mixes various particle numbers

↪ Introduce a “grand-canonical” potential  $\Omega = H - \mu N$

➔  $|\Psi_0\rangle$  minimizes  $\Omega_0 = \langle \Psi_0 | \Omega | \Psi_0 \rangle$  under the constraint  $N = \langle \Psi_0 | N | \Psi_0 \rangle$

➤ This approach leads to the Gorkov equation (that generalizes Dyson)

$$\mathbf{G}_{ab}(\omega) = \mathbf{G}_{ab}^{(0)}(\omega) + \sum_{cd} \mathbf{G}_{ac}^{(0)}(\omega) \Sigma_{cd}^*(\omega) \mathbf{G}_{db}(\omega)$$



# Gorkov Green's functions and equations

[V. Somà, T. Duguet, CB, Pys. Rev. C84, 046317 (2011) ]

✱ Set of 4 Green's functions

$$\begin{aligned}
 i G_{ab}^{11}(t, t') &\equiv \langle \Psi_0 | T \{ a_a(t) a_b^\dagger(t') \} | \Psi_0 \rangle \equiv \begin{array}{c} a \\ \uparrow \\ \uparrow \\ b \end{array} &
 i G_{ab}^{21}(t, t') &\equiv \langle \Psi_0 | T \{ \bar{a}_a^\dagger(t) a_b^\dagger(t') \} | \Psi_0 \rangle \equiv \begin{array}{c} \bar{a} \\ \uparrow \\ \uparrow \\ b \end{array} \\
 i G_{ab}^{12}(t, t') &\equiv \langle \Psi_0 | T \{ a_a(t) \bar{a}_b(t') \} | \Psi_0 \rangle \equiv \begin{array}{c} a \\ \uparrow \\ \downarrow \\ \bar{b} \end{array} &
 i G_{ab}^{22}(t, t') &\equiv \langle \Psi_0 | T \{ \bar{a}_a^\dagger(t) \bar{a}_b(t') \} | \Psi_0 \rangle \equiv \begin{array}{c} \bar{a} \\ \uparrow \\ \downarrow \\ \bar{b} \end{array}
 \end{aligned}$$

[Gorkov 1958]



$$\mathbf{G}_{ab}(\omega) = \mathbf{G}_{ab}^{(0)}(\omega) + \sum_{cd} \mathbf{G}_{ac}^{(0)}(\omega) \boldsymbol{\Sigma}_{cd}^*(\omega) \mathbf{G}_{db}(\omega)$$

Gorkov equations

$$\boldsymbol{\Sigma}_{ab}^*(\omega) \equiv \begin{pmatrix} \Sigma_{ab}^{*11}(\omega) & \Sigma_{ab}^{*12}(\omega) \\ \Sigma_{ab}^{*21}(\omega) & \Sigma_{ab}^{*22}(\omega) \end{pmatrix}$$

$$\boldsymbol{\Sigma}_{ab}^*(\omega) \equiv \boldsymbol{\Sigma}_{ab}(\omega) - \mathbf{U}_{ab}$$



# Open-shells: 1st & 2nd order Gorkov diagrams

V. Somà, CB, T. Duguet, , Phys. Rev. C **89**, 024323 (2014)

V. Somà, CB, T. Duguet, Phys. Rev. C **87**, 011303R (2013)

V. Somà, T. Duguet, CB, Phys. Rev. C **84**, 064317 (2011)

✱ 1<sup>st</sup> order  $\rightarrow$  energy-independent self-energy

$$\Sigma_{ab}^{11(1)} = \text{diagram: } \begin{array}{c} a \\ \bullet \\ b \end{array} \text{---} \begin{array}{c} c \\ \bullet \\ d \end{array} \text{---} \text{loop} \downarrow \omega'$$

$$\Sigma_{ab}^{12(1)} = \text{diagram: } \begin{array}{c} a \\ \bullet \\ c \end{array} \text{---} \begin{array}{c} \bar{b} \\ \bullet \\ \bar{d} \end{array} \text{---} \text{loop} \leftarrow \omega'$$

✱ 2<sup>nd</sup> order  $\rightarrow$  energy-dependent self-energy

$$\Sigma_{ab}^{11(2)}(\omega) = \text{diagram 1} + \text{diagram 2}$$

$$\Sigma_{ab}^{12(2)}(\omega) = \text{diagram 3} + \text{diagram 4}$$

✱ Gorkov equations  $\longrightarrow$  eigenvalue problem

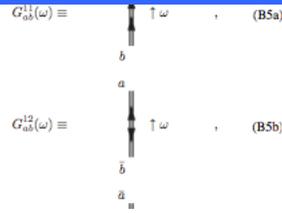
$$\sum_b \begin{pmatrix} t_{ab} - \mu_{ab} + \Sigma_{ab}^{11}(\omega) & \Sigma_{ab}^{12}(\omega) \\ \Sigma_{ab}^{21}(\omega) & -t_{ab} + \mu_{ab} + \Sigma_{ab}^{22}(\omega) \end{pmatrix} \Big|_{\omega_k} \begin{pmatrix} \mathcal{U}_b^k \\ \mathcal{V}_b^k \end{pmatrix} = \omega_k \begin{pmatrix} \mathcal{U}_a^k \\ \mathcal{V}_a^k \end{pmatrix}$$

$$\mathcal{U}_a^{k*} \equiv \langle \Psi_k | \bar{a}_a^\dagger | \Psi_0 \rangle$$

$$\mathcal{V}_a^{k*} \equiv \langle \Psi_k | a_a | \Psi_0 \rangle$$



# Espressions for 1st & 2nd order diagrams



V. SOMÀ, T. DUGUET, AND C. BARBIERI

It is interesting to note that the first-order  $\alpha$  with a  $J = 0$  many-body state. The other:

$$\begin{aligned} \Sigma_{ab}^{21(1)} &= \frac{1}{2} \sum_{cd,k} \tilde{V}_{cdab} \tilde{U}_c^k \\ &= -\frac{1}{2} \sum_{n_1, n_2, n_3} \sum_{\gamma} \\ &= \delta_{a\beta} \delta_{m_1 m_2} \frac{1}{2} \sum_{n_1, n_2} \\ &\equiv \delta_{a\beta} \delta_{m_1 m_2} \Sigma_{n_1 n_2}^{21} \\ &= \delta_{a\beta} \delta_{m_1 m_2} \tilde{K}_{n_1 n_2}^{[a]} \end{aligned}$$

Ab INITIO SELF-CONSISTENT GORKOV-GREEN'S ...

## 5. Block-diagonal structure

### a. First order

The goal of this subsection is to discuss how the block-diagonals reflect in the various self-energy contributions, starting with the first and (C19) into Eq. (B7), and introducing the factor

$$f_{ab\beta}^{n_1 n_2 n_3} \equiv \sqrt{1 + \delta_{a\beta} \delta_{n_1 n_2}}$$

one obtains

$$\begin{aligned} \Sigma_{ab}^{11(1)} &= \sum_{cd,k} \tilde{V}_{abcd} \tilde{V}_c^k \\ &= \sum_{n_1, n_2, n_3} \sum_{\gamma} \sum_{JM} f_{ab\beta}^{n_1 n_2 n_3} C_{JM}^{JM} \\ &= \delta_{a\beta} \delta_{m_1 m_2} \sum_{n_1, n_2} \sum_{JM} f_{ab\beta}^{n_1 n_2 n_3} \frac{1}{2} \\ &\equiv \delta_{a\beta} \delta_{m_1 m_2} \Sigma_{n_1 n_2}^{11(1)} \\ &\equiv \delta_{a\beta} \delta_{m_1 m_2} \Lambda_{n_1 n_2}^{[a]} \end{aligned}$$

where the block-diagonal normal density matrix is introduced through

$$\rho_{n_1 n_2}^{[a]} = \sum_{n_3} \mathcal{V}_{n_3}^{n_1 n_2}$$

and properties of Clebsch-Gordan coefficients has been used. The  $\delta_{m_1 m_2}$  and  $\delta_{k_1 k_2}$ , leading to  $\delta_{a\beta} = \delta_{j_1 j_2} \delta_{m_1 m_2} \delta_{k_1 k_2}$ . Similarly, for  $\Sigma^{22(1)}$

$$\begin{aligned} \Sigma_{ab}^{22(1)} &= -\sum_{cd,k} \tilde{V}_{abcd} \tilde{V}_c^k \\ &= -\delta_{a\beta} \delta_{m_1 m_2} \sum_{n_1, n_2} \sum_{\gamma} f_{a\beta}^{n_1 n_2} \\ &\equiv \delta_{a\beta} \delta_{m_1 m_2} \Sigma_{n_1 n_2}^{22(1)} \\ &= -\delta_{a\beta} \delta_{m_1 m_2} \Lambda_{n_1 n_2}^{[a]} \\ &= -\delta_{a\beta} \delta_{m_1 m_2} [\Lambda_{n_1 n_2}^{[a]}]^* \end{aligned}$$

Let us consider the anomalous contributions to the first-order self-energies

$$\begin{aligned} \Sigma_{ab}^{12(1)} &= \frac{1}{2} \sum_{cd,k} \tilde{V}_{abcd} \tilde{V}_c^k \\ &= -\frac{1}{2} \sum_{n_1, n_2, n_3} \sum_{\gamma} \sum_{JM} f_{ab\beta}^{n_1 n_2 n_3} \eta_a \eta_c C_{JM}^{JM} \\ &= -\frac{1}{2} \sum_{n_1, n_2, n_3} \sum_{\gamma} \sum_{JM} f_{ab\beta}^{n_1 n_2 n_3} \eta_a \eta_c C_{JM}^{JM} \\ &= -\frac{1}{2} \sum_{n_1, n_2, n_3} \sum_{\gamma} f_{ab\beta}^{n_1 n_2 n_3} \eta_a \eta_c (-1)^{j_1} C_{JM}^{00} \\ &= \delta_{a\beta} \delta_{m_1 m_2} \frac{1}{2} \sum_{n_1, n_2, n_3} \sum_{\gamma} f_{ab\beta}^{n_1 n_2 n_3} \pi_a \pi_c (-1)^{j_1} \\ &\equiv \delta_{a\beta} \delta_{m_1 m_2} \Sigma_{n_1 n_2}^{12(1)} \\ &\equiv \delta_{a\beta} \delta_{m_1 m_2} \tilde{K}_{n_1 n_2}^{[a]} \end{aligned}$$

where the block-diagonal anomalous density matrix is introduced through

$$\tilde{K}_{n_1 n_2}^{[a]} = \sum_{n_3} \tilde{U}_{n_3}^{n_1 n_2}$$

$$-i \int_{c_1} d\omega' \sum_{cd,k} \tilde{V}_{abcd} \frac{\tilde{V}_c^k}{\omega' + \omega}$$

V. SOMÀ, T. DUGUET, AND C. BARBIERI

It is interesting to note that the first-order  $\alpha$  with a  $J = 0$  many-body state. The other:

$$\begin{aligned} \Sigma_{ab}^{21(1)} &= \frac{1}{2} \sum_{cd,k} \tilde{V}_{cdab} \tilde{U}_c^k \\ &= -\frac{1}{2} \sum_{n_1, n_2, n_3} \sum_{\gamma} \\ &= \delta_{a\beta} \delta_{m_1 m_2} \frac{1}{2} \sum_{n_1, n_2} \\ &\equiv \delta_{a\beta} \delta_{m_1 m_2} \Sigma_{n_1 n_2}^{21} \\ &= \delta_{a\beta} \delta_{m_1 m_2} \tilde{K}_{n_1 n_2}^{[a]} \end{aligned}$$

Block-diagonal forms of second-order angular momentum couplings of the three  $\mathcal{Q}$ ,  $\mathcal{R}$ , and  $\mathcal{S}$ . One proceeds first coupling give  $J_{tot}$ . The recoupled  $\mathcal{M}$  term is computed

$$\begin{aligned} \mathcal{M}_{a(J_1, J_2)}^{b(k_1, k_2)} &= \sum_{m_1, m_2, m_3} C_{J_1 M_1}^{J_1 M_1} C_{J_2 M_2}^{J_2 M_2} C_{J_3 M_3}^{J_3 M_3} \\ &= \sum_{m_1, m_2, m_3} C_{J_1 M_1}^{J_1 M_1} C_{J_2 M_2}^{J_2 M_2} C_{J_3 M_3}^{J_3 M_3} \\ &= \sum_{m_1, m_2, m_3} \sum_{J_3 M_3} \delta_{J_3, J_1+J_2} \delta_{M_3, M_1+M_2} \\ &\quad \times C_{J_1 M_1}^{J_1 M_1} C_{J_2 M_2}^{J_2 M_2} C_{J_3 M_3}^{J_3 M_3} \\ &= \sum_{m_1, m_2, m_3} \sum_{J_3 M_3} \eta_k f_{ab\beta}^{n_1 n_2 n_3} \frac{\sqrt{2J_3+1}}{\sqrt{2}} \\ &= -\delta_{J_{tot}, J} \delta_{M_{tot}, m} \sum_{n_1, n_2, n_3} \pi_k \\ &\equiv \delta_{J_{tot}, J} \delta_{M_{tot}, m} \mathcal{M}_{n_1 n_2 n_3}^{J_1 J_2 J_3} \end{aligned}$$

where general properties of Clebsch-Gordan

$$\begin{aligned} \mathcal{M}_{a(J_1, J_2)}^{b(k_1, k_2)} &= \delta_{J_{tot}, J} \delta_{M_{tot}, m} \sum_{n_1, n_2, n_3} \\ &\equiv \delta_{J_{tot}, J} \delta_{M_{tot}, m} \mathcal{N}_{n_1 n_2 n_3} \end{aligned}$$

One can show that the same result is obtained

$$\begin{aligned} \mathcal{N}_{a(J_1, J_2)}^{b(k_1, k_2)} &= \sum_{m_1, m_2, m_3} C_{J_1 M_1}^{J_1 M_1} C_{J_2 M_2}^{J_2 M_2} C_{J_3 M_3}^{J_3 M_3} \\ &= \sum_{m_1, m_2, m_3} C_{J_1 M_1}^{J_1 M_1} C_{J_2 M_2}^{J_2 M_2} C_{J_3 M_3}^{J_3 M_3} \\ &= \sum_{m_1, m_2, m_3} \sum_{J_3 M_3} \delta_{J_3, J_1+J_2} \delta_{M_3, M_1+M_2} \\ &\quad \times C_{J_1 M_1}^{J_1 M_1} C_{J_2 M_2}^{J_2 M_2} C_{J_3 M_3}^{J_3 M_3} \\ &= \sum_{m_1, m_2, m_3} \sum_{J_3 M_3} \delta_{J_3, J_1+J_2} \delta_{M_3, M_1+M_2} \\ &\quad \times C_{J_1 M_1}^{J_1 M_1} C_{J_2 M_2}^{J_2 M_2} C_{J_3 M_3}^{J_3 M_3} \\ &= \sum_{m_1, m_2, m_3} \sum_{J_3 M_3} \delta_{J_3, J_1+J_2} \delta_{M_3, M_1+M_2} \\ &\quad \times C_{J_1 M_1}^{J_1 M_1} C_{J_2 M_2}^{J_2 M_2} C_{J_3 M_3}^{J_3 M_3} \end{aligned}$$

## [V. Somà, T. Duguet, CB, Pys. Rev. C84, 046317 (2011)]

$$\Sigma_{n_1 n_2}^{11(2)} = \sum_{n_1, n_2, n_3} \sum_{J_1, J_2, J_3} \left\{ \frac{C_{n_1 n_2 n_3}^{J_1 J_2 J_3} (C_{n_1 n_2 n_3}^{J_1 J_2 J_3})^*}{\omega - (\omega_{k_1} + \omega_{k_2} + \omega_{k_3}) + i\eta} + \frac{(D_{n_1 n_2 n_3}^{J_1 J_2 J_3})^* D_{n_1 n_2 n_3}^{J_1 J_2 J_3}}{\omega + (\omega_{k_1} + \omega_{k_2} + \omega_{k_3}) - i\eta} \right\}$$

$$\Sigma_{n_1 n_2}^{12(2)} = \sum_{n_1, n_2, n_3} \sum_{J_1, J_2, J_3} \left\{ \frac{C_{n_1 n_2 n_3}^{J_1 J_2 J_3} (D_{n_1 n_2 n_3}^{J_1 J_2 J_3})^*}{\omega - (\omega_{k_1} + \omega_{k_2} + \omega_{k_3}) + i\eta} + \frac{(D_{n_1 n_2 n_3}^{J_1 J_2 J_3})^* C_{n_1 n_2 n_3}^{J_1 J_2 J_3}}{\omega + (\omega_{k_1} + \omega_{k_2} + \omega_{k_3}) - i\eta} \right\}$$

$$\Sigma_{n_1 n_2}^{21(2)} = \sum_{n_1, n_2, n_3} \sum_{J_1, J_2, J_3} \left\{ \frac{D_{n_1 n_2 n_3}^{J_1 J_2 J_3} (C_{n_1 n_2 n_3}^{J_1 J_2 J_3})^*}{\omega - (\omega_{k_1} + \omega_{k_2} + \omega_{k_3}) + i\eta} + \frac{(C_{n_1 n_2 n_3}^{J_1 J_2 J_3})^* D_{n_1 n_2 n_3}^{J_1 J_2 J_3}}{\omega + (\omega_{k_1} + \omega_{k_2} + \omega_{k_3}) - i\eta} \right\}$$

$$\Sigma_{n_1 n_2}^{22(2)} = \sum_{n_1, n_2, n_3} \sum_{J_1, J_2, J_3} \left\{ \frac{D_{n_1 n_2 n_3}^{J_1 J_2 J_3} (D_{n_1 n_2 n_3}^{J_1 J_2 J_3})^*}{\omega - (\omega_{k_1} + \omega_{k_2} + \omega_{k_3}) + i\eta} + \frac{(D_{n_1 n_2 n_3}^{J_1 J_2 J_3})^* D_{n_1 n_2 n_3}^{J_1 J_2 J_3}}{\omega + (\omega_{k_1} + \omega_{k_2} + \omega_{k_3}) - i\eta} \right\}$$

which recovers relation (72a). The remaining quantities  $[k_1, k_2, k_3]$  indices and can be obtained from Eqs. (C43) to  $J_{tot}$  and  $J_c$  as follows:

$$\mathcal{P}_{a(J_1, J_2)}^{b(k_1, k_2)} = \sum_{J_c} (-1)^{J_c + J_1 + J_2 + J_3} \sqrt{2J_c}$$

$$= -\delta_{J_{tot}, J} \delta_{M_{tot}, m} \sum_{n_1, n_2, n_3} \pi_k$$

$$\times \tilde{V}_{n_1 n_2 n_3}^{J_1 J_2 J_3} \mathcal{U}_{n_1}^{k_1} \mathcal{U}_{n_2}^{k_2} \mathcal{U}_{n_3}^{k_3}$$

$$\equiv \delta_{J_{tot}, J} \delta_{M_{tot}, m} \mathcal{P}_{n_1 n_2 n_3}^{J_1 J_2 J_3}$$

$$\mathcal{Q}_{a(J_1, J_2)}^{b(k_1, k_2)} = \sum_{J_c} (-1)^{J_c + J_1 + J_2 + J_3} \sqrt{2J_c}$$

$$= \delta_{J_{tot}, J} \delta_{M_{tot}, m} \sum_{n_1, n_2, n_3} \pi_k$$

$$\times \tilde{V}_{n_1 n_2 n_3}^{J_1 J_2 J_3} \mathcal{V}_{n_1}^{k_1} \mathcal{V}_{n_2}^{k_2} \mathcal{V}_{n_3}^{k_3}$$

$$\equiv \delta_{J_{tot}, J} \delta_{M_{tot}, m} \mathcal{Q}_{n_1 n_2 n_3}^{J_1 J_2 J_3}$$

$$\mathcal{R}_{a(J_1, J_2)}^{b(k_1, k_2)} = \sum_{J_c} (-1)^{J_c + 2J_1 + 2J_2} \sqrt{2J_c + 1}$$

$$= -\delta_{J_{tot}, J} \delta_{M_{tot}, m} \sum_{n_1, n_2, n_3} \pi_k$$

$$\times \tilde{V}_{n_1 n_2 n_3}^{J_1 J_2 J_3} \mathcal{U}_{n_1}^{k_1} \mathcal{U}_{n_2}^{k_2} \mathcal{U}_{n_3}^{k_3}$$

$$\equiv \delta_{J_{tot}, J} \delta_{M_{tot}, m} \mathcal{R}_{n_1 n_2 n_3}^{J_1 J_2 J_3}$$

$$\mathcal{S}_{a(J_1, J_2)}^{b(k_1, k_2)} = \sum_{J_c} (-1)^{J_c + 2J_1 + 2J_2} \sqrt{2J_c + 1}$$

$$= \delta_{J_{tot}, J} \delta_{M_{tot}, m} \sum_{n_1, n_2, n_3} \pi_k$$

$$\times \tilde{V}_{n_1 n_2 n_3}^{J_1 J_2 J_3} \mathcal{V}_{n_1}^{k_1} \mathcal{V}_{n_2}^{k_2} \mathcal{V}_{n_3}^{k_3}$$

$$\equiv \delta_{J_{tot}, J} \delta_{M_{tot}, m} \mathcal{S}_{n_1 n_2 n_3}^{J_1 J_2 J_3}$$

These terms are finally put together to form the different contributions to second-order self-energies. Let us consider  $\Sigma_{ab}^{11}$  as an example (see Eq. (75)). By inserting Eqs. (C35) and (C36) and summing over all possible total and intermediate angular momenta, one has

$$\Sigma_{ab}^{11(2)} = \frac{1}{2} \sum_{J_1, J_2, J_3} \sum_{k_1, k_2, k_3} \left\{ \frac{\mathcal{M}_{a(J_1, J_2)}^{b(k_1, k_2)} (\mathcal{M}_{b(J_1, J_2)}^{a(k_1, k_2)})^*}{\omega - (\omega_{k_1} + \omega_{k_2} + \omega_{k_3}) + i\eta} + \frac{\mathcal{M}_{a(J_1, J_2)}^{b(k_1, k_2)} (\mathcal{M}_{b(J_1, J_2)}^{a(k_1, k_2)})^*}{\omega + (\omega_{k_1} + \omega_{k_2} + \omega_{k_3}) - i\eta} \right\}$$

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$$C_{n_1 n_2 n_3}^{J_1 J_2 J_3} \equiv \frac{1}{\sqrt{6}} [M_{n_1 n_2 n_3}^{J_1 J_2 J_3} - \mathcal{P}_{n_1 n_2 n_3}^{J_1 J_2 J_3} - \mathcal{R}_{n_1 n_2 n_3}^{J_1 J_2 J_3}]$$

## 6. Block-diagonal structure of Gorkov's equations

In the previous subsections it has been proven that all single-particle Green's functions and all self-energy contributions entering Gorkov's equations display the same block-diagonal structure if the systems is in a  $0^+$  state. Defining

$$T_{ab} - \mu \delta_{ab} \equiv \delta_{a\beta} \delta_{m_1 m_2} [T_{n_1 n_2}^{[a]} - \mu \delta_{n_1 n_2}^{[a]}]$$

introducing block-diagonal forms for amplitudes  $\mathcal{W}$  and  $\mathcal{Z}$  through

$$\mathcal{W}_{k(J_1, J_2)}^{b(k_1, k_2)} \equiv \delta_{J_{tot}, J} \delta_{M_{tot}, m} \mathcal{W}_{n_1 n_2 n_3}^{J_1 J_2 J_3}$$

$$\mathcal{Z}_{k(J_1, J_2)}^{b(k_1, k_2)} \equiv -\delta_{J_{tot}, J} \delta_{M_{tot}, m} \eta_k \mathcal{Z}_{n_1 n_2 n_3}^{J_1 J_2 J_3}$$

with

$$(\omega_k - E_{k_1 k_2}) \mathcal{W}_{n_1 n_2 n_3}^{J_1 J_2 J_3} \equiv \sum_{[a]} [(C_{n_1 n_2 n_3}^{J_1 J_2 J_3})^* \mathcal{U}_{n_1}^{[a]} + (D_{n_1 n_2 n_3}^{J_1 J_2 J_3})^* \mathcal{V}_{n_1}^{[a]}]$$

$$(\omega_k + E_{k_1 k_2}) \mathcal{Z}_{n_1 n_2 n_3}^{J_1 J_2 J_3} \equiv \sum_{[a]} [D_{n_1 n_2 n_3}^{J_1 J_2 J_3} \mathcal{U}_{n_1}^{[a]} + C_{n_1 n_2 n_3}^{J_1 J_2 J_3} \mathcal{V}_{n_1}^{[a]}]$$

and using Eqs. (C29), (C31), (C32), (C34), and (C44), one finally writes Eqs. (81) as

$$\omega_k \mathcal{U}_{n_1}^{[a]} = \sum_{n_2} [(T_{n_1 n_2}^{[a]} - \mu \delta_{n_1 n_2}^{[a]}) \mathcal{U}_{n_2}^{[a]} + \tilde{K}_{n_1 n_2}^{[a]} \mathcal{V}_{n_2}^{[a]}]$$

$$+ \sum_{n_1, n_2, n_3} \sum_{J_1, J_2, J_3} [C_{n_1 n_2 n_3}^{J_1 J_2 J_3} \mathcal{W}_{n_1 n_2 n_3}^{J_1 J_2 J_3} + (D_{n_1 n_2 n_3}^{J_1 J_2 J_3})^* \mathcal{Z}_{n_1 n_2 n_3}^{J_1 J_2 J_3}]$$

$$\omega_k \mathcal{V}_{n_1}^{[a]} = \sum_{n_2} [- (T_{n_1 n_2}^{[a]} - \mu \delta_{n_1 n_2}^{[a]} + \Lambda_{n_1 n_2}^{[a]*}) \mathcal{V}_{n_2}^{[a]} + \tilde{K}_{n_1 n_2}^{[a]} \mathcal{U}_{n_2}^{[a]}]$$

