



# Nuclear Astrophysics Stellar evolution, core-collapse supernova and explosive nucleosynthesis

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Nuclear Astrophysics

Nuclear astrophysics aims at understanding the nuclear processes that take place in the universe. These nuclear processes generate energy in stars and contribute to the nucleosynthesis of the elements.



N. Grevesse and A. J. Sauval, Space Science Reviews 85, 161

# Stellar reaction rate

Consider  $N_a$  and  $N_b$  particles per cubic centimeter of particle types *a* and *b*. The rate of nuclear reactions is given by:

 $r = N_a N_b \sigma(v) v$ 

In stellar environment the velocity (energy) of particles follows a thermal distribution that depends on the type of particles.

• Nuclei (Maxwell-Boltzmann):  $\phi(v) = N4\pi v^2 \left(\frac{m}{2\pi kT}\right)^{3/2} \exp\left(-\frac{mv^2}{2kT}\right)$ 

The product  $\sigma v$  has to be averaged over the velocity distribution  $\phi(v)$ 

$$\langle \sigma \mathbf{v} \rangle = \int_0^\infty \int_0^\infty \phi(\mathbf{v}_a) \phi(\mathbf{v}_b) \sigma(\mathbf{v}) \mathbf{v} d\mathbf{v}_a d\mathbf{v}_b$$

Changing to center-of-mass coordinates, integrating over the cm-velocity and using  $\textit{E}=\mu\textit{v}^2/2$ 

$$\langle \sigma \mathbf{v} \rangle = \left(\frac{8}{\pi \mu}\right)^{1/2} \frac{1}{(kT)^{3/2}} \int_0^\infty \sigma(E) E \exp\left(-\frac{E}{kT}\right) dE$$

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# Charged-particle cross section

Stars' interior is a plasma made of charged particles (nuclei, electron). Nuclear reactions proceed by tunnel effect. For p + p reaction Coulomb barrier 550 keV, but the typical energy in the sun is only 1.35 keV.



cross section:  $\sigma(E) = \frac{1}{E}S(E)e^{-2\pi\eta}; \quad \eta = \frac{Z_1Z_2e^2}{\hbar}$ 

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# Astrophysical S factor



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# Gamow window

Using definition of S factor:

$$\langle \sigma v \rangle = \left(\frac{8}{\pi\mu}\right)^{1/2} \frac{1}{(kT)^{3/2}} \int_0^\infty S(E) \exp\left[-\frac{E}{kT} - \frac{b}{E^{1/2}}\right] dE$$



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# Gamow window

Assuming that S factor is constant over the Gamow window and approximating the integrand by a Gaussian one gets:

$$\langle \sigma \mathbf{v} \rangle = \left(\frac{2}{\mu}\right)^{1/2} \frac{\Delta}{(kT)^{3/2}} \mathcal{S}(E_0) \exp\left(-\frac{3E_0}{kT}\right)$$

$$\begin{aligned} E_0 &= 1.22 [\text{keV}] (Z_1^2 Z_2^2 \mu T_6^2)^{1/3} \\ \Delta &= 0.749 [\text{keV}] (Z_1^2 Z_2^2 \mu T_6^5)^{1/6} \end{aligned}$$

 $(T_x \text{ measures the temperature in } 10^x \text{ K.})$ Examples for solar conditions:

reaction	<i>E</i> <sub>0</sub> [keV]	$\Delta/2$ [keV]	I <sub>max</sub>	T dependence of $\langle \sigma \mathbf{v} \rangle$	
p+p	5.9	3.2	1.1 × 10 <sup>-6</sup>	T <sup>3.9</sup>	
p+ <sup>14</sup> N	26.5	6.8	$1.8  imes 10^{-27}$	T <sup>20</sup>	
$\alpha + {}^{12}C$	56.0	9.8	$3.0  imes 10^{-57}$	T <sup>42</sup>	
<sup>16</sup> O+ <sup>16</sup> O	237.0	20.2	$6.2  imes 10^{-239}$	$T^{182}$	

It depends very sensitively on temperature!