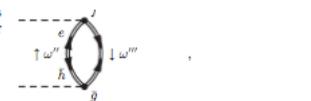
$$+ \sum_{n_1, n_2, n_3} \sum_{J_1, J_2, J_3} [D_{n_1 n_2 n_3}^{J_1 J_2 J_3} \mathcal{W}_{n_1 n_2 n_3}^{J_1 J_2 J_3} + (C_{n_1 n_2 n_3}^{J_1 J_2 J_3})^* \mathcal{Z}_{n_1 n_2 n_3}^{J_1 J_2 J_3}]$$

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These terms are finally put together to form the different contributions to second-order self-energies. Let us consider  $\Sigma_{ab}^{11}$  as an example (see Eq. (75)). By inserting Eqs. (C35) and (C36) and summing over all possible total and intermediate angular momenta, one has

$$\Sigma_{ab}^{11(2)} = \frac{1}{2} \sum_{J_1, J_2, J_3} \sum_{k_1, k_2, k_3} \left\{ \frac{\mathcal{M}_{a(J_1, J_2)}^{b(k_1, k_2)} (\mathcal{M}_{b(J_1, J_2)}^{a(k_1, k_2)})^*}{\omega - (\omega_{k_1} + \omega_{k_2} + \omega_{k_3}) + i\eta} + \frac{\mathcal{M}_{a(J_1, J_2)}^{b(k_1, k_2)} (\mathcal{M}_{b(J_1, J_2)}^{a(k_1, k_2)})^*}{\omega + (\omega_{k_1} + \omega_{k_2} + \omega_{k_3}) - i\eta} \right\}$$

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$$\frac{1}{2} G_{ab}^{11}(a') G_{ab}^{11}(a' + \omega)$$

$$- \frac{1}{2} \sum_{cdefgh} \tilde{V}_{cdefgh} \left\{ \frac{\mathcal{V}_c^h \mathcal{U}_d^g \mathcal{U}_e^f \mathcal{V}_h^g \mathcal{U}_f^e \mathcal{V}_g^d \mathcal{U}_c^h}{\omega - (\omega_{k_1} + \omega_{k_2} + \omega_{k_3}) + i\eta} + \frac{\mathcal{U}_c^h \mathcal{V}_d^g \mathcal{V}_e^f \mathcal{U}_g^e \mathcal{V}_f^d \mathcal{U}_c^h}{\omega + (\omega_{k_1} + \omega_{k_2} + \omega_{k_3}) - i\eta} \right\}$$

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# Gorkov equations

[V. Somà, T. Duguet, CB, Pys. Rev. C84, 046317 (2011) ]

$$\sum_b \begin{pmatrix} t_{ab} - \mu_{ab} + \Sigma_{ab}^{11}(\omega) & \Sigma_{ab}^{12}(\omega) \\ \Sigma_{ab}^{21}(\omega) & -t_{ab} + \mu_{ab} + \Sigma_{ab}^{22}(\omega) \end{pmatrix} \Big|_{\omega_k} \begin{pmatrix} \mathcal{U}_b^k \\ \mathcal{V}_b^k \end{pmatrix} = \omega_k \begin{pmatrix} \mathcal{U}_a^k \\ \mathcal{V}_a^k \end{pmatrix}$$



$$\begin{pmatrix} T - \mu + \Lambda & \tilde{h} & C & -\mathcal{D}^\dagger \\ \tilde{h}^\dagger & -T + \mu - \Lambda & -\mathcal{D}^\dagger & C \\ C^\dagger & -\mathcal{D} & E & 0 \\ -\mathcal{D} & C^\dagger & 0 & -E \end{pmatrix} \begin{pmatrix} \mathcal{U}^k \\ \mathcal{V}^k \\ \mathcal{W}_k \\ \mathcal{Z}_k \end{pmatrix} = \omega_k \begin{pmatrix} \mathcal{U}^k \\ \mathcal{V}^k \\ \mathcal{W}_k \\ \mathcal{Z}_k \end{pmatrix}$$

Energy independent eigenvalue problem

with the normalization condition

$$\sum_a \left[ |\mathcal{U}_a^k|^2 + |\mathcal{V}_a^k|^2 \right] + \sum_{k_1 k_2 k_3} \left[ |\mathcal{W}_k^{k_1 k_2 k_3}|^2 + |\mathcal{Z}_k^{k_1 k_2 k_3}|^2 \right] = 1$$



## Solving for Dyson

The Dyson / Gorkov eq. is the solved by diagonalizing:

$$\varepsilon^\pm \begin{pmatrix} \vec{Z}^\pm \\ \vec{W} \\ \vec{U} \end{pmatrix} = \begin{pmatrix} H_0 + \Sigma^\infty & \mathbf{M}^\dagger & \mathbf{N}^\dagger \\ \mathbf{M} & \text{diag}(\mathbf{E}^{fw}) + \mathbf{C} & \\ \mathbf{N} & & \text{diag}(\mathbf{E}^{bk}) + \mathbf{D} \end{pmatrix} \begin{pmatrix} \vec{Z}^\pm \\ \vec{W} \\ \vec{U} \end{pmatrix}$$

$$\begin{pmatrix} T - \mu + \Lambda & \tilde{h} & \mathbf{C} & -\mathbf{D}^\dagger \\ \tilde{h}^\dagger & -T + \mu - \Lambda & -\mathbf{D}^\dagger & \mathbf{C} \\ \mathbf{C}^\dagger & -\mathbf{D} & E & 0 \\ -\mathbf{D} & \mathbf{C}^\dagger & 0 & -E \end{pmatrix} \begin{pmatrix} \mathcal{U}^k \\ \mathcal{V}^k \\ \mathcal{W}_k \\ \mathcal{Z}_k \end{pmatrix} = \omega_k \begin{pmatrix} \mathcal{U}^k \\ \mathcal{V}^k \\ \mathcal{W}_k \\ \mathcal{Z}_k \end{pmatrix}$$

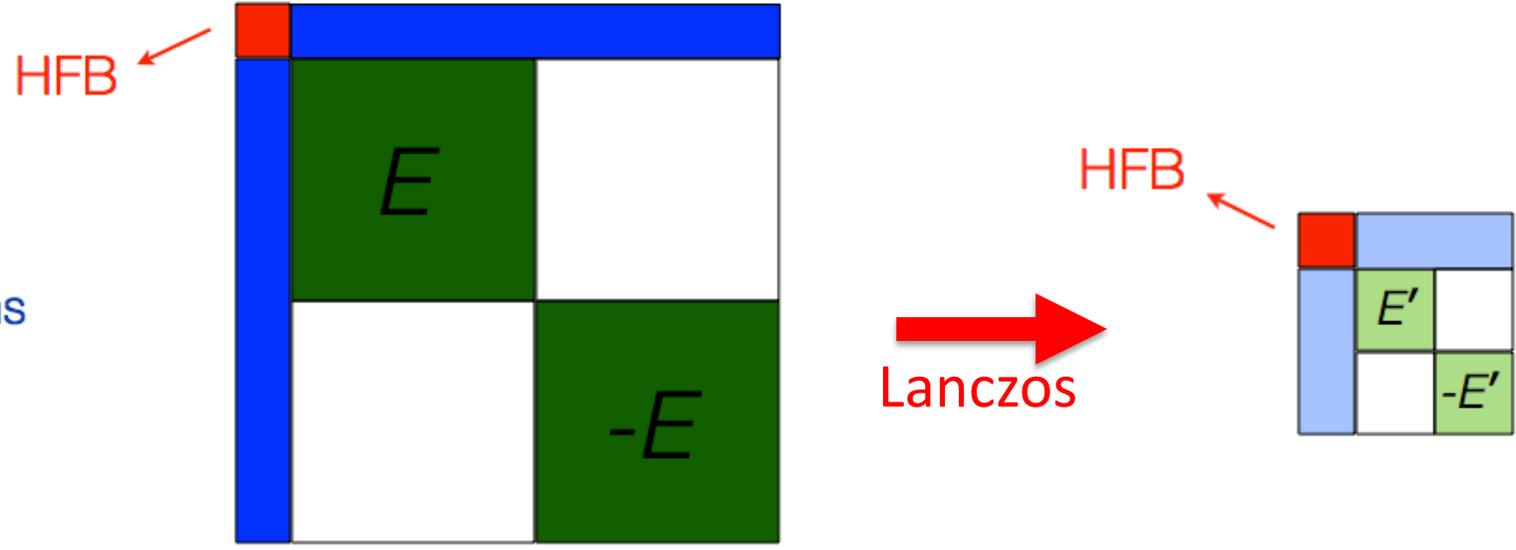
Use a Lanczos reduction for the big part of the matrix:

# Lanczos reduction of self-energy

V. Somà, CB, T. Duguet, , Phys. Rev. C **89**, 024323 (2014)

$$\begin{pmatrix} T - \mu + \Lambda & \tilde{h} & C & -D^\dagger \\ \tilde{h}^\dagger & -T + \mu - \Lambda & -D^\dagger & C \\ C^\dagger & -D & E & 0 \\ -D & C^\dagger & 0 & -E \end{pmatrix} \begin{pmatrix} \mathcal{U}^k \\ \mathcal{V}^k \\ \mathcal{W}_k \\ \mathcal{Z}_k \end{pmatrix} = \omega_k \begin{pmatrix} \mathcal{U}^k \\ \mathcal{V}^k \\ \mathcal{W}_k \\ \mathcal{Z}_k \end{pmatrix}$$

- Conserves moments of spectral functions
- Equivalent to exact diagonalization for  $N_L \rightarrow \dim(E)$



## Numerical challenge:

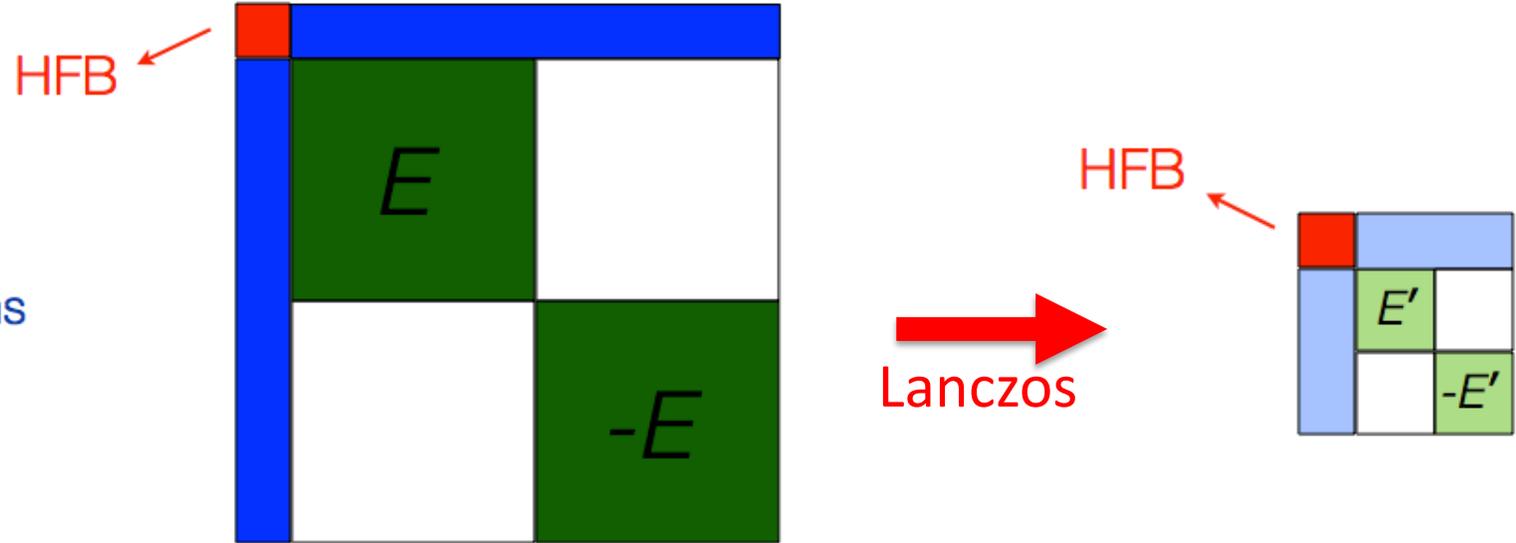
Matrix  $E$  (is huge and) is diagonal in Gorkov ADC(2) but becomes extremely dense in Gorkov-ADC(3)



# Lanczos reduction of self-energy

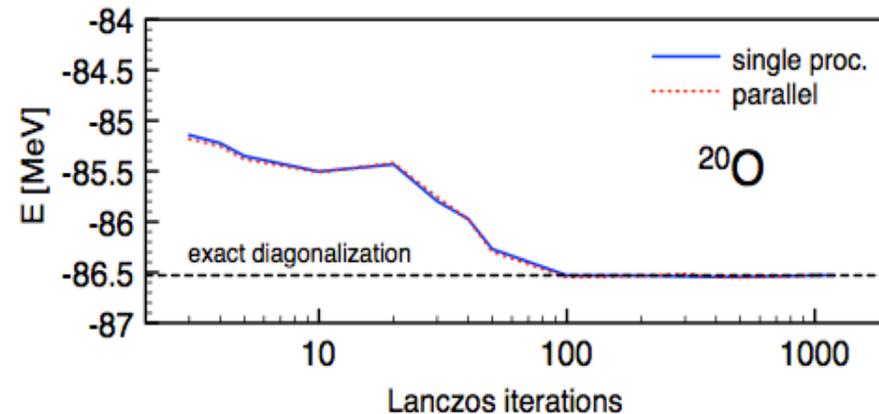
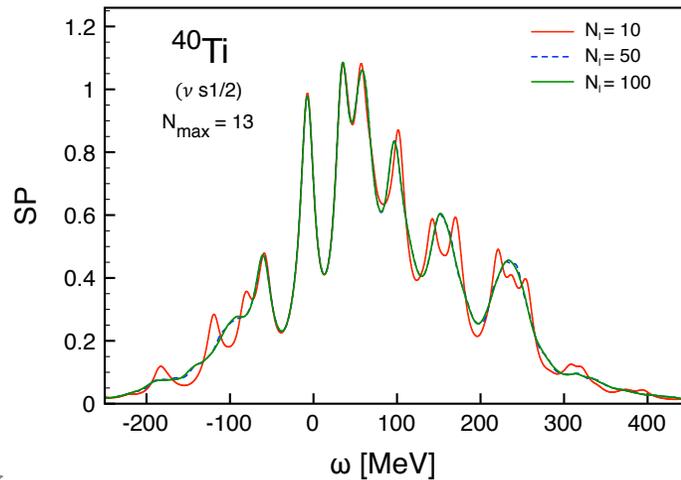
V. Somà, CB, T. Duguet, , Phys. Rev. C **89**, 024323 (2014)

$$\begin{pmatrix} T - \mu + \Lambda & \tilde{h} & C & -D^\dagger \\ \tilde{h}^\dagger & -T + \mu - \Lambda & -D^\dagger & C \\ C^\dagger & -D & E & 0 \\ -D & C^\dagger & 0 & -E \end{pmatrix} \begin{pmatrix} U^k \\ V^k \\ W_k \\ Z_k \end{pmatrix} = \omega_k \begin{pmatrix} U^k \\ V^k \\ W_k \\ Z_k \end{pmatrix}$$



- Conserves moments of spectral functions
- Equivalent to exact diagonalization for  $N_L \rightarrow \dim(E)$

## Spectral strength



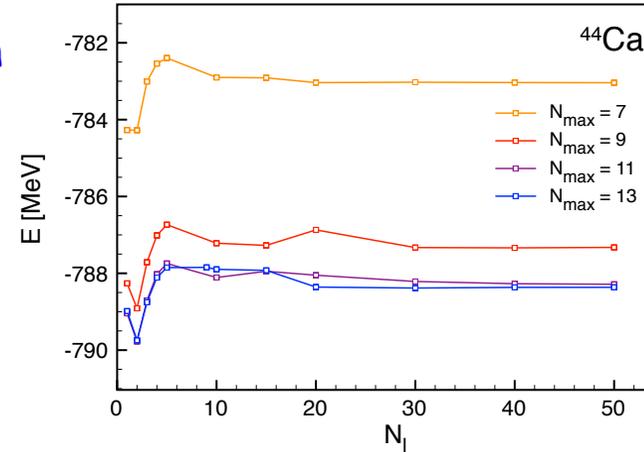
# Testing Krylov projection

V. Somà, CB, T. Duguet, , Phys. Rev. C **89**, 024323 (2014)

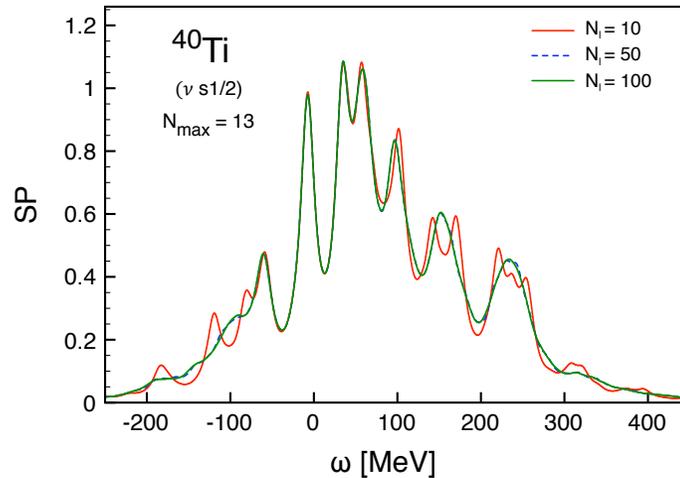
- Energy and spectra independent of the projection
- Same behavior for all model spaces



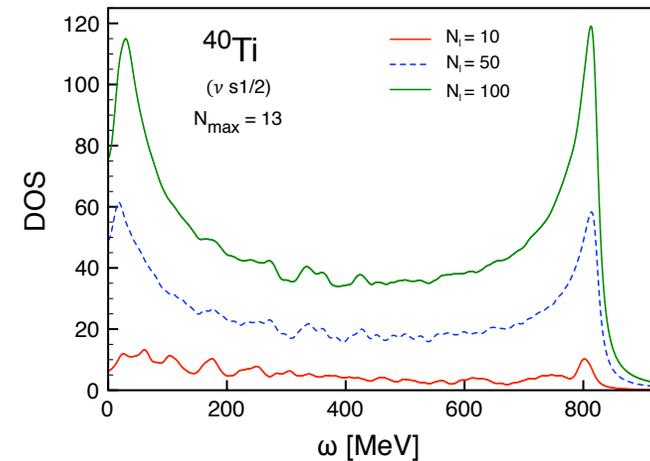
**Favourable scaling**



**Spectral strength**



**Density of states**





Basel

UNIVERSITÀ DEGLI STUDI DI MILANO

DIPARTIMENTO DI FISICA

# Adding three-nucleon interactions

- application to nuclei
- need new formalism for many-body forces

A. Carbone, A. Cipollone, CB, A. Rios, A. Polls, Phys. Rev. C**88**, 054326 (2013)

A. Cipollone, CB, P. Navrátil, Phys. Rev. Lett. **111**, 062501 (2013)

F. Raimondi, CB, Phys. Rev. C**97**, 054308 (2018)



# Some *key* features of the nuclear force

Fundamental features of the nuclear Hamiltonian (and, hence, nuclei):

$$\hat{H}_{nuclear} \approx \hat{T} + V_{central}^{NN}(r) + \dots + V_{tensor}^{NN}(r)\hat{S}_{12} + \dots + W_{repulsive}^{3N}(r_{12}, r_{23})$$

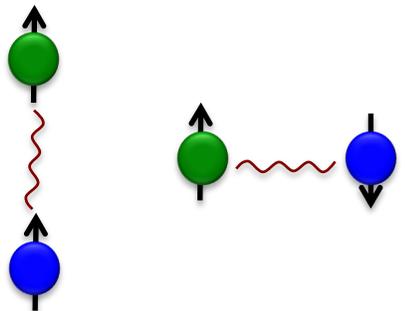
*FujMiyaz*

**Symmetric matter:**  
**N ≈ Z**

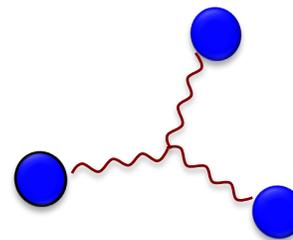
**Neutron-rich matter (N ≫ Z):**

- Neutron star matter EoS
- Symmetry energy
- new shell closures

Tensor force (p-n)

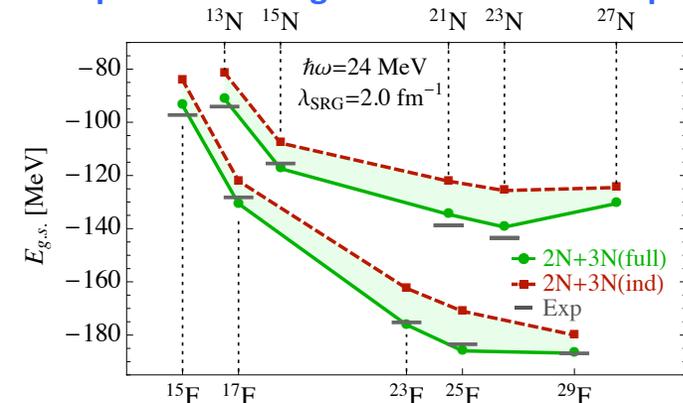


Three-nucleon Force (3NF)



**Change of regime from stable to dripline isotopes !**

Driplines of nitrogen and fluorine isotopes



[A. Cipollone, CB, P. Navrátil, Phys. Rev. Lett. **111**, 062501 (2013)]



# Feynman rules with 3NF

$$\underline{a_\delta^l(t)} a_\gamma^\dagger(t') \equiv \langle \Phi_0^N | \mathcal{T} [a_\delta^l(t) a_\gamma^\dagger(t')] | \Phi_0^N \rangle = i\hbar G_{\delta\gamma}^{(0)}(t-t').$$

Applying the Wick theorem to any such arbitrary diagram, results in the following Feynman rules.

**Rule 1:** Draw all, topologically distinct and connected diagrams with  $k$  vertices, and  $p$  incoming and  $p$  outgoing external lines, using directed arrows. For interaction vertices the external lines are not present.

**Rule 2:** Each oriented fermion line represents a Wick contraction, leading to the unperturbed propagator  $i\hbar G_{\alpha\beta}^{(0)}(t-t')$  [or  $i\hbar G_{\alpha\beta}^{(0)}(\omega_i)$ ]. In time formulation, the  $t$

and  $t'$  label the times of the vertices at the end and at the beginning of the line. In energy formulation,  $\omega_i$  denotes the energy carried by the propagator.

**Rule 3:** Each fermion line starting from and ending at the same vertex is an equal-time propagator,  $-i\hbar G_{\alpha\beta}^{(0)}(0^-) = \rho_{\alpha\beta}^{(0)}$ .

**Rule 4:** For each 1B, 2B or 3B vertex, write down a factor  $\frac{i}{\hbar} U_{\alpha\beta}$ ,  $-\frac{i}{\hbar} V_{\alpha\gamma,\beta\delta}$  or  $-\frac{i}{\hbar} W_{\alpha\gamma\xi,\beta\delta\theta}$ , respectively. For effective interactions, the factors are  $-\frac{i}{\hbar} \tilde{U}_{\alpha\beta}$ ,  $-\frac{i}{\hbar} \tilde{V}_{\alpha\gamma,\beta\delta}$ .

**Rule 5:** Include a factor  $(-1)^L$  where  $L$  is the number of closed fermion loops. This sign comes from the odd permutation of operators needed to create a loop and does not include loops of a single propagator, already accounted for by Rule 3.

**Rule 6:** For a diagram representing a  $2p$ -point GF, add a factor  $(-i/\hbar)$ , whereas for a  $2p$ -point interaction vertex without external lines (such as  $\Sigma^*$  and  $\Gamma^{2p-p'}$ ) add a factor  $i\hbar$ .

The next two rules require a distinction between the time and the energy representation. In the time representation,

**Rule 7:** Assign a time to each interaction vertex. All the fermion lines connected to the same vertex  $i$  share the same time  $t_i$ .

**Rule 8:** Sum over all the internal quantum numbers and integrate over all internal times from  $-\infty$  to  $+\infty$ .

Alternatively, in energy representation,

**Rule 7':** Label each fermion line with an energy  $\omega_i$ , under the *constraint* that the total incoming energy equals the total outgoing energy at each interaction vertex,  $\sum_i \omega_i^{\text{in}} = \sum_i \omega_i^{\text{out}}$ .

**Rule 8':** Sum over all the internal quantum numbers and integrate over each independent internal energy, with an extra factor  $\frac{1}{2\pi}$ , i.e.,  $\int_{-\infty}^{+\infty} \frac{d\omega_i}{2\pi}$ .

Each diagram is then multiplied by a combinatorial factor  $S$  that originates from the number of equivalent Wick contractions that lead to it. This symmetry factor represents the order of the symmetry group for one specific diagram or, in other words, the order of the permutation group of both open and closed lines, once the vertices are fixed. Its structure, assuming only 2BFs and 3BFs, is the following:

$$S = \frac{1}{k!} \frac{1}{[(2!)^2]^q [(3!)^2]^{k-q}} \binom{k}{q} C = \prod_i S_i. \quad (\text{A1})$$

**Rule 9:** For each group of  $n$  symmetric lines, or symmetric groups of lines as defined below, multiply by a symmetry factor  $S_i = \frac{1}{n!}$ . The overall symmetry factor of the diagram will be  $S = \prod_i S_i$ . Possible cases include the following:

- (i) *Equivalent lines.*  $n$  equally oriented fermion lines are said to be equivalent if they start from the same initial vertex and end on the same final vertex.
- (ii) *Symmetric and interacting lines.*  $n$  equally oriented fermion lines that start from the same initial vertex and end on the same final vertex, but are linked via an interaction vertex to one or more close fermion line blocks. The factor arises as long as the diagram is *invariant* under the permutation of the two blocks.
- (iii) *Equivalent groups of lines.* These are blocks of interacting lines (e.g., series of bubbles) that are equal to each other: They all start from the same initial vertex and end on the same final vertex.



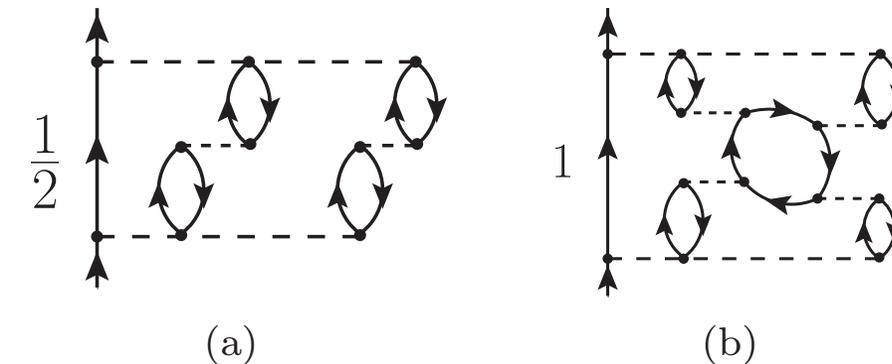
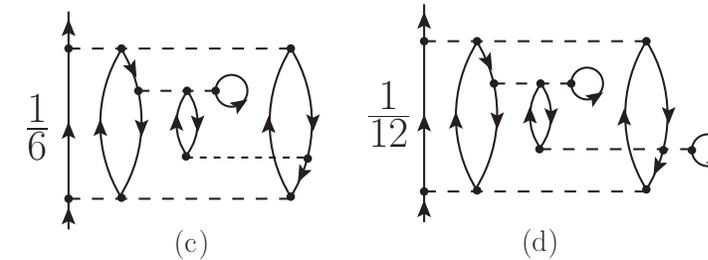
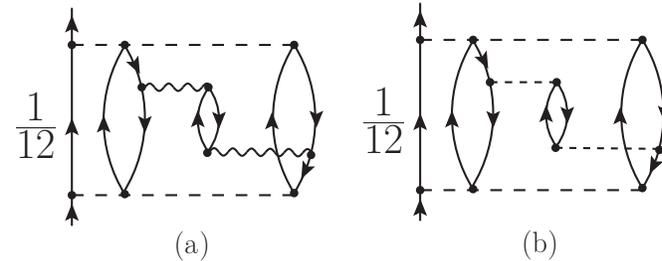
# Feynman rules with 3NF

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**BEWARE of Symmetry factors!**



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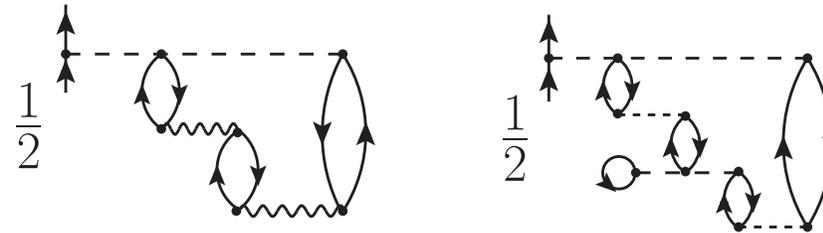
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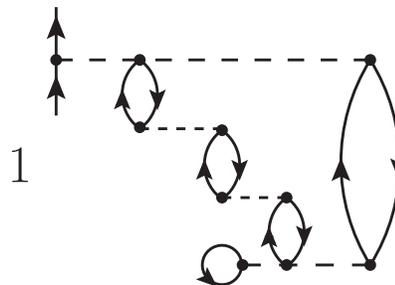
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$$\tilde{U} \Rightarrow \dots + \frac{1}{2} \left[ \text{diagram 1} \right] - \frac{1}{8} \left[ \text{diagram 2} \right] + \frac{1}{2} \left[ \text{diagram 3} \right] - \frac{1}{2} \left[ \text{diagram 4} \right] + \dots$$



(a)

(b)



(c)

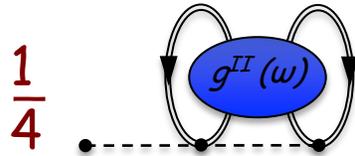
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# Inclusion of NNN forces

A. Carbone, CB, et al., Phys. Rev. C88, 054326 (2013)

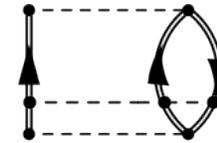
✱ NNN forces can enter diagrams in three different ways:



Correction to external  
1-Body interaction



Correction to  
non-contracted  
2-Body interaction



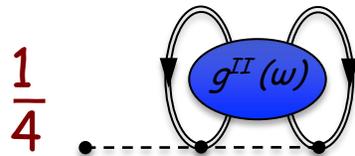
pure 3-Body  
contribution

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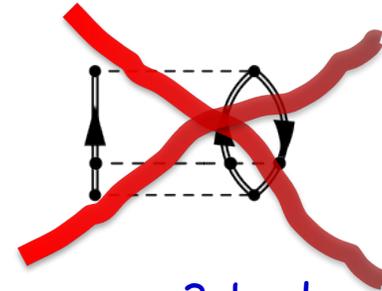
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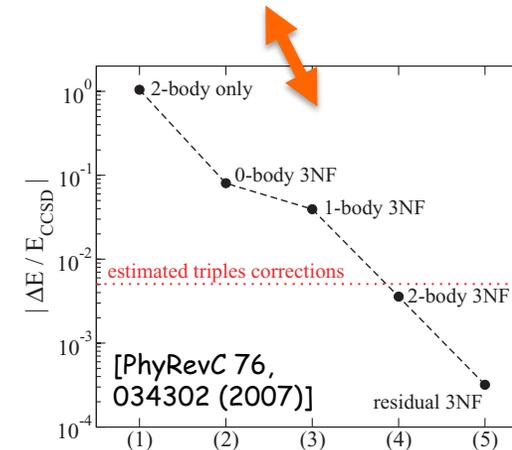


Correction to  
non-contracted  
2-Body interaction



pure 3-body  
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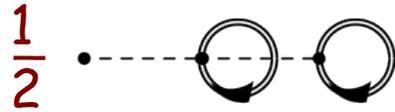
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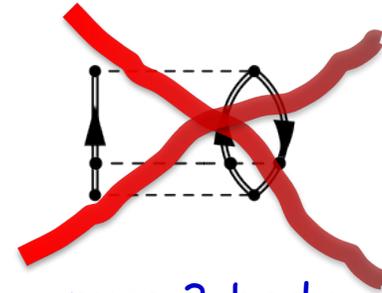
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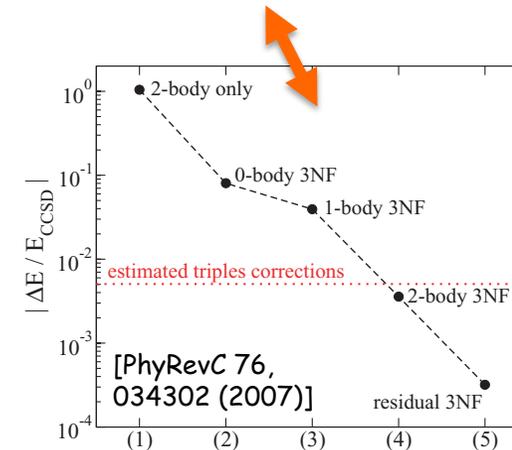


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# Inclusion of NNN forces

A. Carbone, CB, et al., Phys. Rev. C88, 054326 (2013)

- Second order PT  
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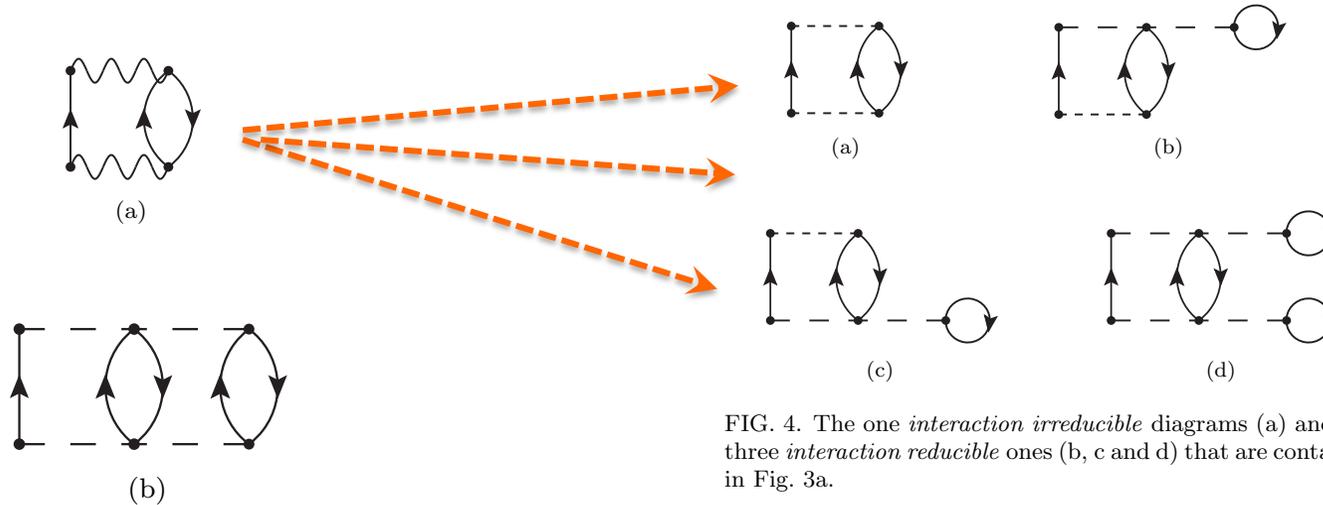
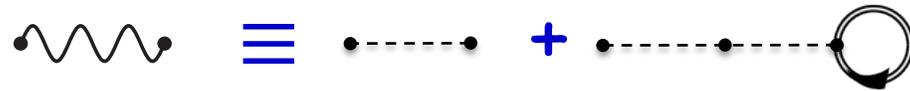


FIG. 4. The one *interaction irreducible* diagrams (a) and the three *interaction reducible* ones (b, c and d) that are contained in Fig. 3a.

# NNN forces in the SCGF formalism

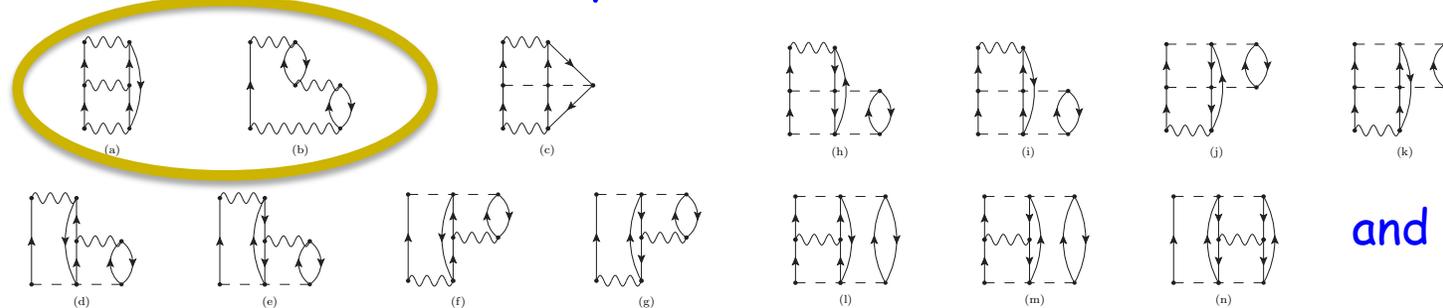
- Introduce effective (system dependent 2-body) interactions. E.g.:



- Complex 3p2h/3h/2p configurations with 3BFs appear earlier:



- P-V coupling is more complicated:



and more...

A. Carbone, CB, et al., *Phys. Rev. C***88**, 054326 (2013)

F. Raimondi, CB, *Phys Rev C***97**, 054308 (2018)

A. Carbone, A. Polls, *Phy Rev. C***90**, 044302 (2013)

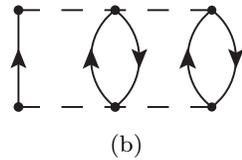
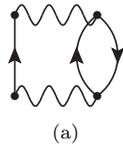
A. Carbone, A. Rios, A. Polls, *Phy Rev. C***90**, 054322 (2014)

} infinite nuclear matter



# Inclusion of NNN forces

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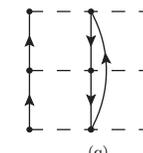
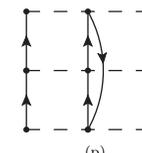
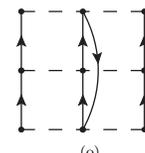
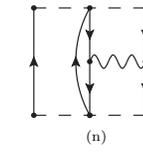
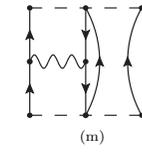
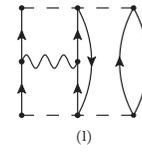
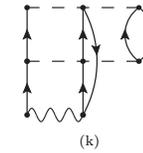
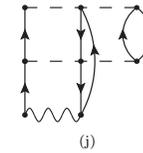
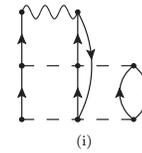
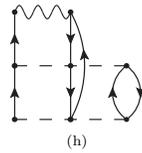
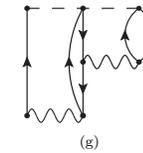
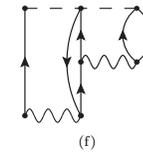
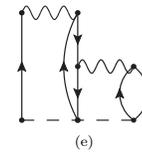
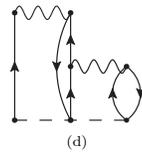
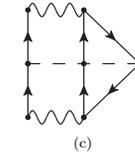
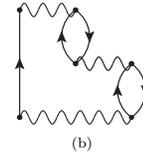
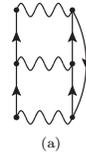
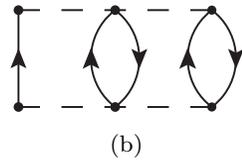
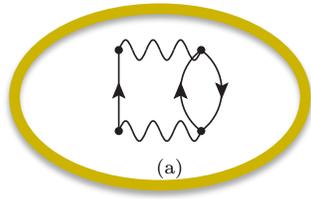


FIG. 5. 1PI, skeleton and interaction irreducible self-energy diagrams appearing at 3<sup>rd</sup>-order in perturbative expansion (7), making use of the effective hamiltonian of Eq. (9).



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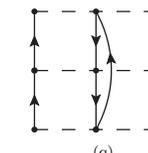
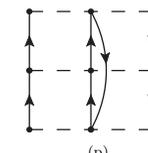
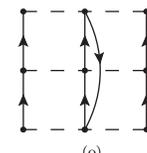
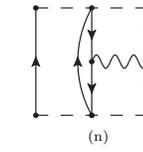
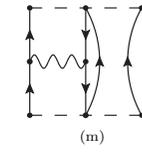
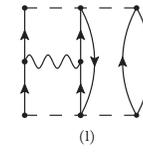
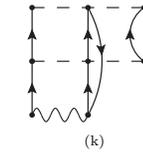
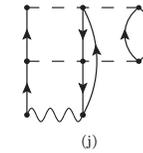
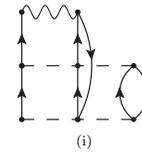
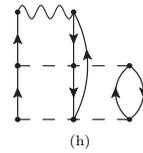
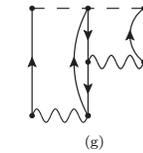
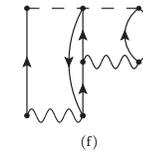
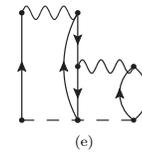
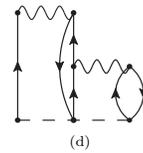
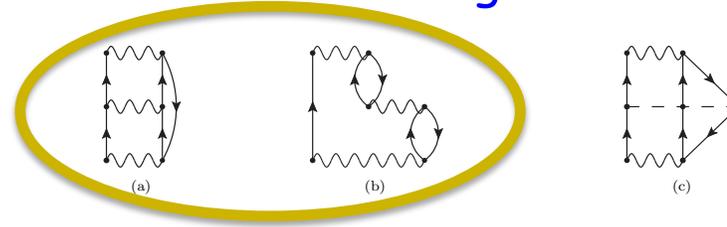
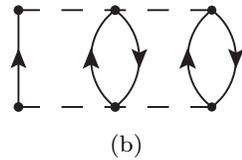
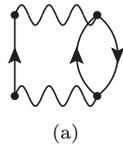


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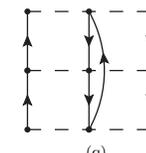
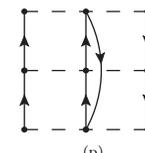
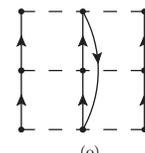
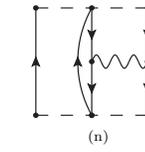
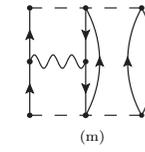
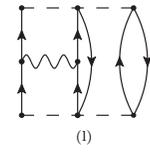
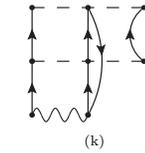
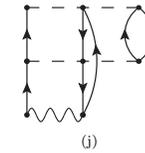
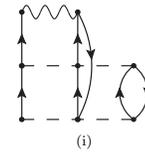
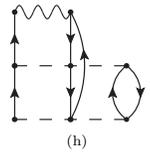
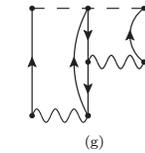
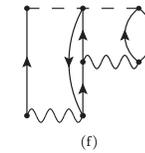
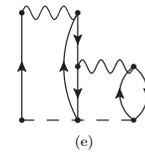
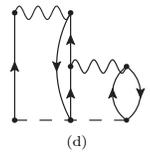
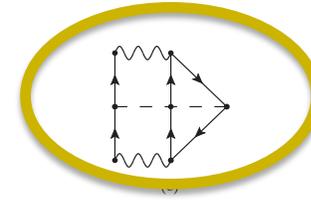
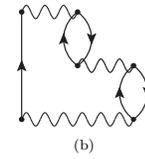
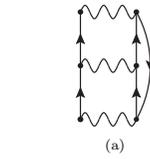


FIG. 5. 1PI, skeleton and interaction irreducible self-energy diagrams appearing at 3<sup>rd</sup>-order in perturbative expansion (7), making use of the effective hamiltonian of Eq. (9).





# (Galitskii-Migdal-Boffi-) Koltun sumrule

✱ Koltun sum rule (with NNN interactions):

$$\sum_{\alpha} \frac{1}{\pi} \int_{-\infty}^{\epsilon_F^-} d\omega \omega \operatorname{Im} G_{\alpha\alpha}(\omega) = \langle \Psi_0^N | \hat{T} | \Psi_0^N \rangle + 2 \langle \Psi_0^N | \hat{V} | \Psi_0^N \rangle + 3 \langle \Psi_0^N | \hat{W} | \Psi_0^N \rangle$$

*two-body*
*three-body*

✱ Thus, need an extra correction:

$$E_0^N = \frac{1}{3\pi} \int_{-\infty}^{\epsilon_F^-} d\omega \sum_{\alpha\beta} (2T_{\alpha\beta} + \omega\delta_{\alpha\beta}) \operatorname{Im} G_{\beta\alpha}(\omega) + \frac{1}{3} \langle \Psi_0^N | \hat{V} | \Psi_0^N \rangle$$

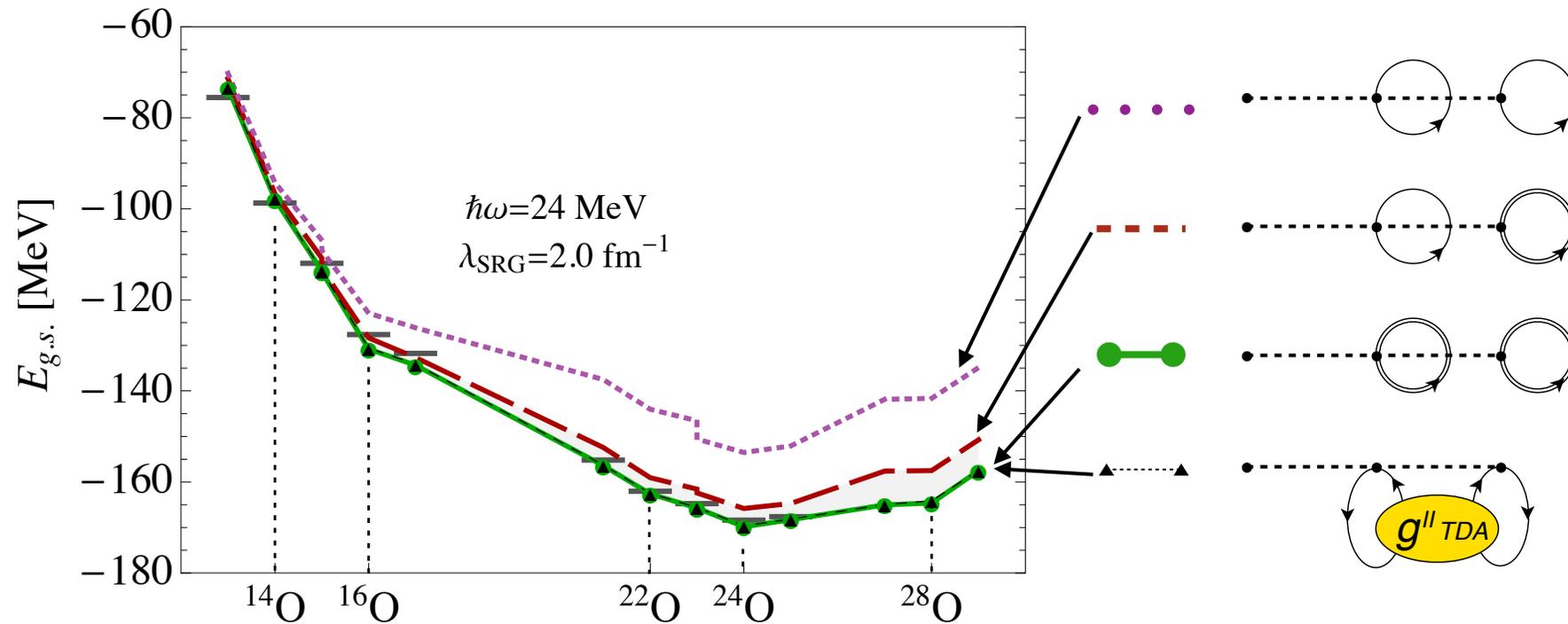
or

$$E_0^N = \frac{1}{2\pi} \int_{-\infty}^{\epsilon_F^-} d\omega \sum_{\alpha\beta} (T_{\alpha\beta} + \omega\delta_{\alpha\beta}) \operatorname{Im} G_{\beta\alpha}(\omega) - \frac{1}{2} \langle \Psi_0^N | \hat{W} | \Psi_0^N \rangle$$

$$\langle \Psi_0^N | \hat{W} | \Psi_0^N \rangle \approx \frac{1}{6} \text{---} \text{---} \text{---}$$