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# The solar pp chains



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# The other hydrogen burning: CNO cycle



requires presence of <sup>12</sup>C as catalyst

# Energy generation: CNO cycle vs pp-chains



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- stars slightly heavier than the Sun burn hydrogen via CNO cycle
- this goes significantly faster; such stars have much shorter lifetimes

mass [ $M_{\odot}$ ]	timescale [y]		
0.4	$2 \times 10^{11}$		
0.8	$1.4  imes 10^{10}$		
1.0	$1 imes 10^{10}$		
1.1	$9 imes 10^9$		
1.7	$2.7 imes10^9$		
3.0	$2.2 imes10^8$		
5.0	$6 imes 10^7$		
9.0	$2 imes 10^7$		
16.0	1 × 10 <sup>7</sup>		
25.0	$7 imes 10^{6}$		
40.0	$1 imes 10^{6}$		

hydrogen burning timescales depend strongly on mass. Stars slightly heavier than the Sun burn hydrogen by CNO cycle.

# Helium burning reactions



Products of helium burning are carbon and oxygen, the bricks of life!

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**Burning conditions:** 

for stars > 8 M<sub>o</sub> (solar masses) (ZAMS)

T~ 600-700 Mio ρ ~ 10<sup>5</sup>-10<sup>6</sup> g/cm<sup>3</sup>

Major reaction sequences:

$$1^{12}C + {}^{12}C \rightarrow {}^{24}Mg^* \rightarrow {}^{23}Mg + n - 2.62 \text{ MeV}$$

$$\xrightarrow{20}Ne + \alpha + 4.62 \text{ MeV}$$

$$\xrightarrow{20}Ne + \alpha + 4.62 \text{ MeV}$$
dominates by far
$$\xrightarrow{23}Na + p + 2.24 \text{ MeV}.$$

of course p's, n's, and a's are recaptured ... <sup>23</sup>Mg can b-decay into <sup>23</sup>Na

#### Composition at the end of burning:

mainly <sup>20</sup>Ne, <sup>24</sup>Mg, with some <sup>21,22</sup>Ne, <sup>23</sup>Na, <sup>24,25,26</sup>Mg, <sup>26,27</sup>Al of course <sup>16</sup>O is still present in quantities comparable with <sup>20</sup>Ne (not burning ... yet) <sub>21</sub>

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# Nuclear burning stages (e.g., 20 solar mass star)

Fuel	Main Product	Secondary Product	T (10 <sup>9</sup> K)	Time (yr)	Main Reaction
н	He	<sup>14</sup> N	0.02	10 <sup>7</sup>	4 H → <sup>CNO</sup> 4He
He	0, C	<sup>18</sup> O, <sup>22</sup> Ne s-process	0.2	10 <sup>6</sup>	3 He <sup>4</sup> → <sup>12</sup> C <sup>12</sup> C(α,γ) <sup>16</sup> O
C 🖌	Ne, Mg	Na	0.8	10 <sup>3</sup>	<sup>12</sup> C + <sup>12</sup> C
Ne	O, Mg	AI, P	1.5	3	<sup>20</sup> Ne(γ,α) <sup>16</sup> O <sup>20</sup> Ne(α,γ) <sup>24</sup> Mg
O	Si, S	CI, Ar, K, Ca	2.0	0.8	<sup>16</sup> O + <sup>16</sup> O
Si	Fe	Ti, V, Cr, Mn, Co, Ni	3.5	0.02	<sup>28</sup> Si(γ,α)

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# Presupernova star

- Star has an onion like structure.
- Iron is the final product of the different burning processes.
- As the mass of the iron core grows it becomes unstable and collapses when it reaches around 1.4 solar masses.



# A few facts: SN1987A

Type II supernova in LMC ( $\sim$  55 kpc)



- $E_{\rm grav} \approx 10^{53} \, {\rm erg}$ •  $E_{\rm rad} \approx 8 \times 10^{49} \, {\rm erg}$
- $E_{\rm kin} \approx 10^{51} \, {\rm erg} = 1$  foe



# Presupernova and collapse models

Core-collapse supernova simulations are separated into:

#### presupernova models:

- describes the stellar evolution through the various hydrostatic burning stages (H, He,...,Si) and follows the collapse of the central core until densities of order  $\rho_9 = 10$  are reached
- large nuclear networks are used to include the nuclear energy generation and the changes in composition
- neutrinos, produced in weak-interaction reactions, can leave the star unhindered and are treated as energy loss

#### 2 collapse models

- describes the final collapse and the explosion phase
- the temperature during these phases is high enough that all reactions mediated by the strong and electromagnetic interaction are in equilibrium; thus the matter composition is given by Nuclear Statistical Equilibrium (NSE)
- reactions mediated by the weak interaction are not in equilibrium
- neutrino interactions with matter have to be considered in details (Boltzmann transport)

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# Core-collapse supernova.