# 3N forces in FRPA/FTDA formalism

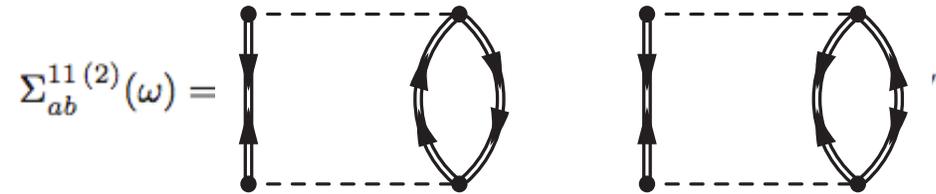
→ Ladder contributions to static self-energy are negligible (in oxygen)





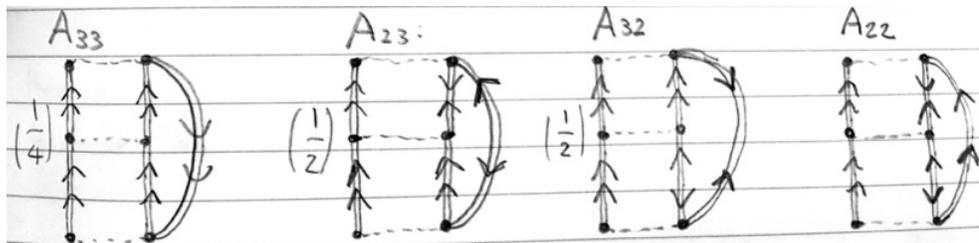
# Reaching (Gorkov - 3NF - higher orders...) is a mess

Gorkov at 2<sup>nd</sup> order and ONLY NN forces:

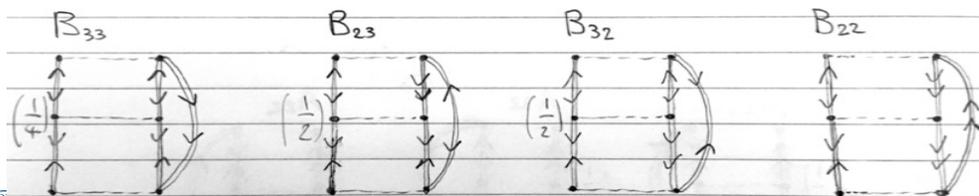


Gorkov at 3<sup>rd</sup> order and ONLY NN forces:

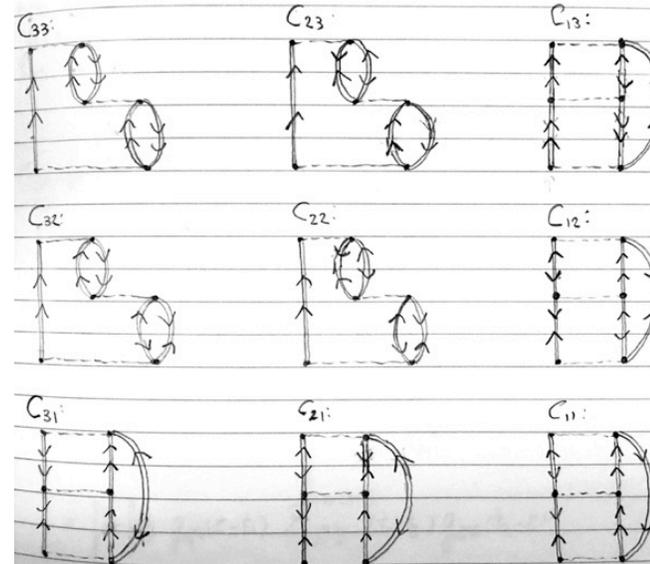
pp/hh-ladders:



hh-interactions (hh int. among pp ladders!!!)



ph-rings:



Automatic generation of diagram needed  
 → F. Raimondi and P. Arthuis, in progress...

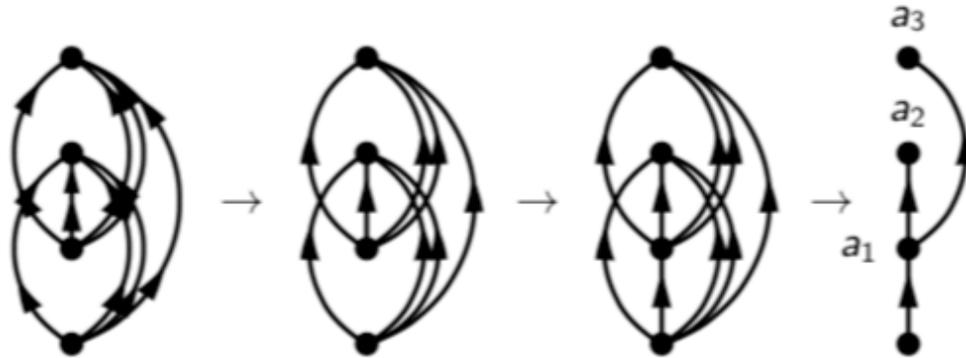


# Automated generation of BMBPT diagrams

Symmetry-broken many-body perturbation theory  
expanded in imaginary time:

$$E_0^A - \lambda A = \langle \Psi_0^A | \Omega | \Phi \rangle_c = \lim_{\tau \rightarrow \infty} \langle \Phi | T e^{-\int_0^\tau d\tau \Omega_1(\tau)} \Omega | \Phi \rangle_c$$

Tree structure  
of B-MBPT  
diagrams:



Github-hosted open-source code ADG

<https://github.com/adgproject/adg>

Order		0	1	2	3	4	5
0/2/4-leg vertex	General	1	2	8	59	568	6 805
	HFB vacuum	1	1	1	10	82	938
0/2/4/6-leg vertex	General	1	3	23	396	10716	+ 100 000
	HFB vacuum	1	2	8	77	5 055	+ 100 000



# Automatic Diagrammatic Generation (ADG) of the self-energy

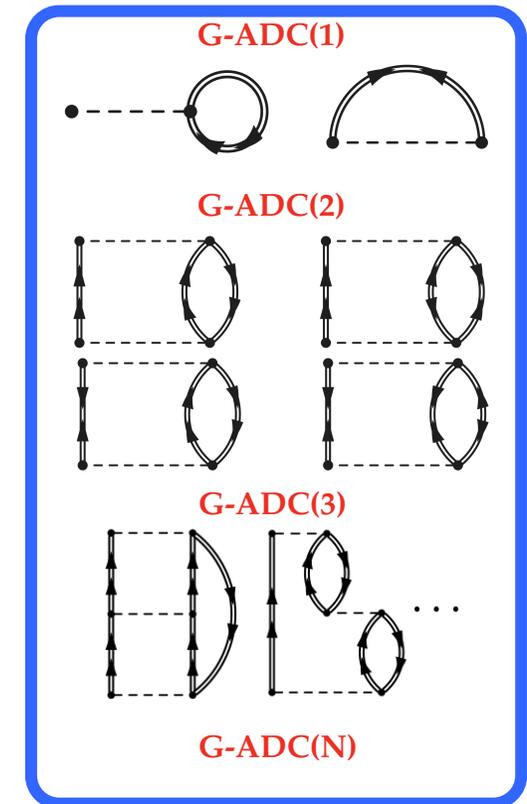
**Goal:** Drawing of self-energy Feynman diagrams and derivation of corresponding algebraic expressions are performed automatically

**Background:** ADG of the BMBPT expansion (P. Arthuis *et al* Comp. Phys. Comm. **240**, 202 (2019))

Feynman rules for  
Gorkov's self-energy  
(V. Somà *et al*. Phys. Rev. C  
**84**, 064317 (2011))



- Symbolic computation (Python)
- Graph theory (NetworkX package)
- Formatting and drawing tools (LaTeX, TikZ package)



## Features:

- Reach arbitrary order in the self-energy expansion
- Different treatments of the self-energy enabled: perturbative/nonperturbative(ADC); Dyson/Gorkov; interaction reducible/irreducible, etc
- Faster and less error-prone than “human” derivation

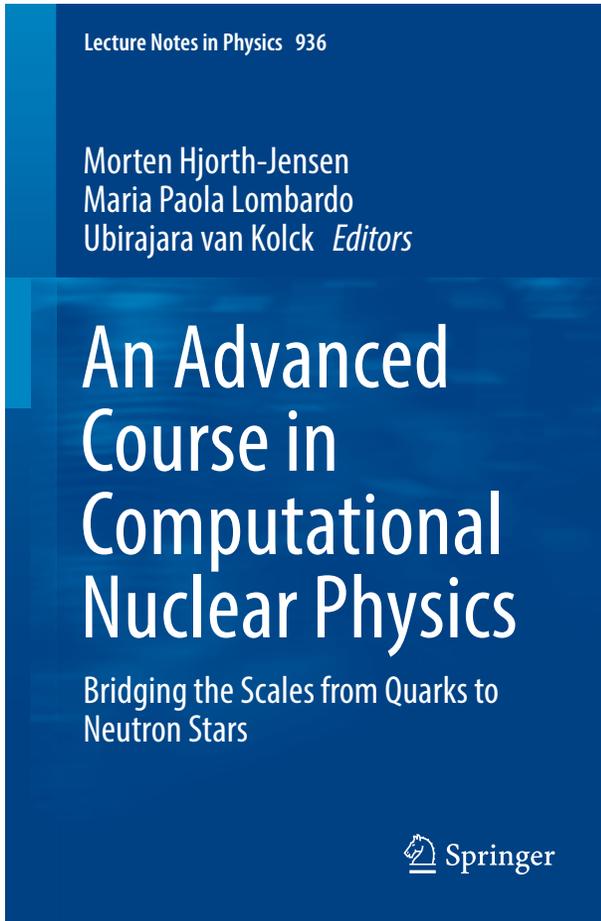
## Status:

- Drawing of the valid self-energy Feynman diagrams at arbitrary order completed
- Implementation of the rules to obtain algebraic expressions for the diagrams in progress



# Ab-initio Nuclear Computation & BcDor code

Self-consistent Green's function formalism  
and methods for Nuclear Physics



CB and A. Carbone,  
**chapter 11** of

Lecture Notes in Physics 936 (2017)

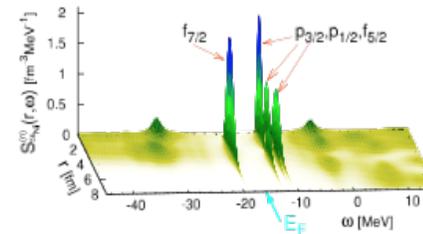


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<http://personal.ph.surrey.ac.uk/~cb0023/bcdor/>

<https://github.com/craolus/BoccaDorata-public>

## Computational Many-Body Physics



Download

Documentation

### Welcome

From here you can download a public version of my self-consistent Green's function (SCGF) code for nuclear physics. This is a code in J-coupled scheme that allows the calculation of the single particle propagators (a.k.a. one-body Green's functions) and other many-body properties of spherical nuclei.

This version allows to:

- Perform Hartree-Fock calculations.
- Calculate the correlation energy at second order in perturbation theory (MBPT2).
- Solve the Dyson equation for propagators (self consistently) up to second order in the self-energy.
- Solve coupled cluster CCD (doubles only!) equations.

When using this code you are kindly invited to follow the creative commons license agreement, as detailed at the weblinks below. In particular, we kindly ask you to refer to the publications that led the development of this software.

Relevant references (which can also help in using this code) are:

- Prog. Part. Nucl. Phys. 52, p. 377 (2004),
- Phys. Rev. A76, 052503 (2007),
- Phys. Rev. C79, 064313 (2009),
- Phys. Rev. C89, 024323 (2014)



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# Approaches in GF theory

Truncation  
scheme:

Dyson formulation  
(closed shells)

Gorkov formulation  
(semi-/doubly-magic)

1<sup>st</sup> order:

Hartree-Fock

HF-Bogoliubov

2<sup>nd</sup> order:

2<sup>nd</sup> order

2<sup>nd</sup> order (w/ pairing)

...

...

3<sup>rd</sup> and all-orders  
sums,  
P-V coupling:

ADC(3)  
FRPA  
etc...

G-ADC(3)  
...work in progress

This is a **non-perturbative  
all-orders resummation**  
— NOT a PT truncation!



# Approaches in GF theory

Truncation scheme:

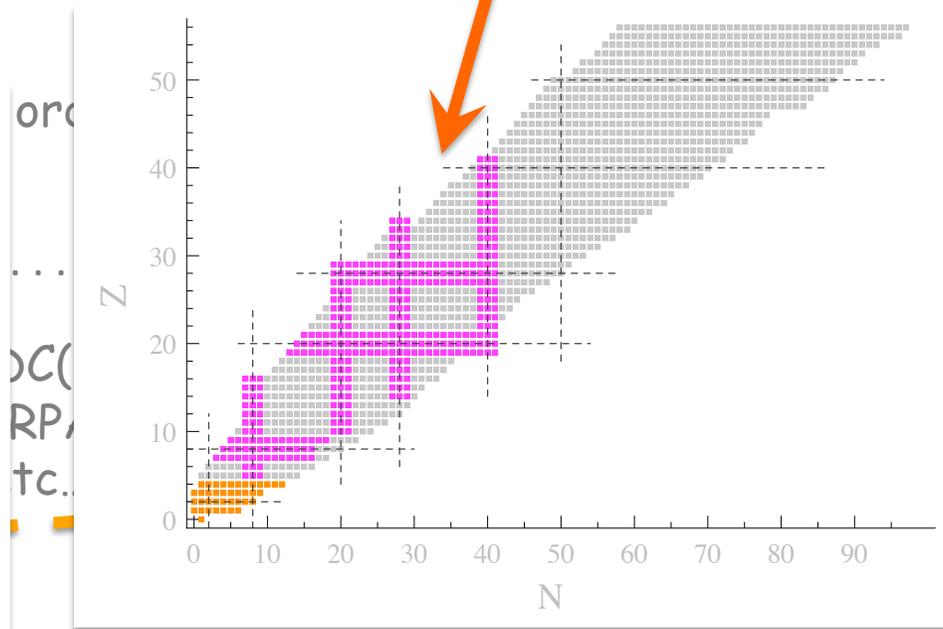
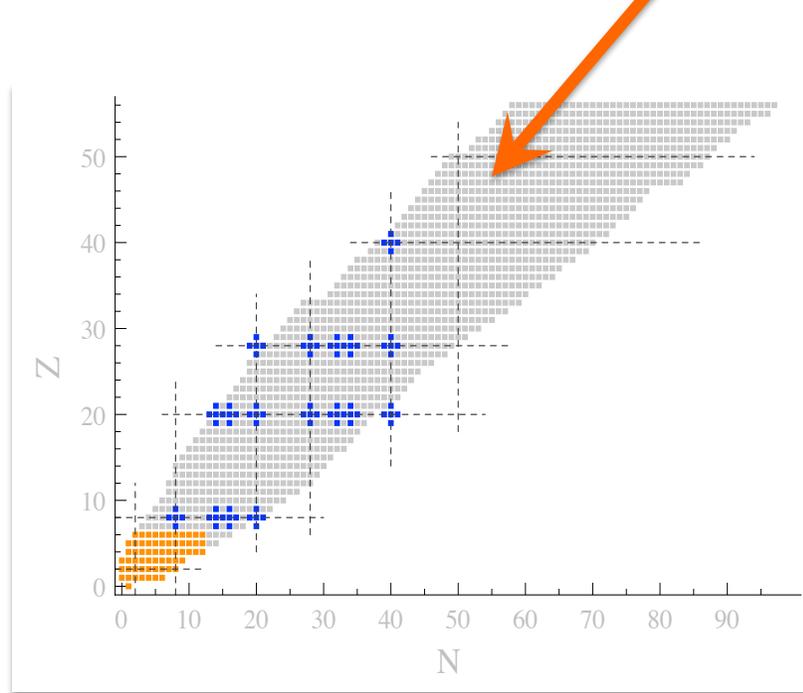
Dyson formulation (closed shells)

Gorkov formulation (semi-/doubly-magic)

1<sup>st</sup> order:

Hartree-Fock

HF-Bogoliubov



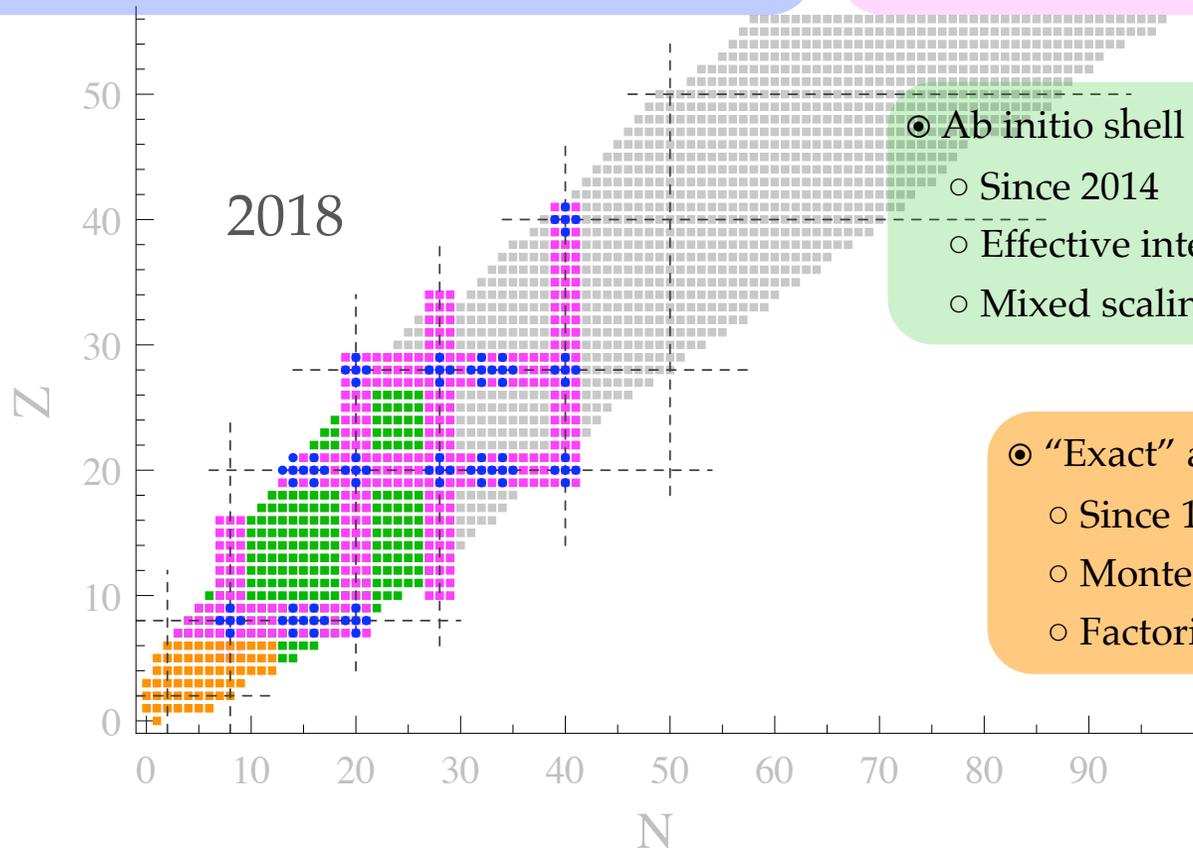
# Reach of ab initio methods across the nuclear chart

## Approximate approaches for closed-shell nuclei

- Since 2000's
- SCGF, CC, IMSRG
- Polynomial scaling

## Approximate approaches for open-shells

- Since 2010's
- GGF, BCC, MR-IMSRG
- Polynomial scaling



## Ab initio shell model

- Since 2014
- Effective interaction via CC/IMSRG
- Mixed scaling

## "Exact" approaches

- Since 1980's
- Monte Carlo, CI, ...
- Factorial scaling



# Ab-initio Nuclear Computation & BcDor code

BoccaDorata code:

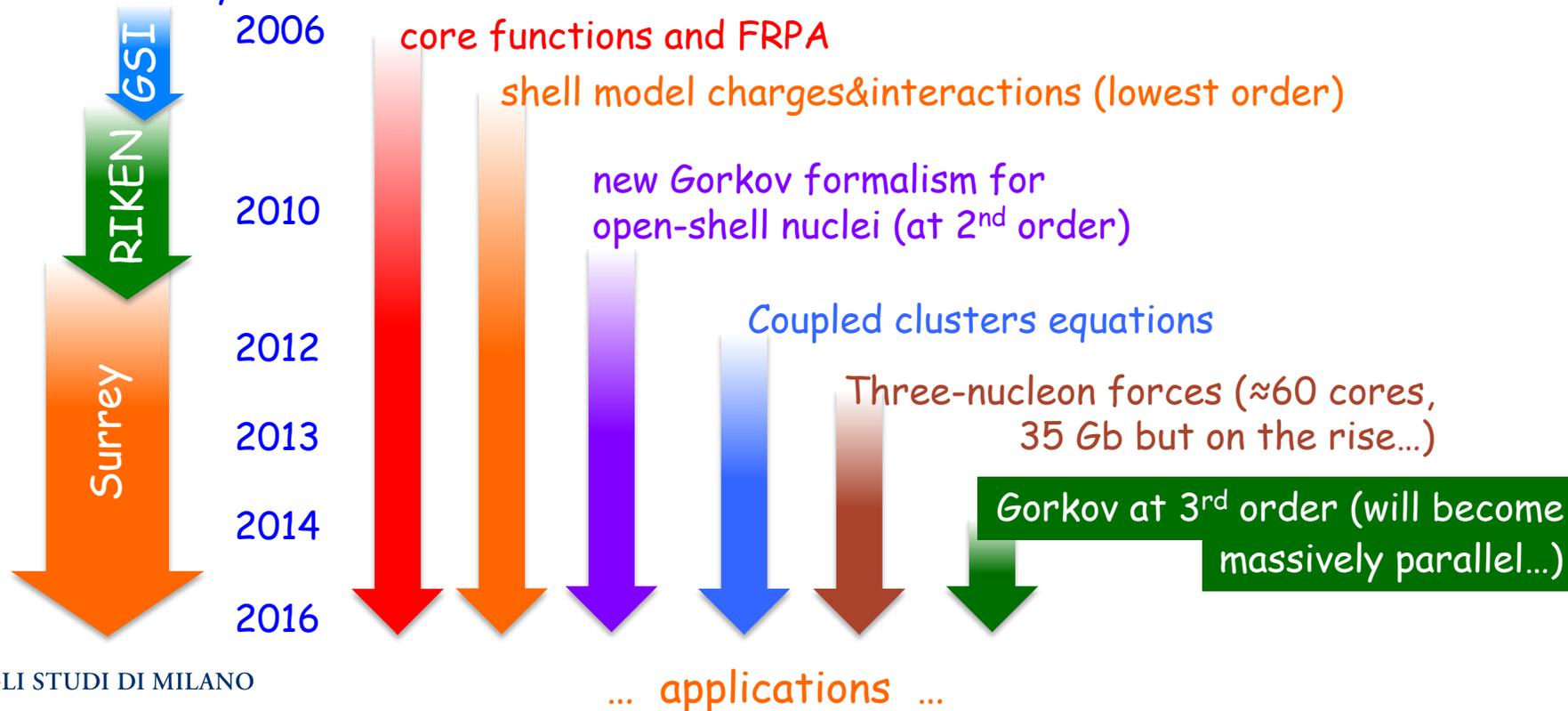
(C. Barbieri 2006-16)

V. Somà 2010-15

A. Cipollone 2011-14)

- Provides a *C++ class library* for handling many-body propagators ( $\approx 40,000$  lines, MPI&OpenMP based).
- Allows to solve for nuclear spectral functions, many-body propagators, RPA responses, coupled cluster equations and effective interaction/charges for the shell model.

Code history:



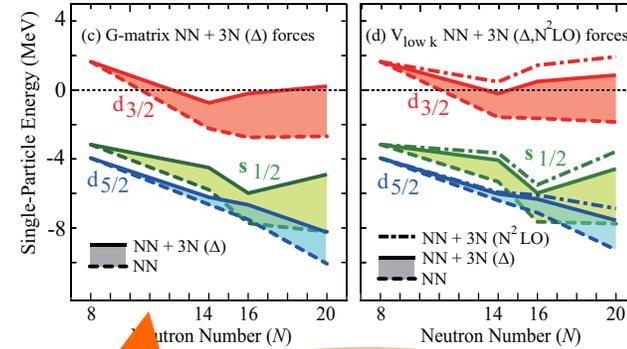
# Realistic nuclear forces form Chiral EFT

## Chiral EFT for nuclear forces:

	2N forces	3N forces	4N forces
LO $\mathcal{O}\left(\frac{Q^0}{\Lambda^0}\right)$			
NLO $\mathcal{O}\left(\frac{Q^2}{\Lambda^2}\right)$			
N <sup>2</sup> LO $\mathcal{O}\left(\frac{Q^3}{\Lambda^3}\right)$			
N <sup>3</sup> LO $\mathcal{O}\left(\frac{Q^4}{\Lambda^4}\right)$			

(3NFs arise naturally at N2LO)

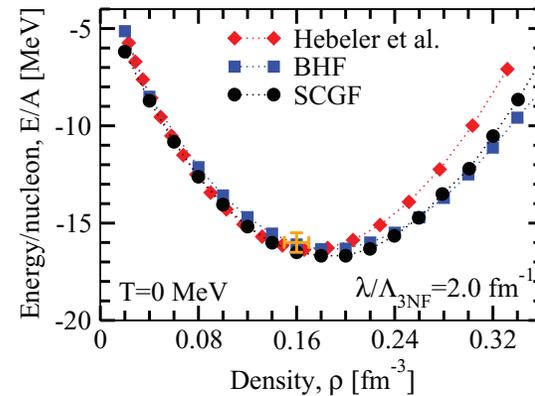
## Single particle spectrum at $E_{\text{fermi}}$ :



[T. Otsuka et al.,  
Phys. Rev. Lett. **105**,  
032501 (2010)]

Need at LEAST 3NF!!!  
("cannot" do RNB physics without...)

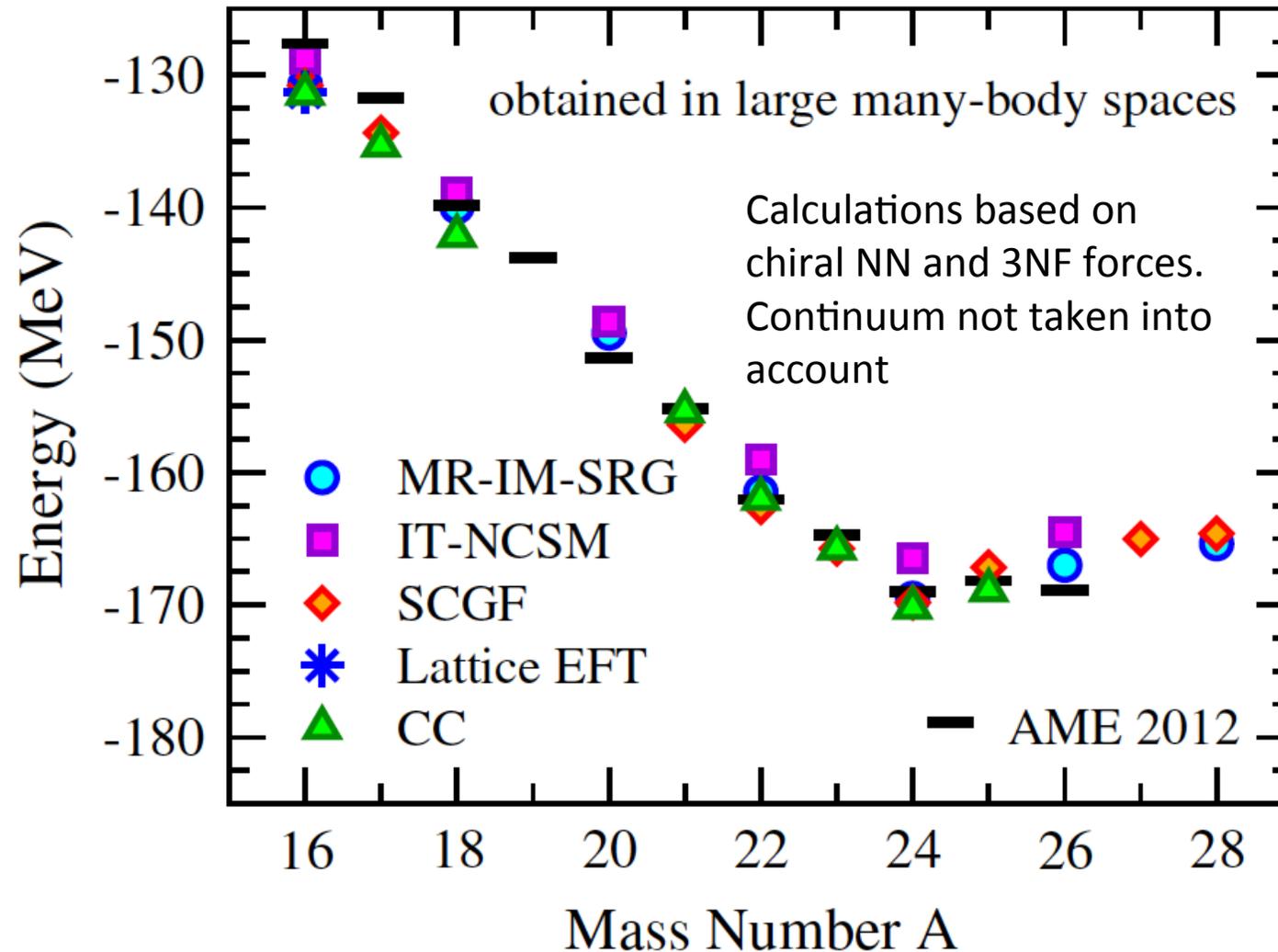
## Saturation of nuclear matter:



[A. Carbone et al.,  
Phys. Rev. C **88**, 044302 (2013)]



# Benchmark of ab-initio methods for oxygen isotopic chain



Hebeler, Holt, Menendez, Schwenk, Ann. Rev. Nucl. Part. Sci. in press (2015)

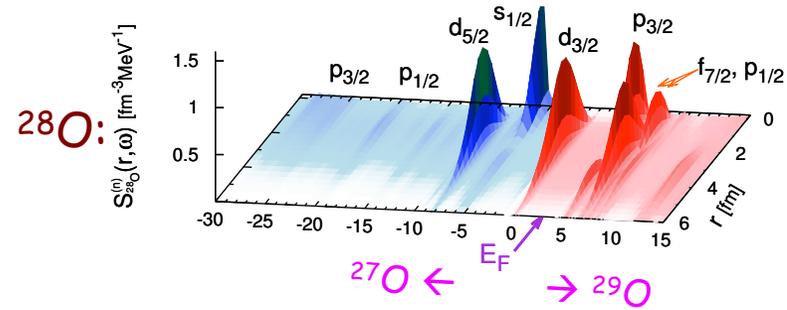
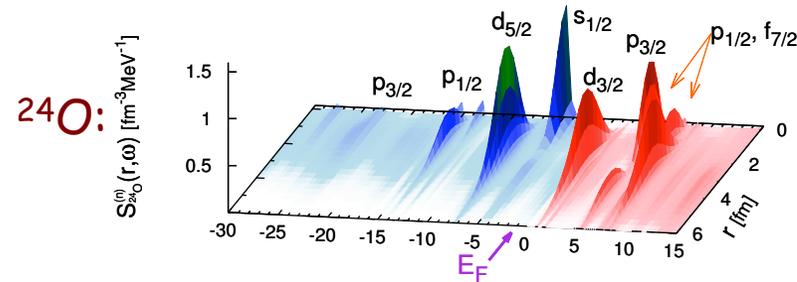
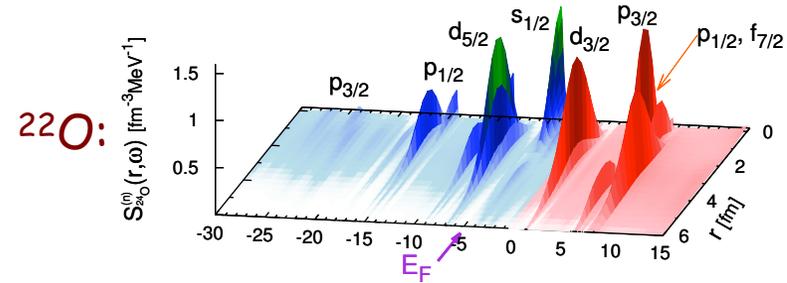
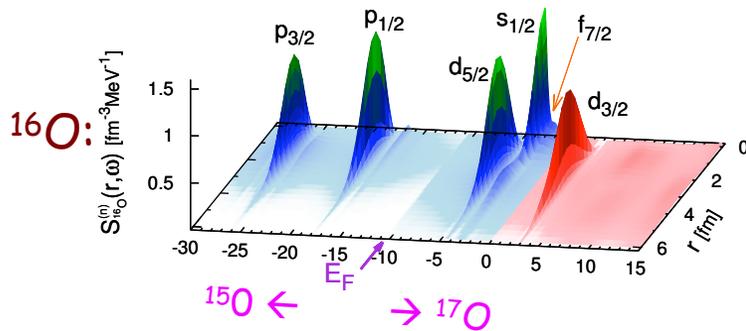
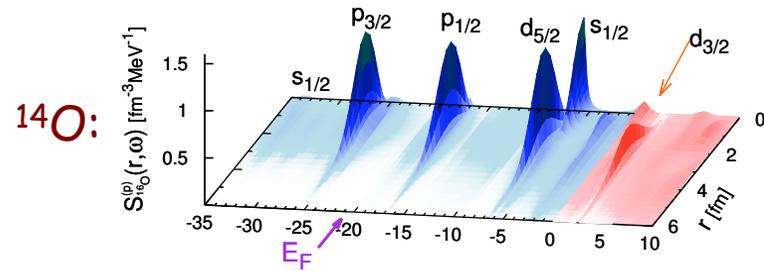
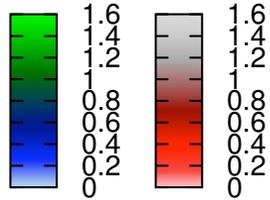
N<sup>3</sup>LO ( $\Lambda = 500 \text{ MeV}/c$ ) chiral NN interaction evolved to 2N + 3N forces ( $2.0 \text{ fm}^{-1}$ )

N<sup>2</sup>LO ( $\Lambda = 400 \text{ MeV}/c$ ) chiral 3N interaction evolved ( $2.0 \text{ fm}^{-1}$ )

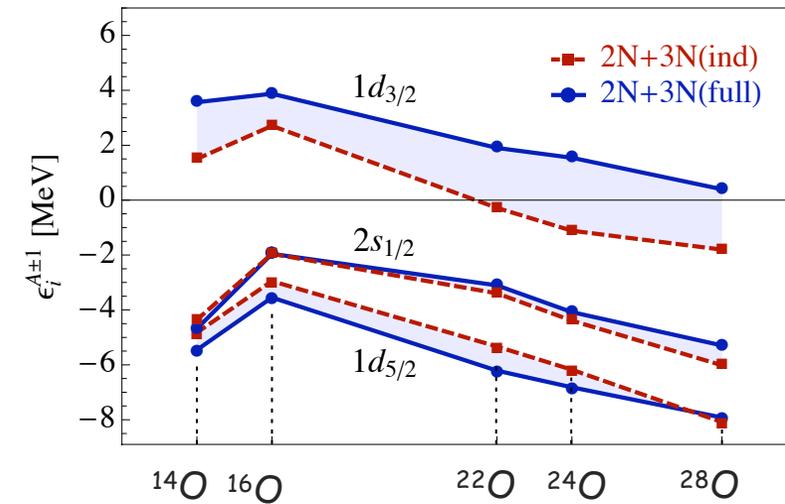


# Neutron spectral function of Oxygens

A. Cipollone, CB, P. Navrátil, *Phys. Rev. C* **92**, 014306 (2015)

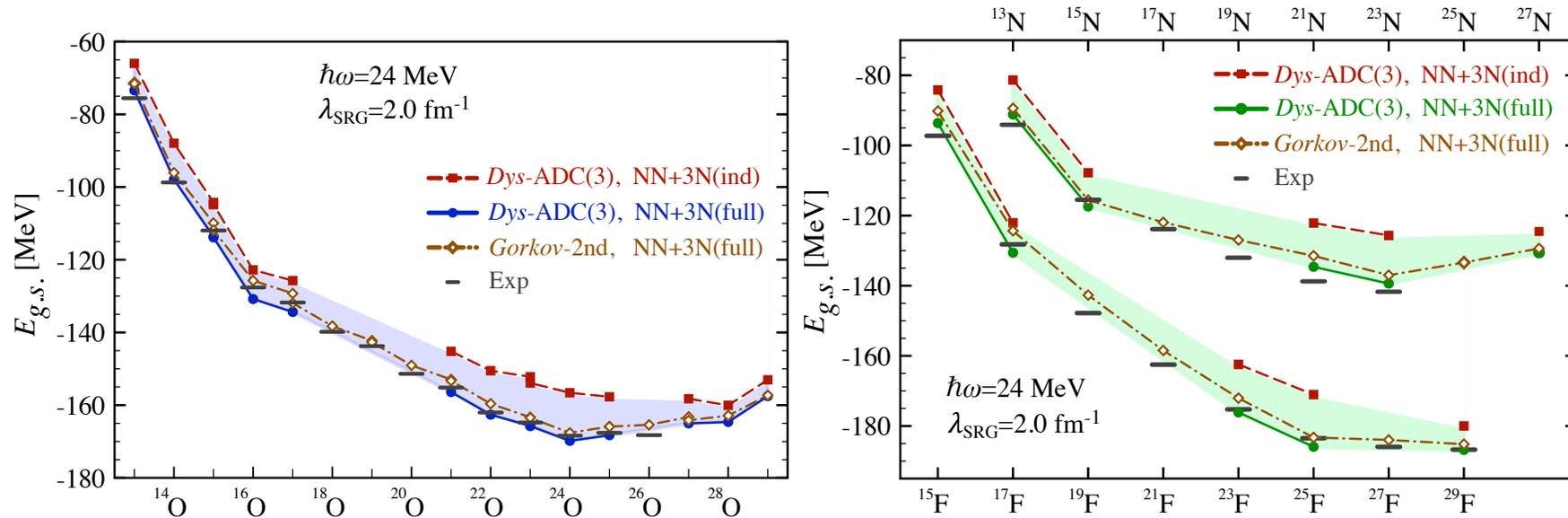


## Neutron quasiparticle energies



# Results for the N-O-F chains

A. Cipollone, CB, P. Navrátil, Phys. Rev. Lett. **111**, 062501 (2013)  
and Phys. Rev. C **92**, 014306 (2015)



→ 3NF crucial for reproducing binding energies and driplines around oxygen

→ cf. microscopic shell model [Otsuka et al, PRL105, 032501 (2010).]





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# *Using the $G$ -matrix for renormalizing SRC*

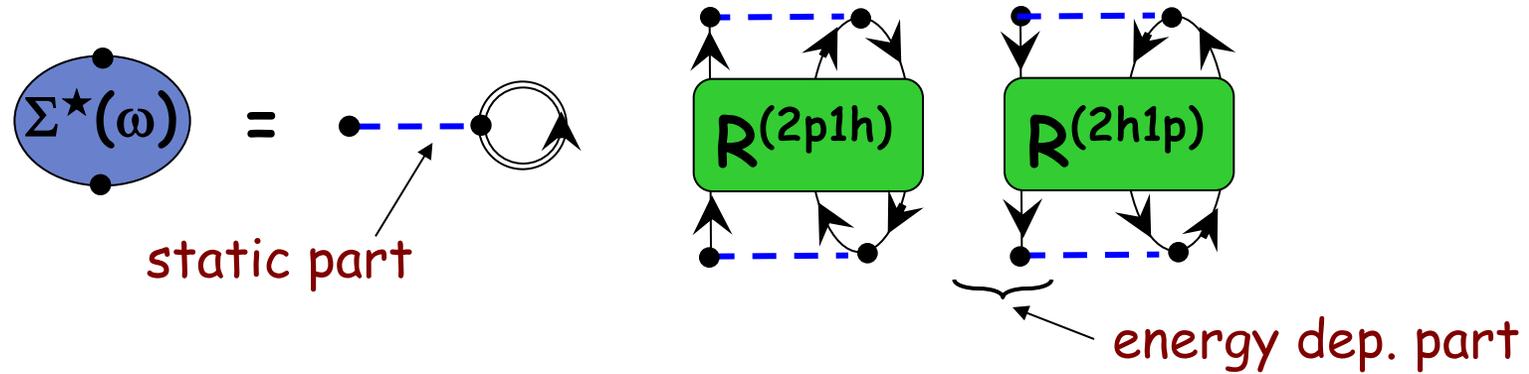
*(i.e., using potentials with **hard cores**)*

- Strong short-range cores require “renormalizing” the interaction:
  - $G$ -matrix, SRG, Lee Suzuki, Bloch-Horowitz, ...
- Long-range correlations  $\rightarrow$  **FRPA/ADC(3) !!**



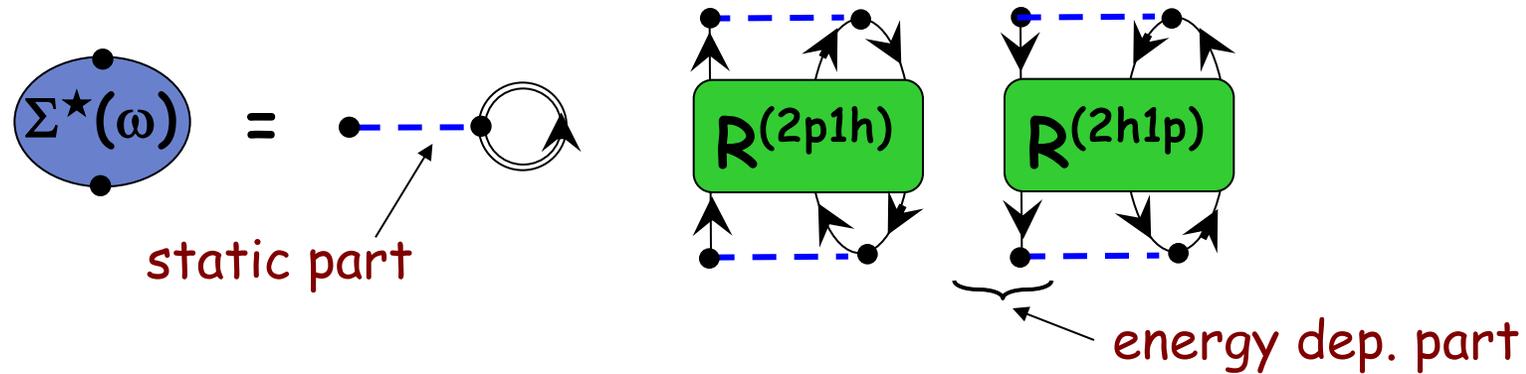
# Treating short-range correlations directly...

- Non perturbative expansion of the self-energy:



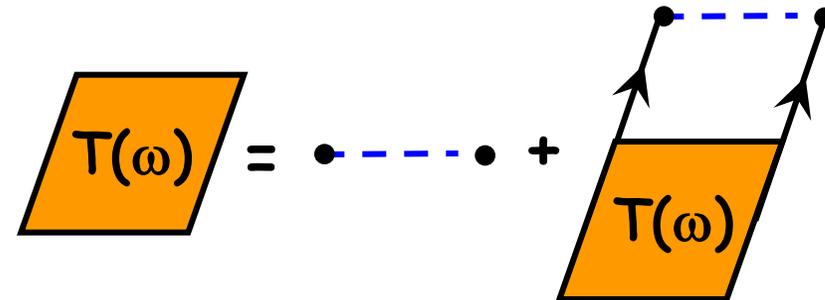
# Treating short-range correlations directly...

- Non perturbative expansion of the self-energy:



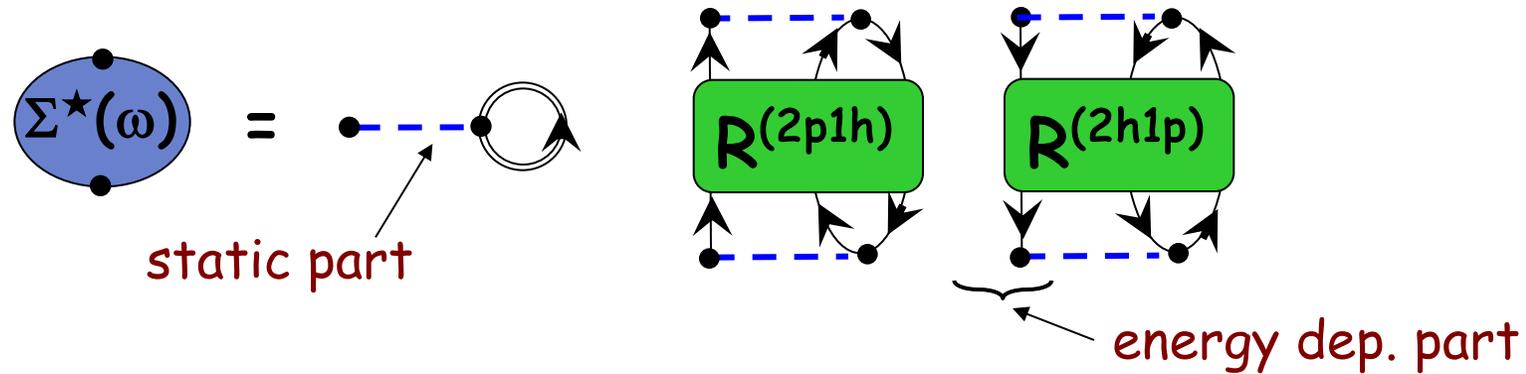
- 2 nucleons in free space:  $\rightarrow$  solve for the scatt. matrix...

$$T(\omega) = V + V \frac{1}{\omega - (k_a^2 + k_b^2)/2m + i\eta} T(\omega)$$



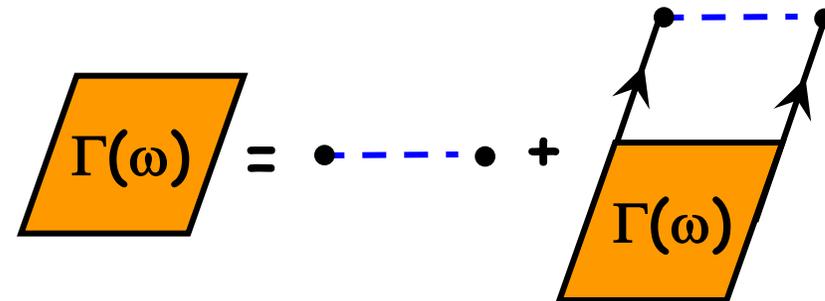
# Treating short-range correlations directly...