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Important processes:

- $T > 10^{10}$  K,  $\rho > 10^{10}$  g/cm<sup>3</sup>
- Neutrino transport (Boltzmann equation):
  - $\nu + A \rightleftharpoons \nu + A$  (trapping)

$$\nu + e^{-} \rightleftharpoons \nu + e^{-}$$
 (thermalization)

cross sections  $\sim E_{\nu}^2$ 

 electron capture on nuclei and protons:

$$e^- + (N, Z) 
ightarrow (N+1, Z-1) + 
u_e$$

$$e^- + p \rightleftharpoons n + \nu_e$$

capture on nuclei dominates

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# Shell model and $(d,^{2}He)$ GT strengths



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# Pauli blocking of Gamow-Teller transition



- Unblocking mechanism: correlations and finite temperature
- calculation of rate in SMMC + RPA model

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# Neutrino trapping



- *ν* + A *⇒ ν* + A (trapping) elastic process, no energy, but momentum transfer
- ν + e<sup>−</sup> ⇒ ν' + e<sup>−</sup> (thermalization) inelastic scattering, energy transfer
- $\nu + (Z, A) \rightarrow \nu' + (Z, A)^*$ (thermalization) inelastic scattering, energy transfer

• cross sections 
$$\sim E_{
u}^2$$

treatment by neutrino transport (Boltzmann equations) which consider all neutrino types and keep track of neutrino fluxes, energies at all space-time points

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# Effect on improved capture rates on collapse

With Rampp & Janka (General Relativistic model)  $15 M_{\odot}$  presupernova model from A. Heger & S. Woosley



For  $\rho > 10^{12}$  g/cm<sup>3</sup> fermi sea of neutrinos forms as neutrinos get trapped. Weak interaction is then basically in equilibrium!

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The collapse continues until the central density becomes substantially (by about a factor 2-4) larger than nuclear density ( $\rho_{nm} \approx 2 \times 10^{14} \text{ g/cm}^3$ ). Then nuclear pressure slows down the infall and finally stops it. When the inner core has reached its maximum density (*maximum scrunch*), it rebounds and a shock starts.

A decisive quantity for this stage of the collapse is the *Equation of State*. It is assumed that matter consists of nuclear and electron components, while neutrinos have negligible interactions, but are important for the determination of quantities like  $Y_e$  or temperature.

In the shock the temperature increases. So the passage of the shock dissociates the nuclei into free nucleons which costs the shock energy (about 8-9 MeV/nucleon). The shock has not enough energy to traverse the iron core. It stalls. No prompt explosion.

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• The important reactions directly behind the shock are:

 $\nu_e + n \leftrightarrow p + e^-; \ \bar{\nu}_e + p \leftrightarrow n + e^+$ 

- Competition between emission (cooling) and absorption (heating) by neutrinos.
- Thus the material directly behind the shock gets heated.
- This increases the kinetic energy of matter and revives the shock (delayed supernova mechanism).
- However, spherical simulations fail and show no successful explosions.

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There exist now two-dimensional simulations (with neutrino transport and modern microphysics) which yield successful explosions. Convection brings neutrinos from deeper (hotter) layers to the shock and increase the effectiveness of energy transfer.

# Successful two-dimensional supernova

Successful 2-dimensional explosion of  $11M_{\odot}$  star with ONeMg core (H.-Th. Janka)



# Explosive nucleosynthesis in supernova



- Consistent treatment of supernova dynamics coupled with a nuclear network.
- Essential neutrino reactions in the shock heated region

 $u_e + n \rightleftharpoons p + e^ \bar{\nu}_e + p \rightleftharpoons n + e^+$ 

- early ( $\sim$  1 s): matter protonrich  $\rightarrow \nu p$ -process
- later: matter neutronrich  $\rightarrow$  r-process

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# The $\nu$ p-process: basic idea

- Protonrich matter is ejected under the influence of neutrino reactions
- Nuclei form at distance where a substantial antineutrino flux is present



Antineutrinos help to bridge long waiting points via (n,p) reactions

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ightarrow e^{+}+n;$   $n+{}^{64} ext{Ge}
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ightarrow{}^{65} ext{Ge};\dots$ 

C. Fröhlich, G. Martinez-Pinedo, et al., PRL 96 (2006) 142502

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# The r-process at magic neutron numbers



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# Why are there r-process peaks?

Once the path reaches nuclei with magic neutron numbers  $(Z, N_{mag})$ , the neutron separation energy for the nucleus  $(Z, N_{mag} + 1)$  decreases strongly. Thus,  $(\gamma, n)$  hinders the process to continue and  $(Z, N_{mag})$  beta-decays to  $(Z + 1, N_{mag} - 1)$ , which is followed immediately by n-capture to  $(Z + 1, N_{mag})$ . This sequence of alternative  $\beta$ -decays and n-captures repeat itself, until n-capture on a magic nucleus can compete with destruction by  $(\gamma, n)$ .

Thus, the r-process flow halts at the magic neutron numbers. Due to the extra binding energy of magic nuclei, the  $Q_\beta$  values of these nuclei are usually smaller than those for other r-process nuclei. This makes the lifetimes of the magic nuclei longer than lifetimes of other r-process nuclei. Furthermore, the lifetimes of the magic nuclei increase significantly with decreasing neutron excess. For example, the halflive of the r-process nucleus <sup>130</sup>Cd has been measured as 195 ± 35 ms, while typical halflives along the r-process are about 10 ms. Thus, material is enhanced in nuclei with  $N_{mag}$ , which after freeze-out, results in the observed r-process abundance peaks.

This has been named one of the 11 fundamental questions in science. Recent observational evidence in metal-poor (very old) stars point to two distinct r-process sites. One site appears to produce the r-process nuclides above  $A \sim 130$ ; another one has to add to the abundance of r-process nuclides below A = 130.

The two favorite sites are:

- neutrino-driven wind above the proto-neutron star in a core-collapse supernova
- Participation end of the second star mergers and the se

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# Which nuclear ingredients are needed?



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# Mass predictions



# Half-lives for r-process nuclei



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The remnant left over in the explosion depends on the main-sequence mass  $M_{ms}$  and on the maximum mass for neutron stars. The later is not quite well known. Most neutron stars, whose masses are well determined (they are in binaries), have masses around 1.4  $M_{\odot}$ , however, recent observations might imply masses up to 2.1  $M_{\odot}$ . It is generally assumed that the collapse of stars with  $M_{ms} > 20 - 25M_{\odot}$  leads to a black hole in the center, while stars with  $8M_{\odot} < M_{ms} < 20 - 25M_{\odot}$  yield a supernova with a neutron star remnant.

It is also possible that accretion during the explosion might put the remnant over the neutron star mass limit. It is speculated that this happened in the case of the SN87A.

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# Supernova remnants





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ESO PR Photo 40699 ( 17 November 1999 )

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# Light curve



A core-collapse supernova produces about  $0.15 - 0.2 M_{\odot}$  <sup>56</sup>Ni. This is made in the outer layers of the star ( $Y_e = 0.5$ , mainly <sup>16</sup>O) when the shock wave passes through and brings this matter into NSE by fast reactions. Supernova also produce other radioactive nuclides (for example <sup>57</sup>Ni and <sup>44</sup>Ti). <sup>44</sup>Ti is only barely made (about  $10^{-4} M_{\odot}$ ), but has a lifetime of about 60 years. It dominates the lightcurve of SN87A today.

These radiactive nuclides decay, producing  $\gamma$  radiation in the MeV range. By scattering with electrons, these photons are thermalized and then radiated away as infrared, visible, and ultraviolet light.

Light curve follows the decay of Nickel.



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The Kamioka and IMB detectors are water Cerenkov detectors. Observed have been  $\bar{\nu}_e$  neutrinos via there interaction on protons (in the water molecule). The detection of the other neutrino types is the main goal for the next nearby supernova to test the predicted neutrino hierarchy.

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