- Non perturbative expansion of the self-energy:



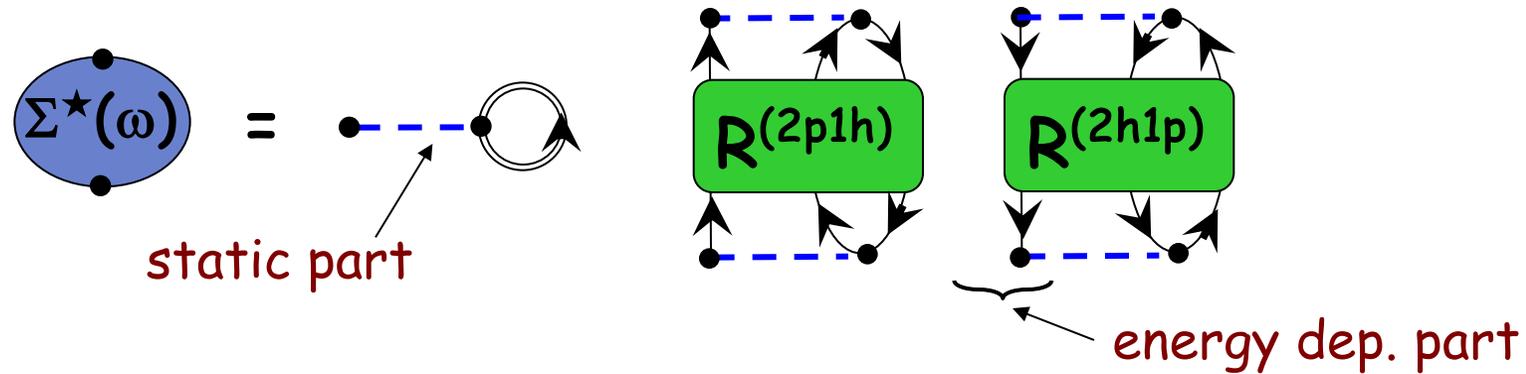
- 2 nucleons in medium: → resum pp ladders...

$$\Gamma(\omega) \approx V + V \frac{[1 - n(k_a)][1 - n(k_b)]}{\omega - (k_a^2 + k_b^2)/2m + i\eta} \Gamma(\omega)$$

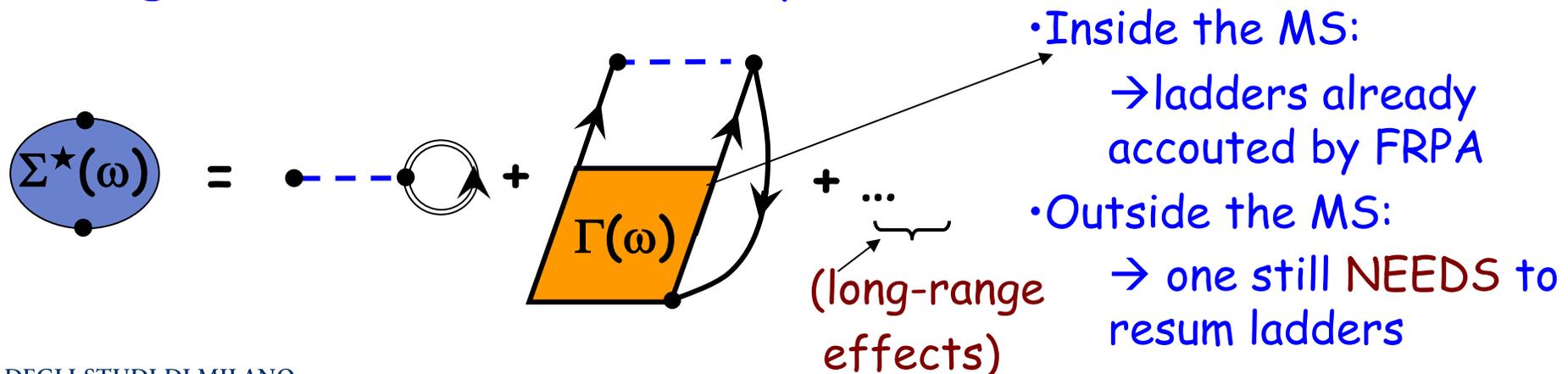


# Treating short-range correlations directly...

- Non perturbative expansion of the self-energy:



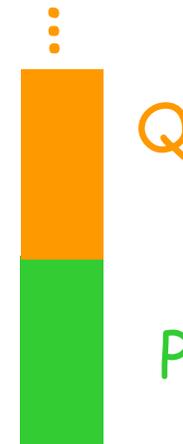
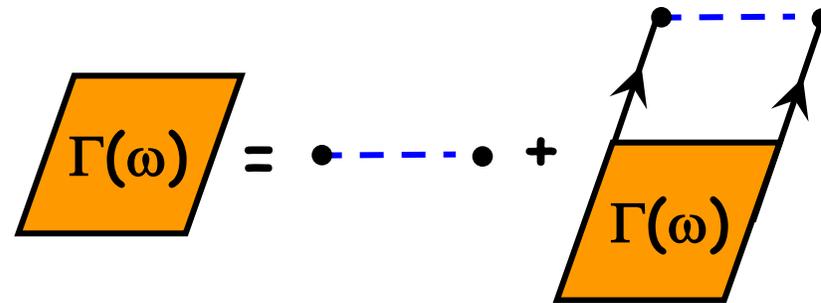
- Identify the pp resummations (which account for short range correlations) in the expansion of  $R(\omega)$ :



# Treating short-range corr. with a G-matrix

- The short-range core can be treated by resumming ladders outside the model space:

$$\Gamma(\omega) \approx V + V \frac{[1 - n(k_a)][1 - n(k_b)]}{\omega - (k_a^2 + k_b^2) / 2m + i\eta} \Gamma(\omega)$$



# Treating short-range corr. with a G-matrix

- The short-range core can be treated by resumming ladders outside the model space:

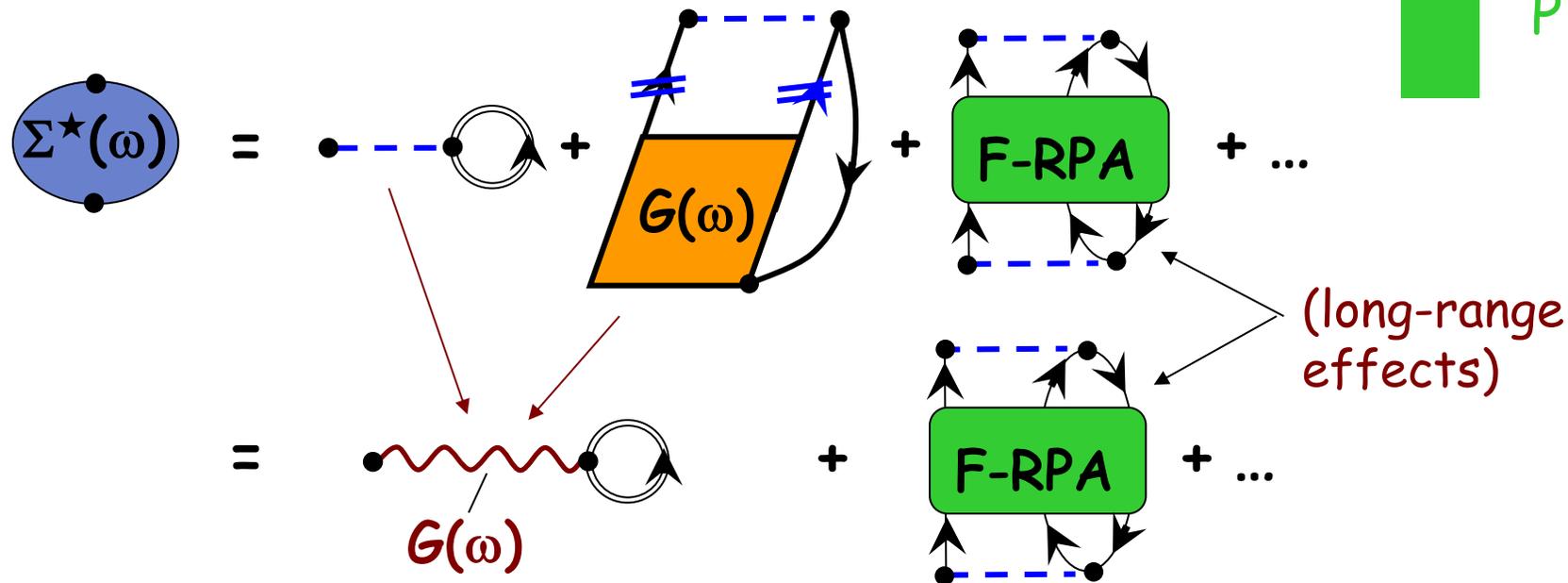
$$G(\omega) = V + V \frac{\hat{Q}}{\omega - (k_a^2 + k_b^2)/2m + i\eta} G(\omega)$$

The diagram illustrates the resummation of ladders. The equation shows the G-matrix  $G(\omega)$  as a sum of a direct term and a term involving a ladder structure. The ladder structure is represented by an orange parallelogram labeled  $G(\omega)$  with two legs extending upwards, each ending in a double blue slash. A dashed blue line connects the top two vertices of the legs. Below this, a vertical bar is shown with an orange top section labeled  $Q$  and a green bottom section labeled  $P$ , with three dots above it.

# Treating short-range corr. with a G-matrix

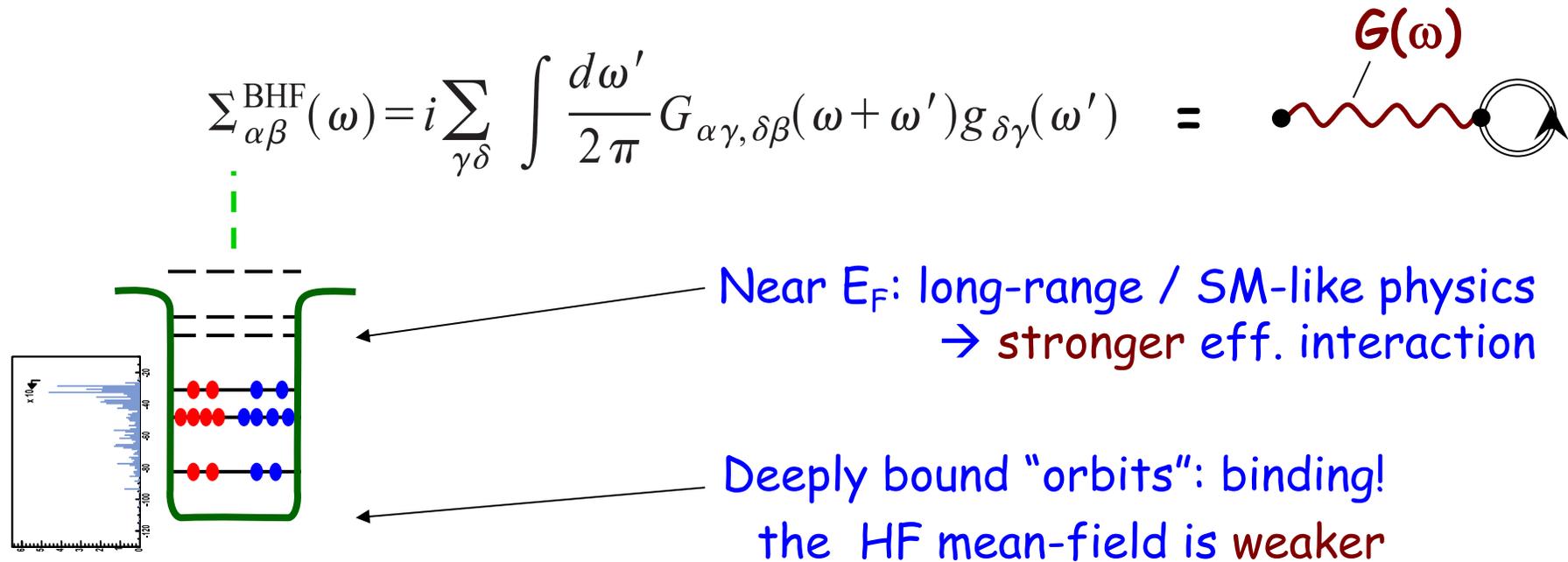
- The short-range core can be treated by summing ladders outside the model space:

$$G(\omega) = V + V \frac{\hat{Q}}{\omega - (k_a^2 + k_b^2)/2m + i\eta} G(\omega)$$



# Treating short-range corr. with a G-matrix

- The short-range core can be treated by summing ladders outside the model space:



$\rightarrow$  It is **NOT** optimal to fix the starting energy in  $G(\omega)$  at the HF/mean field level !!

# (Galitskii-Migdal) Koltun sumrule

Koltun sum rule (with NNN interactions):

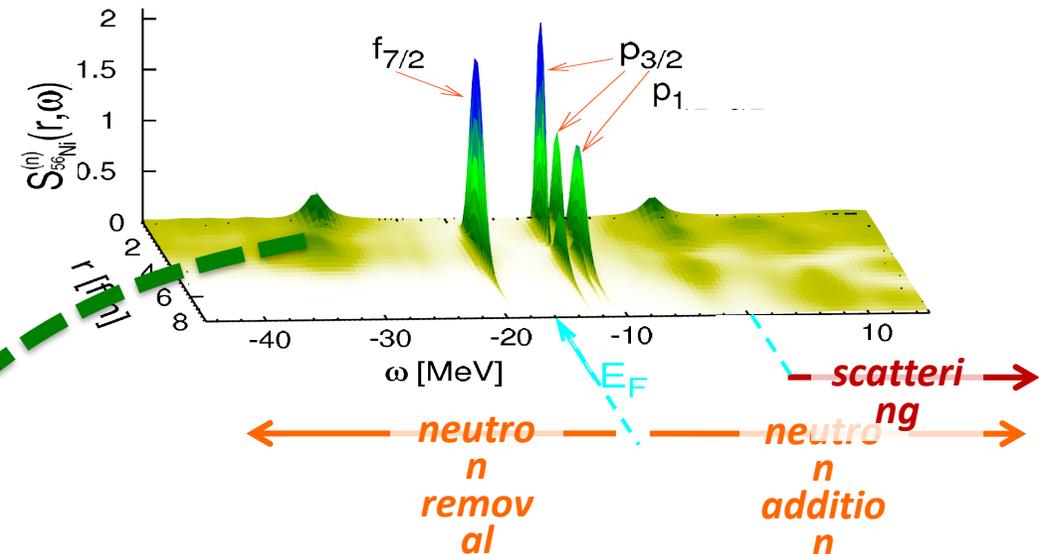
$$\sum_{\alpha} \frac{1}{\pi} \int_{-\infty}^{\epsilon_F^-} d\omega \omega \operatorname{Im} G_{\alpha\alpha}(\omega) = \langle \Psi_0^N | \hat{T} | \Psi_0^N \rangle + 2 \langle \Psi_0^N | \hat{V} | \Psi_0^N \rangle + 3 \langle \Psi_0^N | \hat{W} | \Psi_0^N \rangle$$

two-body

three-body

$$E_0^N = \frac{1}{2\pi} \int_{-\infty}^{\epsilon_F^-} d\omega \sum_{\alpha\beta} (T_{\alpha\beta} + \omega \delta_{\alpha\beta}) \operatorname{Im} G_{\beta\alpha}(\omega) - \frac{1}{2} \langle \Psi_0^N | \widehat{W} | \Psi_0^N \rangle$$

High-k and missing energy tail from SRC...  
(currently neglected in calculating Koltun SR)



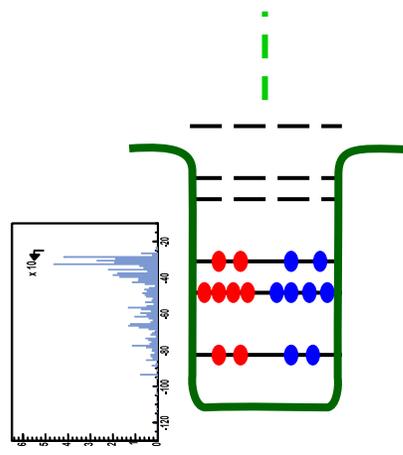
# Treating short-range corr. with a G-matrix

- The short-range core can be treated by summing ladders outside the model space:

$$\Sigma_{\alpha\beta}^{\text{BHF}}(\omega) = i \sum_{\gamma\delta} \int \frac{d\omega'}{2\pi} G_{\alpha\gamma, \delta\beta}(\omega + \omega') g_{\delta\gamma}(\omega') = \text{Diagram}$$

The diagram shows a red wavy line labeled  $G(\omega)$  connecting two black dots, with a circular arrow loop on the right dot.

$$\Sigma^*(\mathbf{r}, \mathbf{r}'; \omega) = \Sigma^{\text{MF}}(\mathbf{r}, \mathbf{r}'; \omega) + \tilde{\Sigma}(\mathbf{r}, \mathbf{r}'; \omega).$$

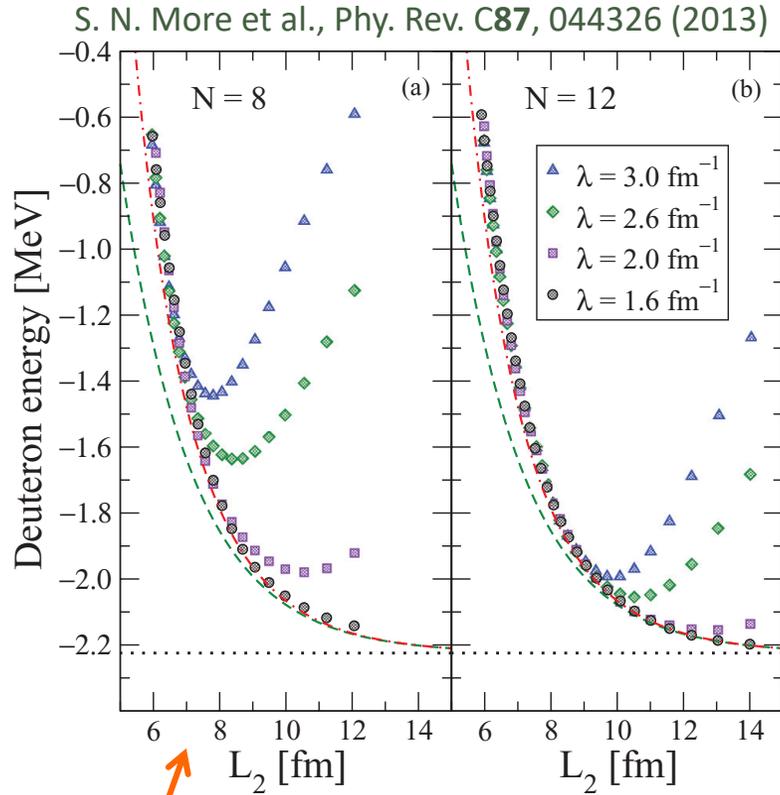
$$Z_\alpha = \int d\mathbf{r} |\psi_\alpha^{A\pm 1}(\mathbf{r})|^2 = \frac{1}{1 - \left. \frac{\partial \Sigma_{\hat{a}\hat{a}}^*(\omega)}{\partial \omega} \right|_{\omega = \pm(E_\alpha^{A\pm 1} - E_0^A)}}$$


The diagram on the left shows a schematic of energy levels. A green U-shaped container holds several levels, each with red and blue dots representing particles. A dashed green line indicates a level above the container. To the left, a plot shows a spectral function with a peak at approximately -40 on the x-axis and a y-axis labeled  $\times 10^4$ .

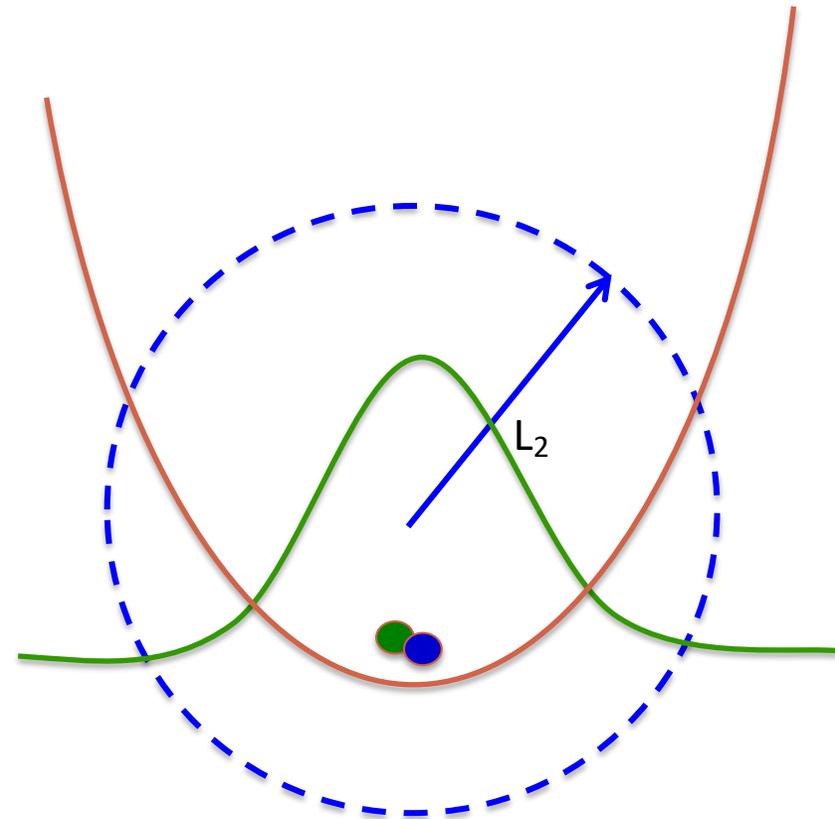
Two contributions to the derivative:

- $\Sigma_{\alpha\beta}^{\text{MF}}(\omega)$  is due to scattering to (high-k) states in the Q space
- $\Sigma(\mathbf{r}, \mathbf{r}'; \omega)$  accounts for low-energy (long range) correlations

# Infrared convergence



*Deuteron g.s. Energy*  
*EM(500) – N3LO two-nucleon force*



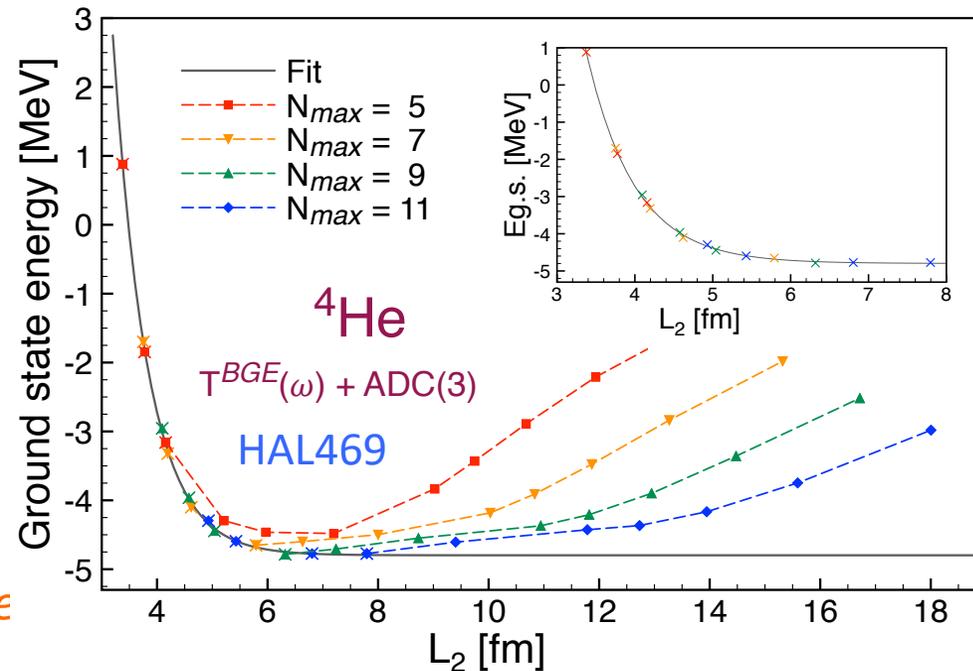
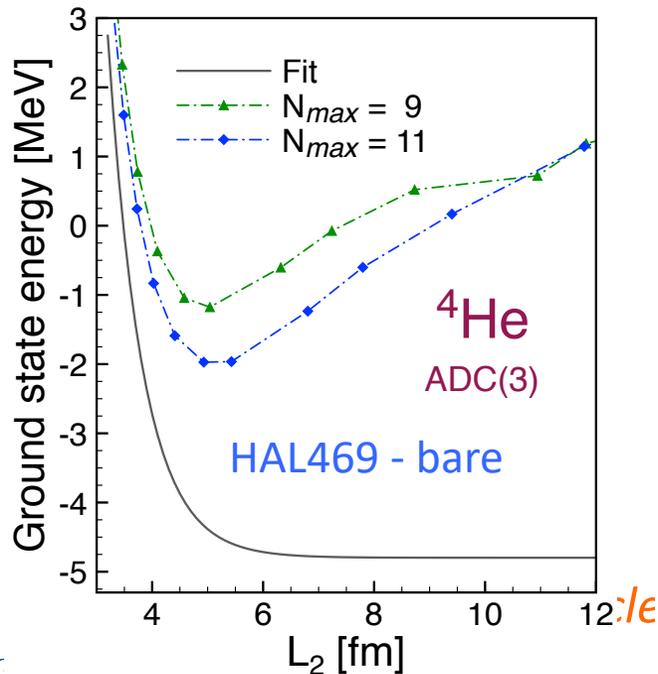
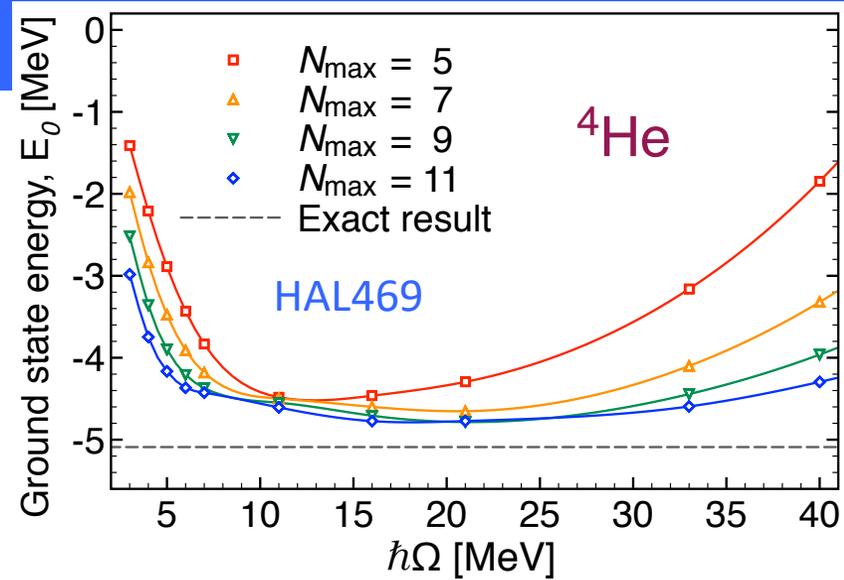
$$L_2 = \sqrt{2(N + 3/2 + 2)b}$$



# Infrared convergence

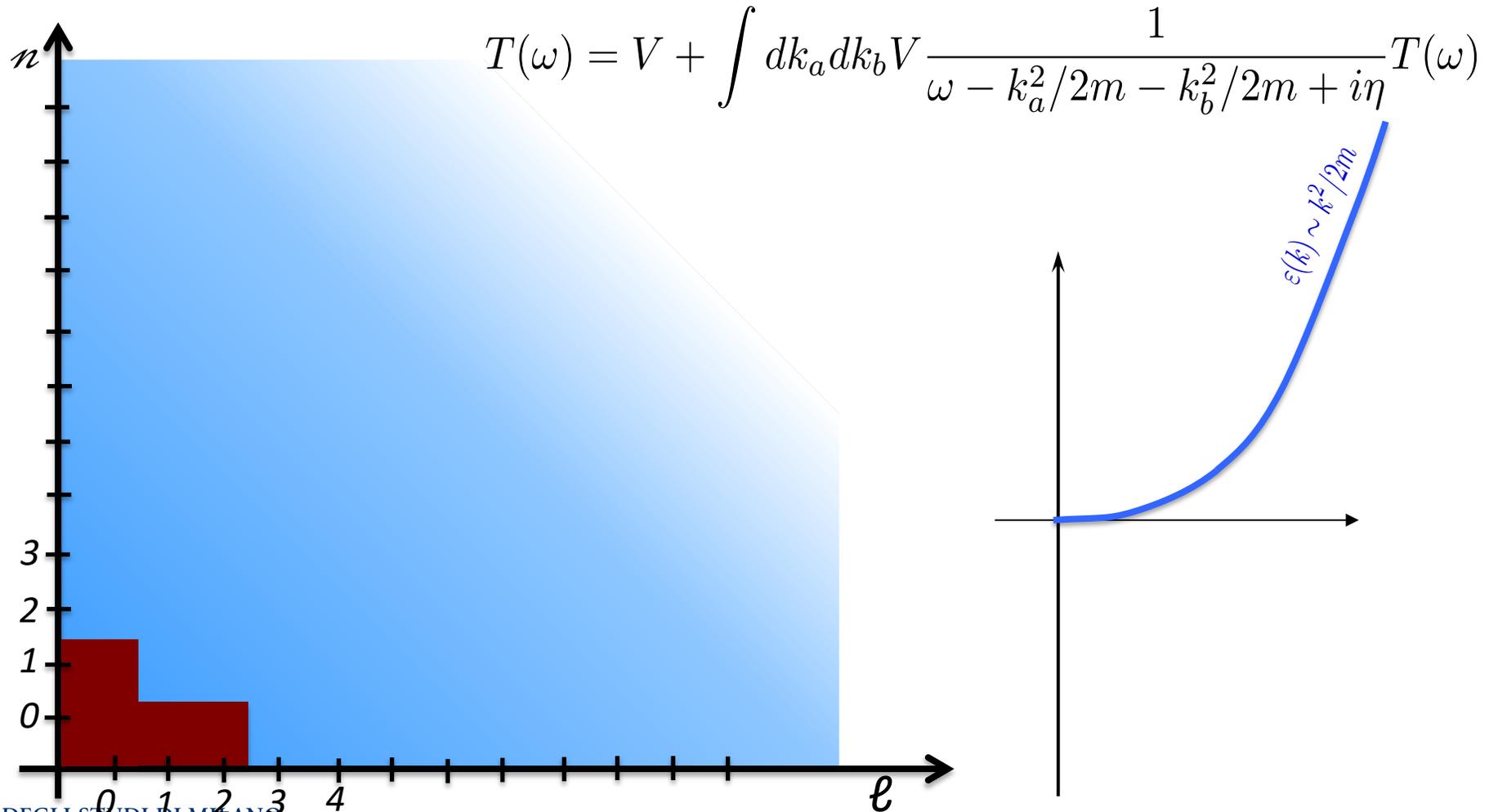
Short-range repulsion in the HALQCD-type potentials can be tamed correctly even for large nuclei.

C. McIlroy, CB, et al., Phys. Rev. C **97**, 021303(R) (2018)



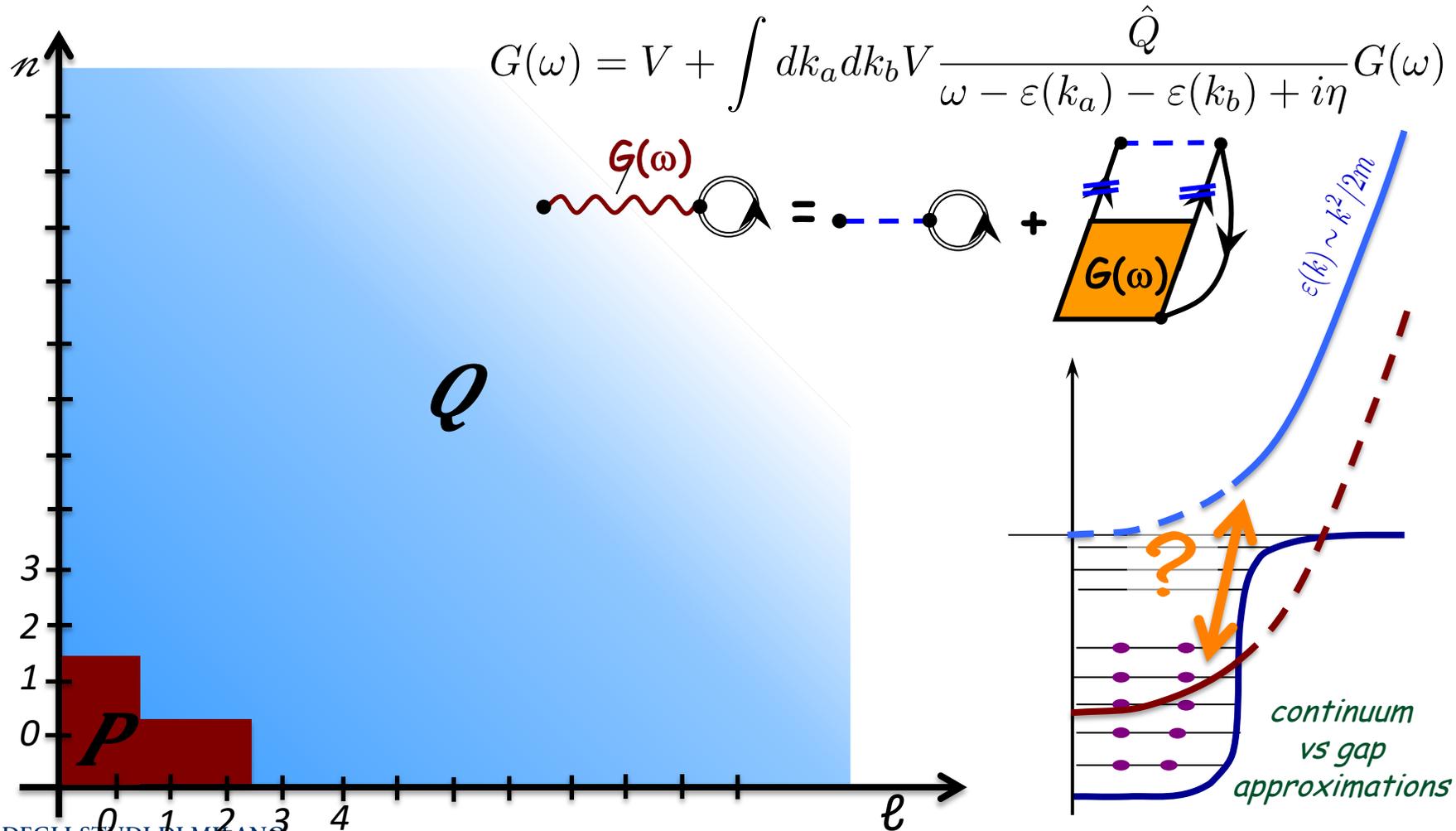
# Analysis of Brueckner HF

Scattering of two nucleon in free space:



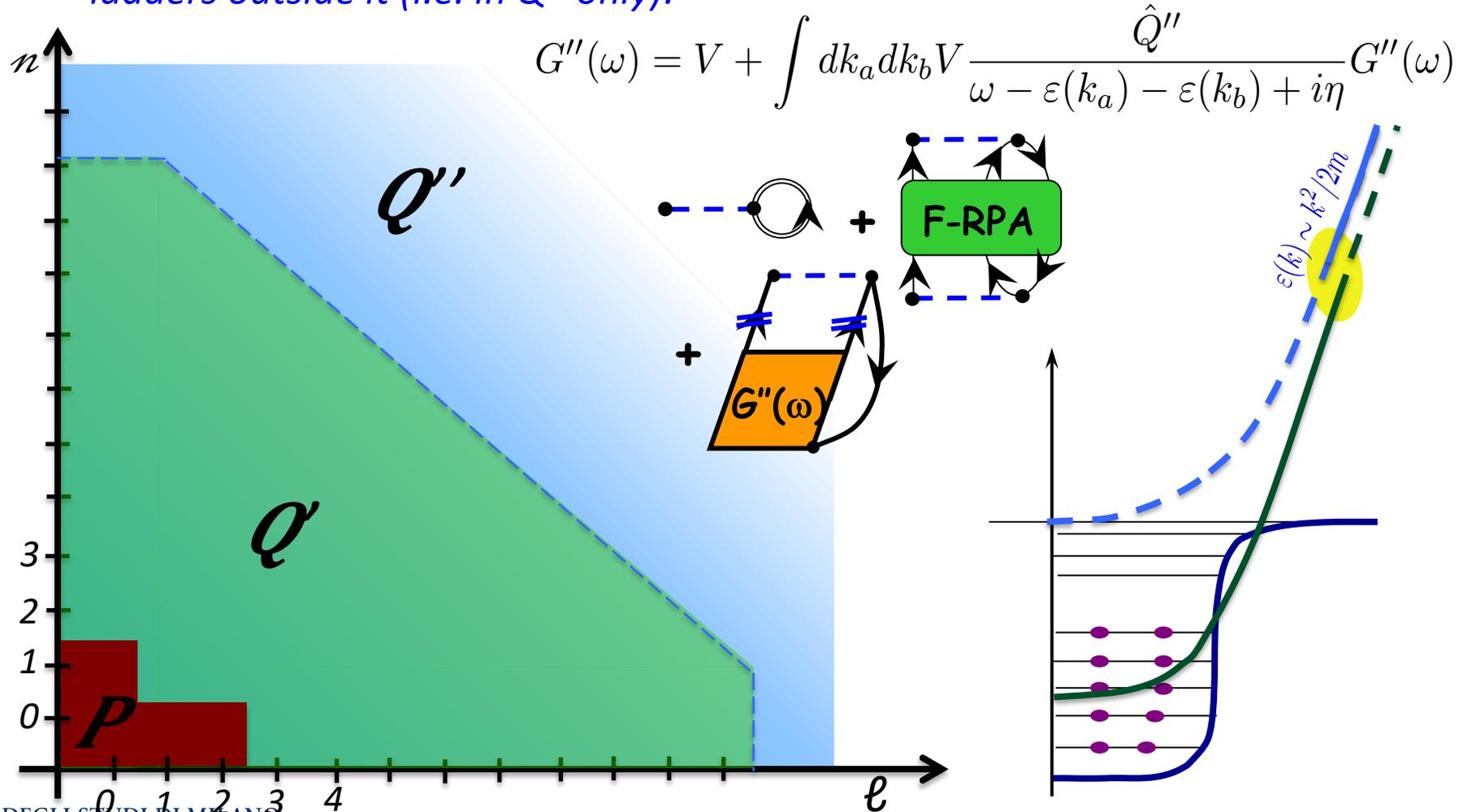
# Analysis of Brueckner HF

Scattering of two nucleons outside the Fermi sea ( $\rightarrow$ BHF):



# Mixed SCGF-Brueckner approach

Solve full many-body dynamics in model space ( $P+Q'$ ) and the Goldstone's ladders outside it (i.e. in  $Q''$  only):



$$G''(\omega) = V + \int dk_a dk_b V \frac{\hat{Q}''}{\omega - \epsilon(k_a) - \epsilon(k_b) + i\eta} G''(\omega)$$





Basel

UNIVERSITÀ DEGLI STUDI DI MILANO

DIPARTIMENTO DI FISICA

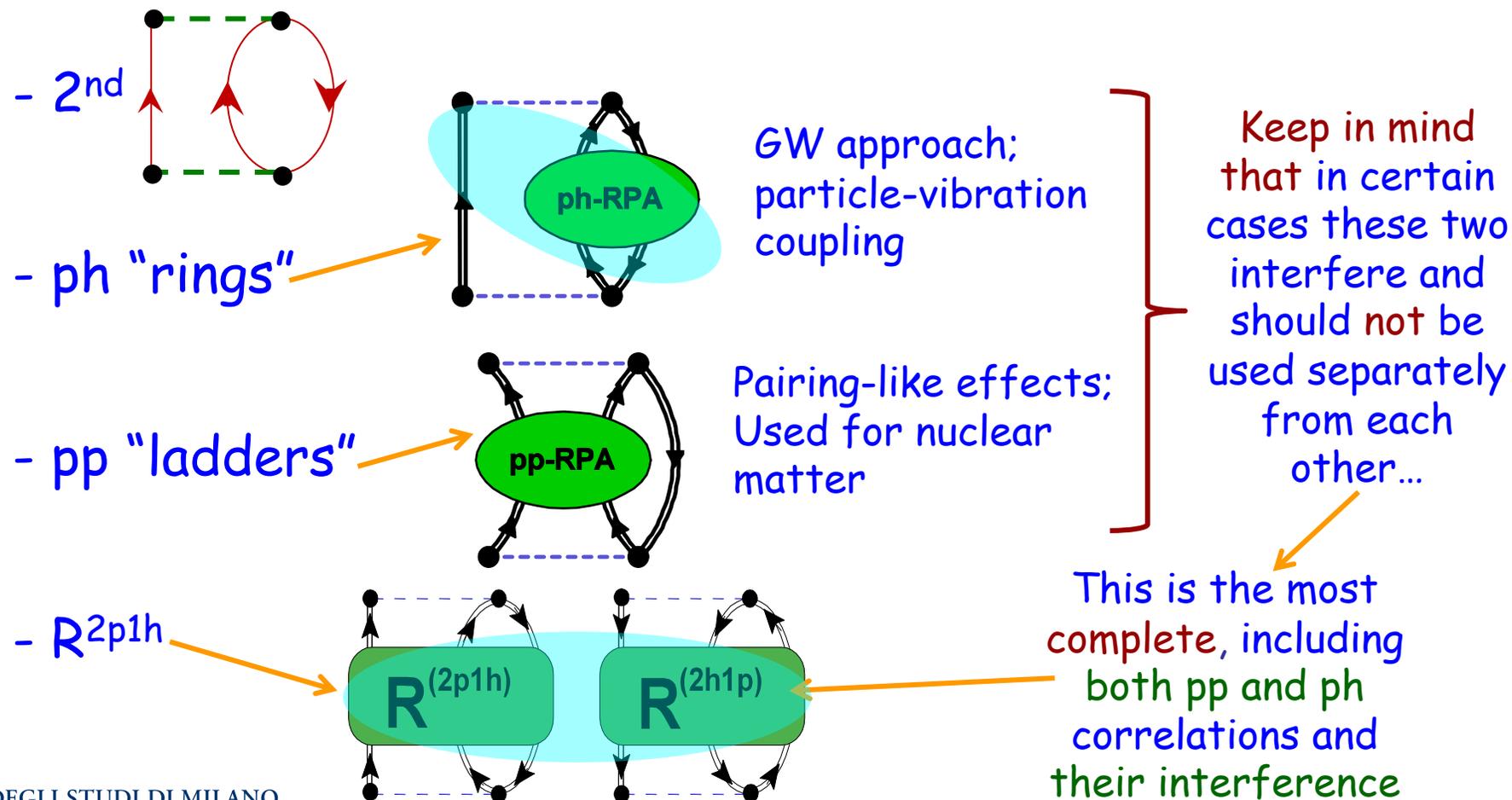
# The Faddeev random phase approximation method (FRPA)

*CB et al.,  
Phys. Rev. C***63**, 034313 (2001)  
*Phys. Rev. A***76**, 052503 (2007)  
*Phys. Rev. C***79**, 064313 (2009)



# Approximations for the Self-energy

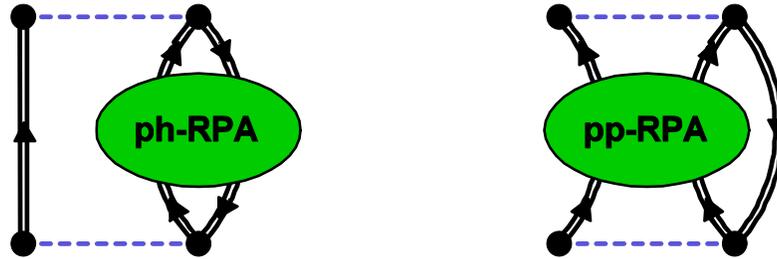
Diagrams of some common approximations for the self-energy:



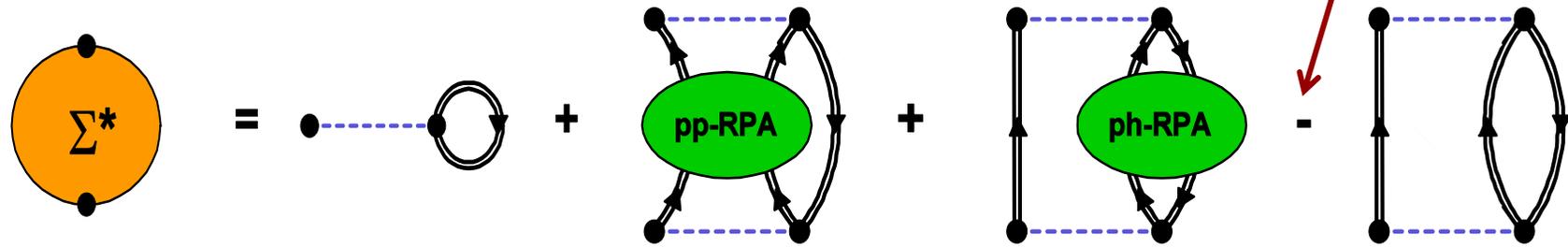
# Faddeev RPA method

The following two diagrams can be equally important. However summing them would not work well:

- They both contain  $\Sigma_{\alpha\beta}^{2nd}(\omega)$ , which would be over counted
- They would not interfere...

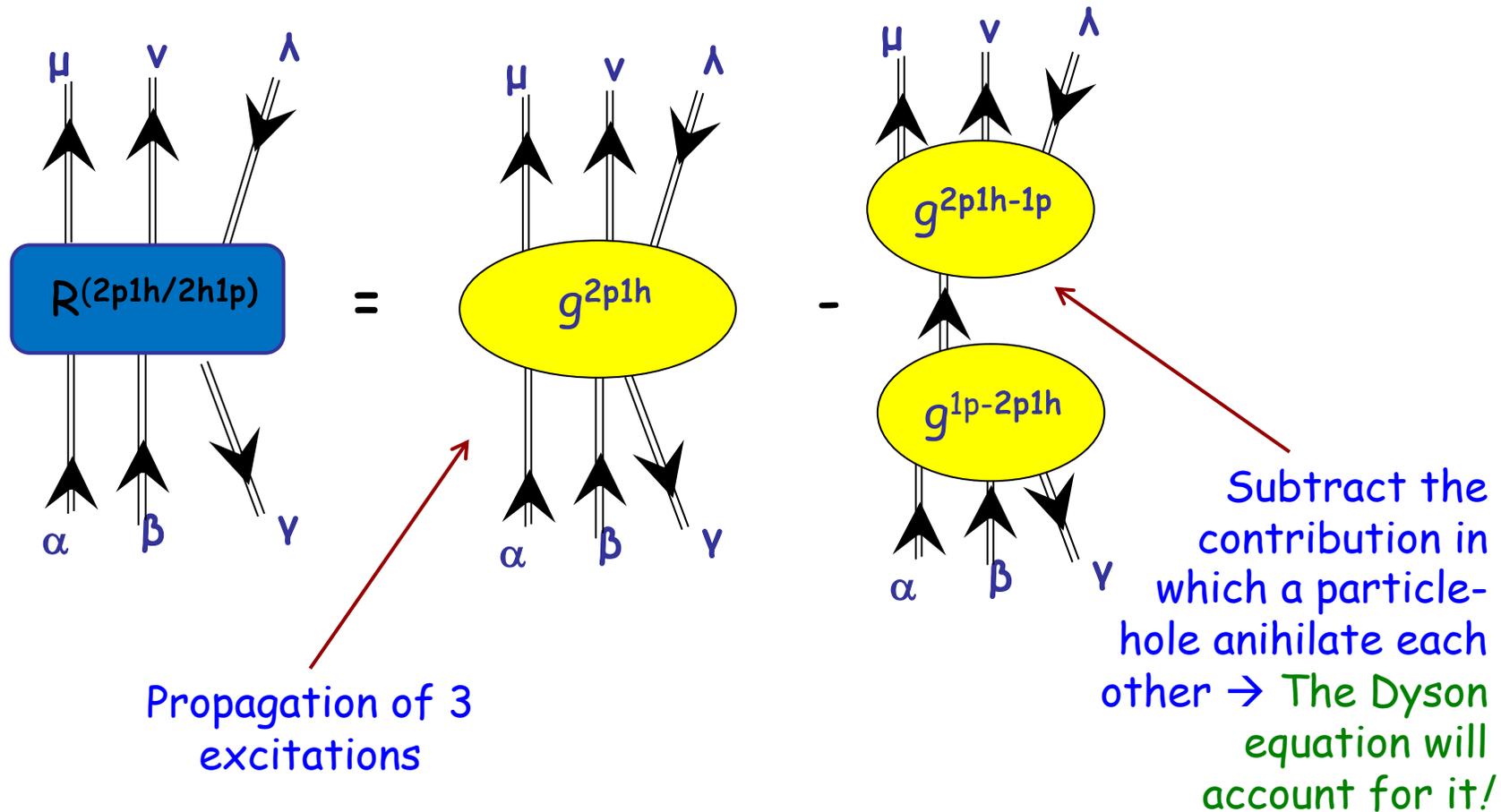


So, the following is **NOT** good:



# Self-energy and 2p1h/2h1p propagator

Graphic representation of the 2p1h/2h1p irreducible propagator  $R(\omega)$ :



# Self-energy and 2p1h/2h1p propagator

Using the EOM of both  $t$  and  $t'$ , one finds again the Dyson equation with self-energy given (in a symmetric form) by

$$\Sigma_{\alpha\beta}^*(t-t') = \Sigma_{\alpha\beta}^{HF} + v_{\alpha\lambda,\mu\nu} R_{\mu\nu\lambda,\gamma\delta\zeta}(t,t') v_{\gamma\delta,\beta\zeta},$$

where:

$$R_{\mu\nu\lambda,\alpha\beta\gamma}(t;t') \equiv g_{\mu\nu\lambda,\alpha\beta\gamma}^{2p1h}(t;t') - g_{\mu\nu\lambda,\eta}^{2p1h-1p}(t,t_1) g_{\eta\sigma}^{-1}(t_1-t_2) g_{\sigma,\alpha\beta\gamma}^{1p-2p1h}(t_2,t')$$

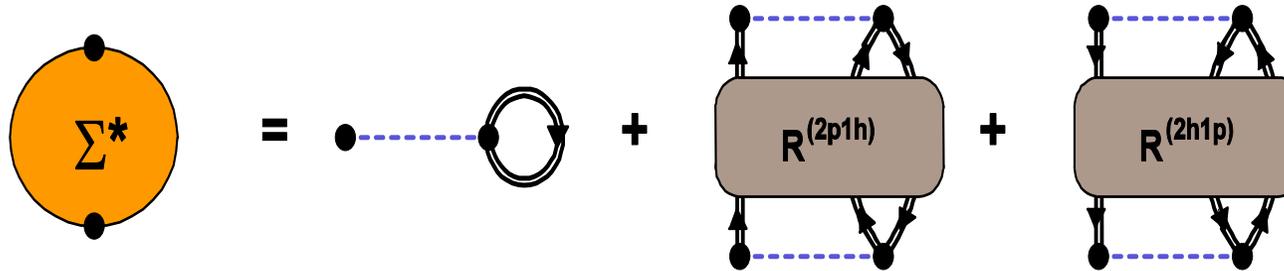
Irreducible  
2p1h/2h1p  
propagator

$$g_{\alpha,\mu\nu\lambda}^{1p-2p1h}(t-t') \equiv -\frac{i}{\hbar} \langle \Psi_0^N | T[c_\alpha(t) c_\mu^\dagger(t') c_\nu^\dagger(t') c_\lambda(t')] | \Psi_0^N \rangle$$

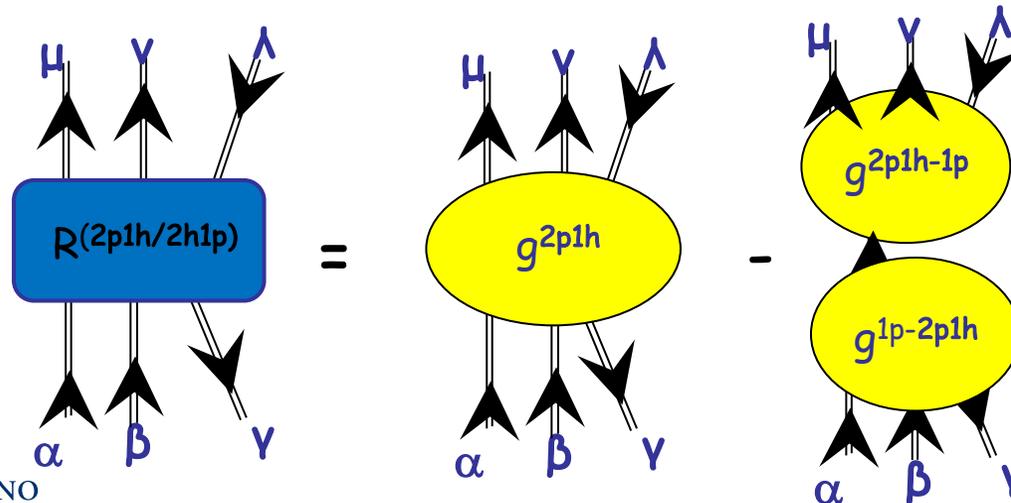


# Faddeev RPA method

Thus, to include both "ladder" and "ring" correlations one **must** calculate the full **2p1h/2h1p** propagator

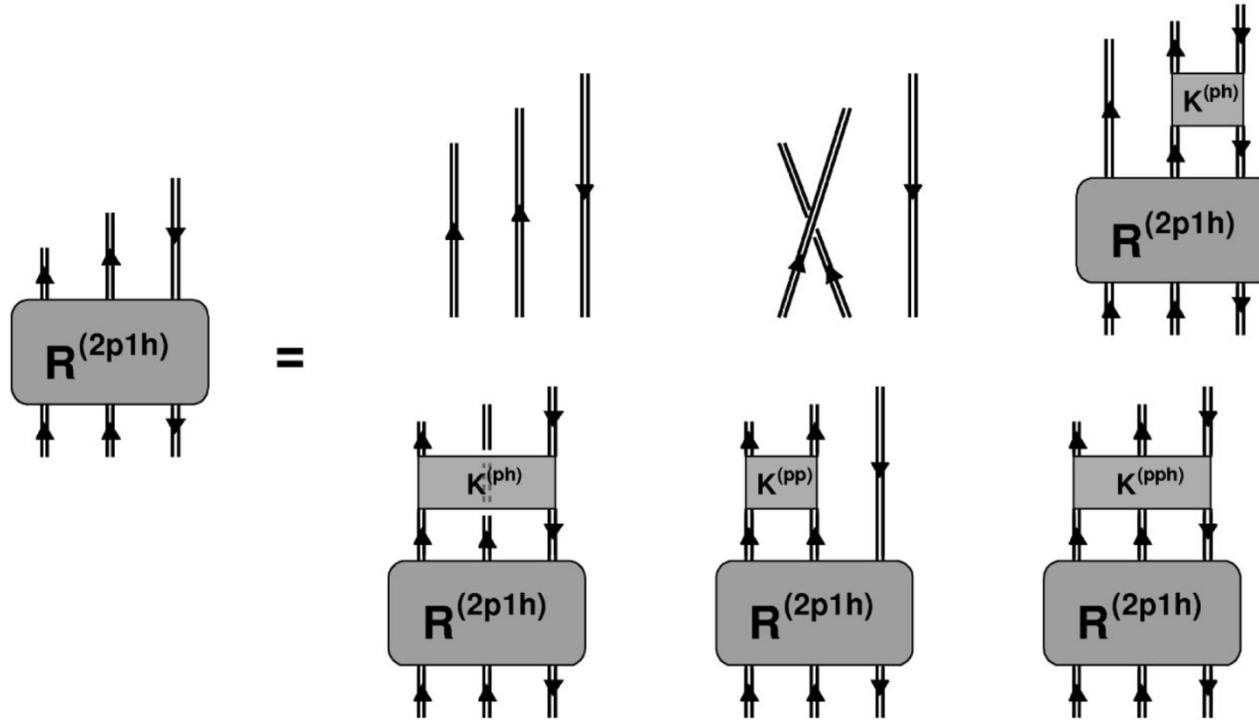


In general this is **exact** if one can calculate the full 6-points Green's function (see lecture of Apr. 13<sup>th</sup>):



# Faddeev RPA method

The full  $2p1h/2h1p$  polarization propagator also satisfies a Bethe-Salpeter-like equation:



However, this depends on 4-times (3 frequencies) and it is much more complicated than the p-h Bethe-Salpeter.

# Faddeev RPA method

The full 2p1h/2h1p polarization propagator also satisfies a Bethe-Salpeter-like equation:

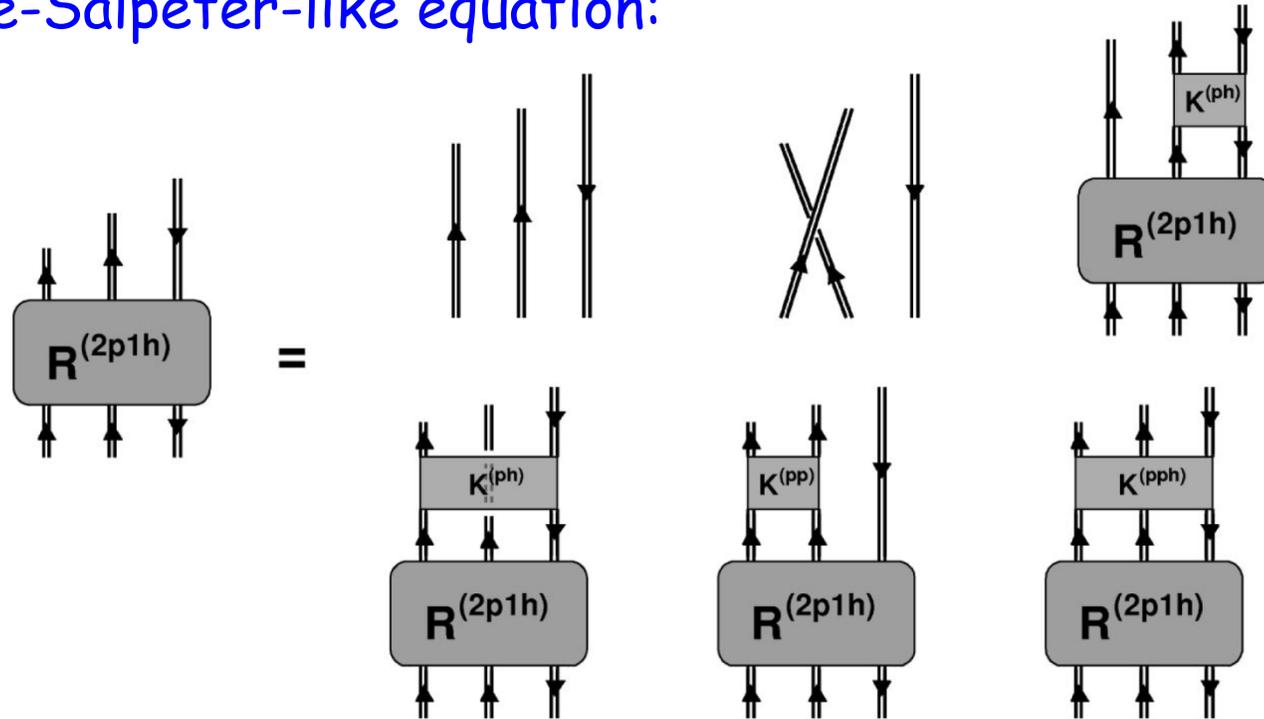
$$\begin{aligned} R_{\alpha\beta\gamma,\mu\nu\lambda}(\omega_1, \omega_2, \omega_3) = & [g_{\alpha\mu}(\omega_1)g_{\beta\nu}(\omega_2) - g_{\beta\mu}(\omega_2)g_{\alpha\nu}(\omega_1)]g_{\lambda\gamma}(-\omega_3) \\ & + \left( g_{\beta\beta_1}(\omega_2)g_{\gamma_1\gamma}(-\omega_3)V_{\beta_1\sigma,\gamma_1\rho} \int \frac{ds}{2\pi i} R_{\alpha\rho\sigma,\mu\nu\lambda}(\omega_1, s, \omega_2 + \omega_3 - s) \right. \\ & + g_{\alpha\alpha_1}(\omega_1)g_{\gamma_1\gamma}(-\omega_3)V_{\alpha_1\sigma,\gamma_1\rho} \int \frac{ds}{2\pi i} R_{\rho\beta\sigma,\mu\nu\lambda}(s, \omega_2, \omega_1 + \omega_3 - s) \\ & \left. + \frac{1}{2}g_{\alpha\alpha_1}(\omega_1)g_{\beta\beta_1}(\omega_2)V_{\alpha_1\beta_1,\rho\sigma} \int \frac{ds}{-2\pi i} R_{\rho\sigma\gamma,\mu\nu\lambda}(s, \omega_1 + \omega_2 - s, \omega_3) \right) \end{aligned}$$

However, this depends on 4-times (3 frequencies) and it is much more complicated than the p-h Bethe-Salpeter.



# Faddeev RPA method

The full  $2p1h/2h1p$  polarization propagator also satisfies a Bethe-Salpeter-like equation:



**Strategy:** solve each "pp" and "ph" channel separately, by solving the (simpler) DRPA equations. Then couple to a third line and mix the corresponding amplitudes  $\rightarrow$  Faddeev eqs.!!



# Faddeev equations for the 2h1p motion

Strategy: solve each "pp" and "ph" channel separately, by solving the (simpler) DRPA equations. Then couple to a third line and mix the corresponding amplitudes  $\rightarrow$  Faddeev eqs.!!

$$R^{2h1p}(\omega) = \begin{array}{c} \downarrow \downarrow \downarrow \\ \downarrow \downarrow \downarrow \\ \downarrow \downarrow \downarrow \end{array} - \begin{array}{c} \downarrow \downarrow \downarrow \\ \downarrow \downarrow \downarrow \\ \downarrow \downarrow \downarrow \end{array} + R^1(\omega) + R^2(\omega) + R^3(\omega) \quad \text{Faddeev components}$$

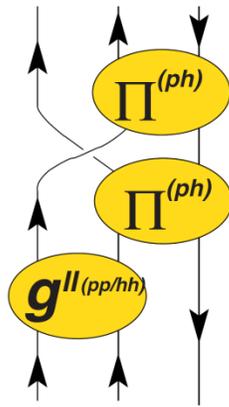
Faddeev eqns.

$$\begin{pmatrix} R^1 \\ R^2 \\ R^3 \end{pmatrix} = \begin{pmatrix} \begin{array}{c} \downarrow \downarrow \downarrow \\ \downarrow \downarrow \downarrow \\ \downarrow \downarrow \downarrow \end{array} \\ \begin{array}{c} \downarrow \downarrow \downarrow \\ \downarrow \downarrow \downarrow \\ \downarrow \downarrow \downarrow \end{array} \\ \begin{array}{c} \downarrow \downarrow \downarrow \\ \downarrow \downarrow \downarrow \\ \downarrow \downarrow \downarrow \end{array} \end{pmatrix} + \begin{pmatrix} 0 & \begin{array}{c} \downarrow \downarrow \downarrow \\ \downarrow \downarrow \downarrow \\ \downarrow \downarrow \downarrow \end{array} & \begin{array}{c} \downarrow \downarrow \downarrow \\ \downarrow \downarrow \downarrow \\ \downarrow \downarrow \downarrow \end{array} \\ \begin{array}{c} \downarrow \downarrow \downarrow \\ \downarrow \downarrow \downarrow \\ \downarrow \downarrow \downarrow \end{array} & 0 & \begin{array}{c} \downarrow \downarrow \downarrow \\ \downarrow \downarrow \downarrow \\ \downarrow \downarrow \downarrow \end{array} \\ \begin{array}{c} \downarrow \downarrow \downarrow \\ \downarrow \downarrow \downarrow \\ \downarrow \downarrow \downarrow \end{array} & \begin{array}{c} \downarrow \downarrow \downarrow \\ \downarrow \downarrow \downarrow \\ \downarrow \downarrow \downarrow \end{array} & 0 \end{pmatrix} \begin{pmatrix} R^1 \\ R^2 \\ R^3 \end{pmatrix}$$

TDA/RPA phonons

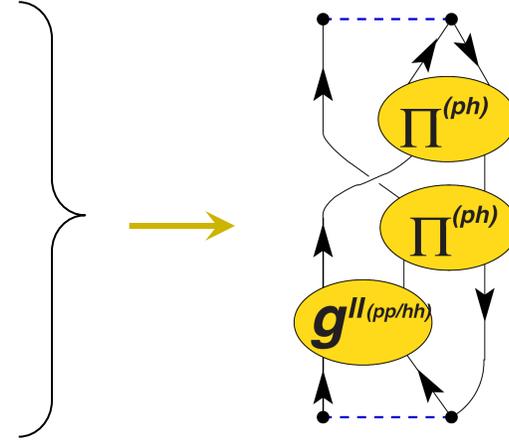


# FRPA: Faddeev summation of RPA propagators

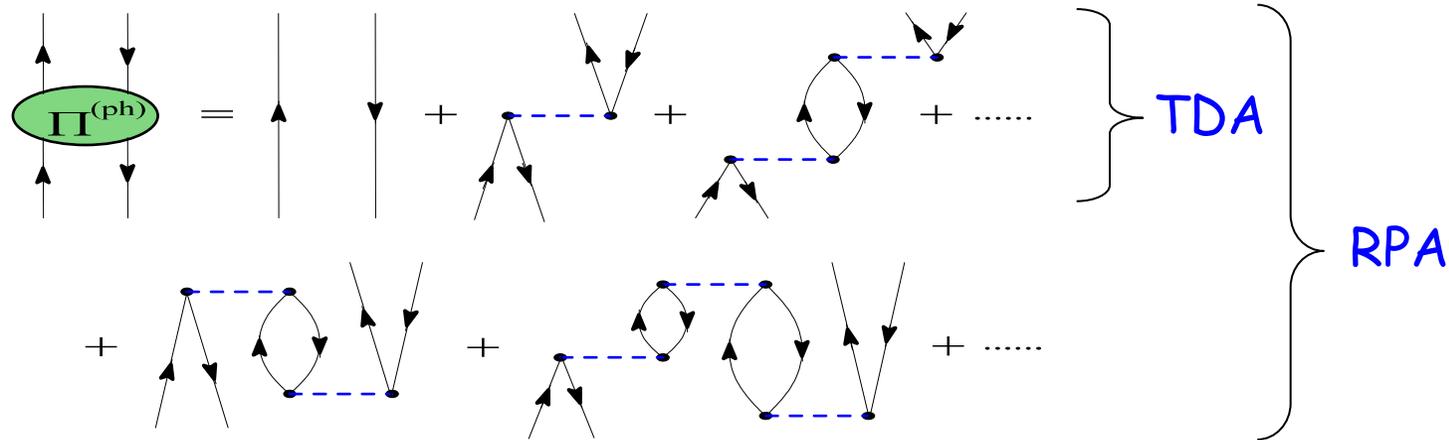


- Both pp/hh (ladder) and ph (ring) response included
- Pauli exchange at 2p1h/2h1p level

- All order summation through a set of Faddeev equations

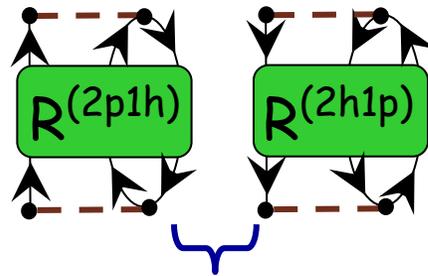
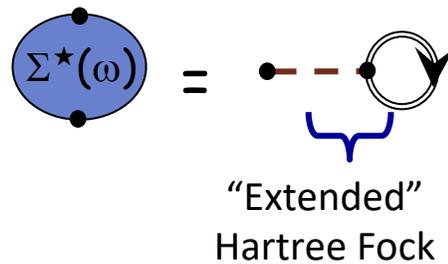


where:



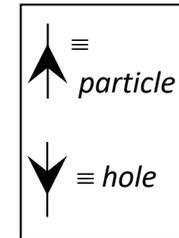
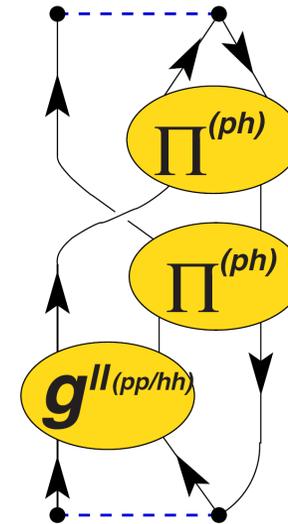
# Faddeev-RPA in two words...

Self-energy  
(optical potential):



≥ 2p1h/2h1p configurations

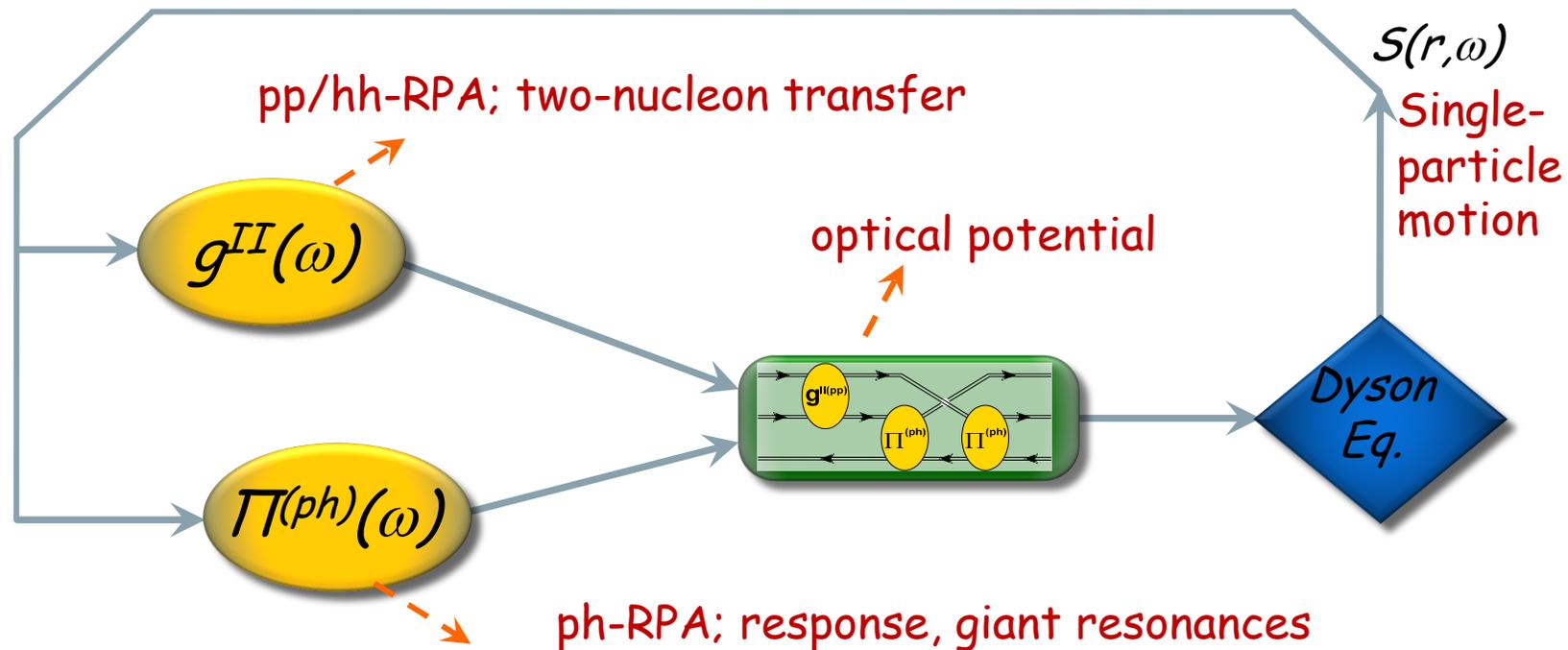
Faddeev-RPA:



- A complete expansion requires all types of particle-vibration coupling:
  - ✓  $g^{II}(\omega)$  → pairing effects, two-nucleon transfer
  - ✓  $\Pi^{(ph)}(\omega)$  → collective motion, using RPA or beyond
  - ✓ Pauli exchange effects
- The Self-energy  $\Sigma^*(\omega)$  yields *both* single-particle states and scattering
- Finite nuclei: → require high-performance computing



# Self-Consistent Green's Function Approach

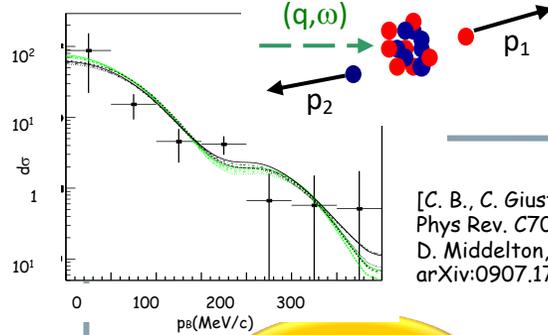


- Global picture of nuclear dynamics
- Reciprocal correlations among effective modes
- Guaranties *macroscopic conservation laws*



# Self-Consistent Green's Function Approach

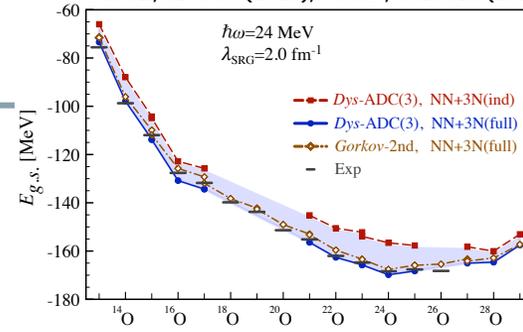
$^{16}\text{O}(e,e'pn)^{14}\text{N}$  @ MAINZ



[C. B., C. Giusti, et al. Phys Rev. C70, 014606 (2004)  
D. Middleton, et al. arXiv:0907.1758; EPJA in print]

Binding energies

[PRL. 111, 062501 (2013),  
PRC 92, 014306 (2015), PRC89, 061301R (2014)]



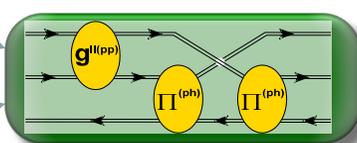
Ionization energies/  
affinities, in atoms

[CB, D. Van Neck,  
AIP Conf.Proc.1120,104 ('09) & in prep]

		Hartree-Fock	FRPac	Experiment [16, 17]
He:	1s	0.918 (+14)	0.9008 (-2.9)	0.9037
Be <sup>2+</sup> :	1s	5.6672 (+116)	5.6551 (-0.5)	5.6556
Be:	2s	0.3093 (-34)	0.3224 (-20.2)	0.3426
	1s	4.733 (+200)	4.5405 (+8)	4.533
Ne:	2p	0.852 (+57)	0.8037 (+11)	0.793
	1s	1.931 (+149)	1.7967 (+15)	1.782
Mg <sup>2+</sup> :	2p	3.0068 (+56.9)	2.9537 (+3.8)	2.9499
	1s	4.4827	4.3589	
Mg:	3s	0.253 (-28)	0.280 (-1)	0.281
	2p	2.282 (+162)	2.137 (+17)	2.12
Ar:	3p	0.591 (+12)	0.579 (±0)	0.579
	3s	1.277 (+202)	1.065 (-10)	1.075
	3s		1.544	
	2p	9.571 (+411)	9.219 (+59)	9.160

$g^{II}(\omega)$

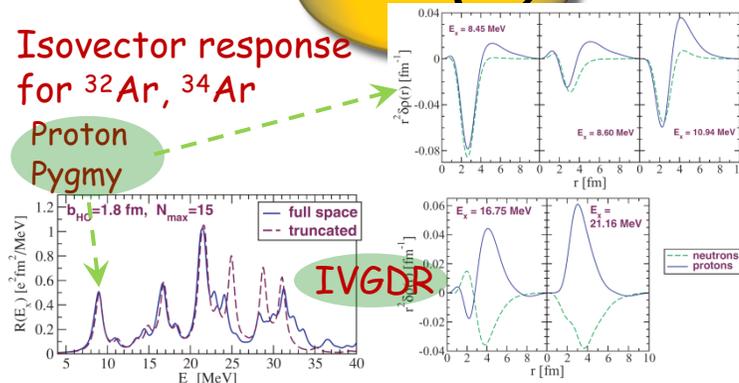
$\Pi^{(ph)}(\omega)$



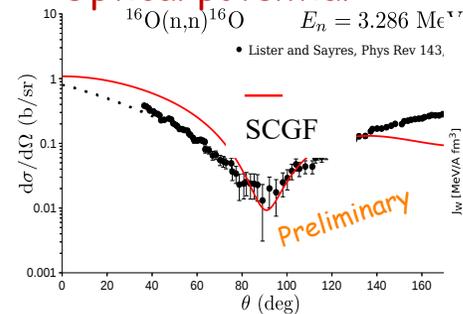
Dyson Eq.

Isovector response  
for  $^{32}\text{Ar}$ ,  $^{34}\text{Ar}$

Proton  
Pygmy



Optical potential



arXiv:1612.01478 [nucl-th]

